



Lloyd's
Register

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Acoura Marine t/a Lloyd's Register
Northern Ireland Pelagic Sustainability
Group(NIPSG) North Sea herring
MSC Fishery Announcement
29/10/21

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1 Marine Stewardship Council fishery announcement

Table 1 – Fishery announcement

1	Fishery name												
	Northern Ireland Pelagic Sustainability Group(NIPSG) North Sea herring												
2	Assessment number												
	First Reassessment												
3	Reduced reassessment (Yes/No)												
	No												
4	Statement that the fishery is within scope												
	<p>LR produced the Announcement Comment Draft Report, and can confirm the fishery is in scope in accordance with requirements at §7.4 in the MSC FCP V2.2:</p> <ul style="list-style-type: none"> the fishery does not target amphibians, reptiles, birds or mammals; the fishery does not use poisons or explosives; the fishery does not operate under a controversial unilateral exemption to an international agreement; the client group does not include an entity that has been successfully prosecuted for a forced labour violation in the last 2 years; the fishery management framework includes a mechanism for resolving disputes and the fishery is not overwhelmed by disputes; the fishery is not an enhanced or introduced species-based fishery; and the client group does not include an entity that has been convicted for a shark finning violation in the last 2 years 												
5	Unit(s) of Assessment - UoA(s) (Provisional - will be confirmed in accordance with MSC FCP V2.2 7.17.3)												
	<table border="1"> <tr> <td>Species:</td><td>North Sea herring (<i>Clupea harengus</i>)</td></tr> <tr> <td>Geographical area:</td><td>ICES divisions IV and VIIId</td></tr> <tr> <td>Method of capture:</td><td>Mid-water Pelagic Trawl</td></tr> <tr> <td>Stock:</td><td>Autumn spawning stock in North Sea and Eastern Channel</td></tr> <tr> <td>Management System:</td><td>Cooperative management between EU member states and Norway, advised by ICES</td></tr> <tr> <td>Client Group:</td><td>NIPSG</td></tr> </table>	Species:	North Sea herring (<i>Clupea harengus</i>)	Geographical area:	ICES divisions IV and VIIId	Method of capture:	Mid-water Pelagic Trawl	Stock:	Autumn spawning stock in North Sea and Eastern Channel	Management System:	Cooperative management between EU member states and Norway, advised by ICES	Client Group:	NIPSG
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7	Name of proposed team leader												
	<p>Paul Knapman - P2</p> <p>Paul is an independent consultant based in Halifax, Nova Scotia, Canada. Paul began his career in fisheries as a fisheries officer in the UK, responsible for the enforcement of UK and EU fisheries regulations. He then worked with the UK government's nature conservation advisors as their Fisheries Programme Manager,</p>												

