

Control Union (UK) Ltd.
Cornwall Sardine Fishery
MSC Surveillance Announcement

Control Union (UK) Ltd.

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Role	Signature and date
Originator:	HJ 13/05/2020
Approver:	CT 13/05/2020

Marine Stewardship Council surveillance announcement

Table 1– Surveillance announcement	
1 Fishery name	
Cornwall Sardine Fishery	
2 Surveillance level and type	
<p>Year 3 remote surveillance audit. This fishery is in its second cycle.</p> <p>This fishery is a level 6 fishery but following the MSC derogation in relation to COVID-19 and referring to Part 2: Guidance to the Covid-19 derogation item 12 this audit is being conducted remotely.</p> <p>CU UK have determined that for this fishery a remote audit will not affect the ability of the team to assess the fishery. The rationale for this determination is that fishery catch data, management minutes, the latest scientific reports and other documented evidence (compliance documents etc) can be easily and transparently checked remotely. Information needed to verify progress towards conditions on each Principle can be gathered by electronic or physical (post) means and questions asked through the audit</p> <p>Year 3 Surveillance audit date The anniversary of the certificate of this fishery was the 2nd March 2020. Following the MSC COVID- 19 derogation the new certificate anniversary date is 2nd September 2020. Maintaining a surveillance schedule ahead of the anniversary date was decided because:</p> <ol style="list-style-type: none"> 1. The fishery closes for the season at approximately the end of the March. The audit timing provides time for the fishery to finalise catch and landing information from the 2019-2020 season prior to the audit. 2. The new season will begin in July -August 2020 prior to the anniversary date 	
3 Surveillance number	
1st Surveillance	
2nd Surveillance	
3rd Surveillance	X
4th Surveillance	
Other (expedited etc)	
4 Proposed team leader	
Name	Dr Hugh Jones
Areas of responsibility	Team Leader and Principle 2 assessor

Competency criteria (Annex PC)	<p>Dr Hugh Jones has a PhD in Ecotoxicology and strong background in marine research including publications and reports on ecotoxicology, environmental risk assessments and fisheries research. Prior to joining CU UK, he was employed as a fisheries scientist in the development of an empirical harvest strategy for commercial abalone fisheries and fisheries assessments of estuarine bivalves. This included work on population metrics (recruitment, growth), harvest dynamics (catch rates, market selectivity), and the use of fine scale geospatial techniques as performance measures to assess stock sustainability.</p> <p>Hugh has published peer review works on the trophic pathways of estuarine food webs and prey abundance in relation to environmental conditions. His work includes analysis of benthic abiotic and biotic attributes which determine the functional ecology of fish species. He has secured research funding for ecological studies of fish populations in relation to climate change, which consider the coupling between demersal and pelagic pathways. He has published research reports into the spatial variability of recruitment of commercially fished benthic species and its impact on community dynamics.</p> <p>Dr Jones has been a Principle 2 assessor for MSC certifications since 2016.</p> <p>Dr Jones has completed the required Fishery Team Leader MSC training modules for the new V2.01 Fisheries Certification and V2.1 process requirements. Dr Jones has attained his certificate for ISO 9001. Based on the above experience CU UK is confident that Dr Jones meets the 3-year competency requirement for Principle 2 experience.</p>
Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery
On-site or off-site	Off site
CV	CV available on request

5 Proposed team members

Name	Dr Sophie des Clers
Areas of responsibility	Principle 3
Competency criteria (Annex PC)	<p>Sophie is an independent expert in fisheries management and socioeconomics, as well as an honorary research fellow of University College London. She has been involved in a number of previous MSC assessments including UK Fisheries Ltd cod, haddock and saithe, Biscay sardine seine fishing, Normandy-Jersey lobster, Normandy whelks and, of course, Euronor/Comapêche cod and haddock. Sophie is an expert in fisheries management and legislation at a regional, national and international level with particular expertise in EU and Indian Ocean fisheries.</p> <p>It is proposed that Sophie would have primary responsibility for Principle 3. The qualifications listed above provide Sophie with the appropriate skills to meet competency criteria PC3.4. She has completed MSC training modules for V2.0 Fisheries Certification Requirements and attained her certificate for ISO19011 lead auditor</p>
Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery
On-site or off-site	Off site
CV	CV available on request

6 Audit/review time and location
The opening meeting and interview with client will be held on Tuesday 30 th June 2020 at 10:00 via video conferencing. Stakeholder meetings after this time are available on request with the assessment team.
7 Assessment and review activities
<p>During the audit, CU UK will communicate with the client and any relevant stakeholders and use any available up to date information to assess and review;</p> <ul style="list-style-type: none"> • Will review progress against the two conditions associated with this fishery (PIs 2.3.3, 3.2.1, 3.2.2, 3.2.4, 1.2.1, 1.2.2, 2.2.3, 2.3.2). • Harmonization against the other fisheries certified on the MSC program • Any developments or changes within the fishery impact may impact on traceability and the ability to segregate MSC from non-MSC products; • Any other significant changes in the fishery. • Any changes to the fishery and its management including those to management systems, regulation and relevant personnel assessments; • Any changes to the scientific base of information such as stock; •

Submitted by: Hugh Jones

Date: 18th May 2020

Deadline for stakeholder comment: at 18/06/2020 17:00 UTC

CU UK would like to welcome anyone who would like to participate in the site visit. All interested stakeholders are encouraged to contact CU UK by email (stakeholderfishuk@controlunion.com), telephone or post at the below number and address:

Control Union (UK) Ltd, 56 High Street, Lymington, SO41 9AH, United Kingdom

Tel: +44 (0)1590 613007 Fax: +44 (0)1590 671573

Comments must be made using the Template for Stakeholder Input into MSC Assessments.

Should any stakeholder wish to meet the team in person, this can be arranged. Remote meetings can also be arranged via telephone conferencing or Skype, or written submissions made to the above-mentioned email addresses.

Please note that stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the assessment and cannot be used in determining the outcome of the fishery's assessment nor used as a basis for an objection.

Stakeholders may raise issues with the team in confidence for the team to consider at the site visit, but any confidential information cannot be used in scoring unless in compliance with confidentiality requirements.

Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.