	<p>responsible for establishing and developing an extensive programme of work with fisheries managers, scientists, the fishing industry and ENGOs, researching the effects of fishing and integrating nature conservation requirements into national and European fisheries policy and legislation.</p> <p>Paul was appointed Head of the largest inshore fisheries management organisation in England, with responsibility for managing an extensive area of inshore fisheries on the North Sea coast. The organisations responsibilities and roles included: stock assessments; setting and ensuring compliance with allowable catches; developing and applying regional fisheries regulations; the development and implementation of fisheries management plans; acting as the lead authority for the largest marine protected area in England.</p> <p>Paul moved to Canada in 2005 and established his own consultancy providing analysis, advisory and developmental work on fisheries management policy in Canada and Europe. He helped draft the management plan for one of Canada's first marine protected areas, undertook an extensive review on IUU fishing in the Baltic Sea and was appointed as rapporteur to the European Commission's Baltic Sea Regional Advisory Council.</p> <p>Paul began working on MSC assessments in 2008 and in 2012 became head of a Conformity Assessment Body focusing on MSC fisheries and chain of custody assessments.</p> <p>Paul returned to fisheries consultancy in 2015 and continues to work on MSC assessments. He has been involved as a lead assessor, team member and technical advisor/reviewer for more than 60 different fisheries in the MSC programme.</p> <p>Paul has passed MSC training and has no Conflict of Interest in relation to this fishery. Full CV available upon request.</p> <p>Paul has completed a number of MSC assessment as TL and meets all Fishery TL Qualification and Competency Criteria under MSC FCP v2.2 Table PC1 and MSC GCR v2.4.1 Table 1.</p>
8	<p>Name(s) of proposed team members</p> <p>Geir Hønneland</p> <p>Primarily responsible for assessment under Principle 3</p> <p>Geir Hønneland holds a PhD in political science from the University of Oslo and an LL.M. in the law of the sea from the Arctic University of Norway. He has studied international fisheries management (with main emphasis on enforcement and compliance issues), international environmental politics and international relations in Polar regions for more than 25 years. He has been affiliated with the Fridtjof Nansen Institute in Oslo as PhD student and research fellow (1996-2006), research director (2006-2014), director (2015-2019) and now adjunct professor. Among his fisheries-related books are Making Fishery Agreements Work (Edward Elgar, 2012; China Ocean Press, 2016), Coercive and Discursive Compliance Mechanisms in the Management of Natural Resources (Springer, 2014) and Blue Governance in the Arctic and Antarctic: Private Certification and the Law of the Sea (Palgrave Macmillan, 2021). Before embarking on an academic career, Geir worked five years for the Norwegian Coast Guard, where he was trained and certified as a fisheries inspector. He has been involved in MSC assessments since 2009 and has acted as P3 expert in more than 70 full assessments and re-assessments (in many of them as Team Leader as well), and a number of pre-assessments and surveillance audits. His experience from full assessments includes a large number of demersal, pelagic and reduction fisheries in the Northeast Atlantic, Northwest Atlantic, the North Pacific and Southern Ocean, including crustaceans, as well as inland, bivalve and enhanced salmon fisheries. In the Northern Atlantic, he has covered the multilateral management regimes of the North-East</p>

	<p>Atlantic Fisheries Commission (NEAFC) and the Northwest Atlantic Fisheries Organization (NAFO) as well as regional management arrangements in the Greenland Sea, Iceland Sea, Barents Sea, Norwegian Sea, North Sea, Skagerrak, Kattegat and the Baltic Sea, and the national management regimes in Norway, Sweden, Denmark, Iceland, Faroe Islands, Greenland, Finland, Russia, Poland, the UK, the Netherlands and Germany, as well as the EU level. He is qualified as an MSC Team Leader (Fisheries Standard v2.01, Fisheries Certification Process v2.2) and Chain of Custody Auditor (v2.0) and has also passed the ISO 19011-2018 course as Lead Auditor – Management Systems Auditing. Full CV is available on request.</p> <p>John Nichols - P1</p> <p>Mr John Nichols is a retired UK government fisheries biologist with 42 years research experience in plankton ecosystems in the North Atlantic specializing in the taxonomy of North Atlantic & NW European plankton including phytoplankton, micro and meso-plankton, ichthyoplankton and young fish. He has been a member of ICES working groups on herring, mackerel, horse mackerel, sardine and anchovy assessments; and mackerel and horse mackerel egg surveys. He was also a member of ICES study groups on herring larval surveys and plankton sampling. In 1992 he set up the UK programme for monitoring phytoplankton in shellfish harvesting areas in compliance with a new EU Directive to protect the public from the potential ill effects of toxic algae entering the food chain through shellfish.</p> <p>He was scientist in charge of numerous research vessel surveys for fish stock assessment purposes and directly involved in the assessment of pelagic and western demersal fish stocks from 1994 to 2000.</p> <p>He has been involved in the publication of over fifty scientific papers and reports more than half of which have been in peer reviewed journals, and the publication of two fish egg and larvae identification keys.</p> <p>Since retirement from his government post he has participated in a total of 40 different fisheries MSC assessments as the Principle 1 expert plus the re-assessments of many of those fisheries Those assessments include the Thames estuary herring, PFA North Sea Herring, NEA mackerel and Atlanto-Scandian herring, Hastings Fleet Dover sole, the north –east coast of England bass fishery, the SW mackerel hand line fishery, Portuguese sardine, a Newfoundland herring fishery, Newfoundland cod, Canadian Pacific sablefish, various Norwegian and Swedish pelagic fisheries, Faroese and Norwegian saithe fisheries, Faroese, Russian and Norwegian Arctic cod and haddock fisheries, Faroese mackerel and Blue whiting fisheries, Scottish pelagic fisheries and a North Sea plaice and sole fishery. He has also been a peer reviewer for numerous MSC certification reports by various Certification bodies and has also carried out two MSC pre-assessments and numerous annual surveillance audits.</p> <p>In 2010 he delivered a lecture on 'The Importance of a Fisheries Interaction with the Ecosystem in the MSC Certification Process' at an international Safe Seas conference in Portugal.</p> <p>In 2014 he successfully completed the four module MSC online training course, passed the exam and was certified in the role of an MSC Fishery Assessment Team Leader. Recently completed and passed the MSC Version 2.0 Fisheries Certification Module.</p> <p>Elected as a Fellow of the Society of Biology in July 2014 (now the Royal Society of Biology, July 2015)</p>
9	<p>Stakeholder opportunities</p> <p>Stakeholders are encouraged to provide input on the ACDR before the site visit. Stakeholders have 30 days to provide input and should submit their input or comments on the ACDR by 5pm UTC on 13th December 2021.</p> <p>Stakeholders must submit their using the MSC Template for Stakeholder Input to Fishery Assessments v4.0.</p>

	Site visit: because of the ongoing COVID-19 pandemic, all team members are available to meet remotely (FCP v2.2 7.12.2.e.i).																		
10	Assessment tree to be used																		
	Default Assessment Tree																		
11	Estimated timeline																		
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12	Site visit																		
	<p>The site visit for this fishery will take place the 14th to 16th of December 2021. Stakeholders are invited to take part in the site visit remotely. If you would like to speak with the team please notify us as soon as possible, and before the end of the consultation period for submission of information (see below) – we can then arrange appropriate discussion opportunity.</p> <p>The MSC provide guidance and templates for stakeholder contribution which can be found here: https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment</p>																		
14	Local Context																		
	<p>English is the official language of Northern Ireland Each team member has had assignments in the region in the last 10 years.</p>																		
15	Traceability																		
	Paul has completed the MSC traceability module in the last 2 years																		
16	Risk Based Framework (RBF)																		
	Paul has completed the RBF training. RBF has not been used for this assessment																		

17	Announcement Comment Draft Report stakeholder input deadline
	5pm UTC on 13 th December 2021

The Announcement Comment Draft Report (ACDR) for this fishery is now available for consultation on the fishery's Track a Fishery page. Please note this does not represent a final outcome, and the scores are based on a review of documents submitted by the client in the Client Document Checklist – we fully recognise changes may be necessary following the site visit and stakeholder comments. Stakeholders can submit comments on the report, which will be published on the MSC website ahead of the site visit. As this is a re-assessment, stakeholders have 30 days to submit comments, using the MSC Stakeholder Input form (available to download [here](#)). The deadline for information submission is therefore 13/12/21 at 5pm UTC.

Please see the MSC's [Summary of Changes](#) document, which includes an overview of the Fisheries Certification Process (FCP) V2.2, particularly in contrast to previous process versions. Following the ACDR consultation and site visit, stakeholders will also have the opportunity to participate at the following stages:

Public Comment Draft Report: This version of the report contains updated scores and rationale following the ACDR consultation, site visit, client and peer review. Stakeholders will have 30 days to review the report.

Final Draft Report: This presents the draft determination on whether the fishery passes or fails, and includes any changes following the public consultation, with responses to stakeholder input. An objection to the draft determination can be submitted within the 15 working day period (more details [here](#)).

Annual surveillances: If the fishery is certified, annual surveillances will monitor progress on open conditions and check ongoing compliance with the standard – stakeholders will have the opportunity to participate annually.

If you are not on the stakeholder list for this fishery, and would like to be to ensure you are notified of the consultation opportunities, please sign up [here](#) – or contact the LR fisheries team at fisheries-ca@lr.org

Submitted by: Gillian Irvine

Date: 29/10/21

Appendix 1: Summaries of CVs of team leader and team members

CV are available upon request.

2 Template information and copyright

This document was drafted using the 'MSC Fishery Announcement Template v2.1'.

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Template version control		
Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1
2.01	28 March 2019	Minor document change for usability
2.1	25 March 2020	Release alongside Fisheries Certification Process v2.2

A controlled document list of MSC program documents is available on the MSC website (msc.org).

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