

**Control Union (UK) Limited**

**Hawaii longline swordfish, bigeye and yellowfin tuna fishery**

**MSC Fishery Announcement**

**Date 25/10/2021**

Control Union (UK) Limited

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Role	Signature	Date
Originator:	B O'Kane	15/10/2021
Approver:	J Nebolsina	18/10/2021

## Marine Stewardship Council fishery announcement

Table 1 – Fishery announcement

<b>1</b>	<b>Fishery name</b>	
	Hawaii longline swordfish, bigeye and yellowfin tuna fishery	
<b>2</b>	<b>Assessment number</b>	
	Initial assessment	
<b>3</b>	<b>Reduced reassessment (No)</b>	
	N/A	
<b>4</b>	<b>Statement that the fishery is within scope</b>	
	CU UK confirms that the fishery under assessment is within the scope of the MSC Fisheries Standard (7.4 and 7.5 of the MSC Fisheries Certification Process v2.2).	
<b>5</b>	<b>Unit(s) of Assessment - UoA(s)</b>	
	<b>Species and stock</b>	UoA 1, 6: North Pacific swordfish ( <i>Xiphias gladius</i> ) UoA 2, 7: Western Central Pacific bigeye tuna ( <i>Thunnus obesus</i> ) UoA 3, 8: Western Central Pacific yellowfin tuna ( <i>T. albacares</i> ) UoA 4, 9: Eastern Pacific yellowfin tuna ( <i>T. albacares</i> ) UoA 5, 10: Eastern Pacific bigeye tuna ( <i>Thunnus obesus</i> )
	<b>Geographical range of the fishery</b>	High Seas in the WCPFC and IATTC convention areas and US Exclusive Economic Zone (EEZ)
	<b>Fishing Gear</b>	UoAs 1 – 5: Shallow-set pelagic longline UoAs 6 – 10: Deep-set pelagic longline
	<b>Client group</b>	Hawaii Longline Association (HLA) member vessels
	<b>Other Eligible Fishers</b>	None
<b>6</b>	<b>Certificate sharing statement</b>	
	N/A	
<b>7</b>	<b>Name of proposed team leader</b>	
<b>i</b>	<b>Chrissie Sieben (Team Leader, Principle 2)</b>	

	<p>Chrissie Sieben has a Master's Degree in Marine Environmental Protection which she obtained at the University of Wales, Bangor, and specialises in marine and fisheries ecology, marine environmental impact assessments and sustainable fisheries development. She was the MSC Fisheries Scheme Manager at ME Certification Ltd (MEC) which is now Control Union (UK). Previous to joining MEC, she worked as a fisheries consultant and marine ecologist on UK-based and international projects. Chrissie is now an independent assessor with over eight years' experience with the MSC certification requirements and has acted as team leader and P2 assessor on a range of preassessments, surveillance audits and full assessments of demersal and pelagic fisheries in the Mediterranean as well as the Atlantic, Indian, Southern, and Pacific Oceans. She also regularly participates in MSC training sessions and workshops. Chrissie speaks fluent French and Dutch in addition to English. She acts as the Team Leader and Principle 2 expert for this assessment. Chrissie has successfully completed the MSC online training on the Risk-Based Framework (RBF), FCR v2.0, FCP v2.1 and FCP v2.2, as well as ISO 19011 on auditing management systems. She has passed all Sections of PC1 (which is relevant for acting as a team leader), PC2 and various sections of PC3, including Section 3 (which is relevant for conducting Principle 2).</p> <p>CVs are available on request.</p>
ii	Chrissie has no conflict of interest for this assessment.
<b>8</b>	<b>Name(s) of proposed team members</b>
i	<p><b>Kevin McLoughlin (Principle 1)</b></p> <p>Kevin McLoughlin is a specialist fisheries consultant based in Australia with more than 30 years' experience across a wide range of international and domestic fisheries science issues, with close links to government policy. He represented the Australian Government on many committees and groups such as fishery assessment groups, providing advice on a diverse range of fisheries and species (including tuna, shark, various finfish, scallop and prawn). Work in assessment groups involved assessment of target species, development of bycatch action plans and ecological risk assessments. Mr McLoughlin was responsible for the production of annual status reports for Australian government-managed fisheries for a number of years. Between 2005 and 2007, Mr. McLoughlin was Australia's delegate on scientific issues at the Indian Ocean Tuna Commission and was Chair of the IOTC Working Party on Bycatch for several years. Mr McLoughlin was also a delegate at meetings of the Commission for the Conservation of Southern Bluefin Tuna. Mr McLoughlin has worked predominantly on Principle 1 aspects of MSC assessments but has also undertaken Principle 2 and 3 work, as well as peer review and surveillance audits for several fisheries. Kevin was a team member for the full assessment of the Fiji albacore longline fishery, the New Zealand Albacore Fishery, the New Zealand Skipjack Fishery, the Parties to the Nauru Agreement Western and Central Pacific Skipjack and Yellowfin unassociated purse seine fishery, the Tri Marine Western and Central Pacific Skipjack and Yellowfin Tuna Fishery, and Australia's blue grenadier fishery. He was also a member of teams assessing Australia's Northern Prawn Fishery, Western Australia's Exmouth Gulf and Shark Bay prawn trawl fisheries, and South Australia's Spencer Gulf prawn trawl fishery. He was a peer reviewer for the New Zealand albacore troll fishery and for the North and South Pacific American Albacore Fishing Association fisheries and has undertaken surveillance audits for a number of fisheries. Kevin has passed the MSC online team member training on FCR v2.0 and FCP v2.1. Due to these reasons, Kevin fulfils the requirements of Table PC2, Section 1 and 2 of Table PC3.</p> <p>CVs are available on request.</p> <p><b>Charles Daxboeck (Principle 3)</b></p>

	<p>Charles obtained a Ph.D. in comparative respiratory physiology from the University of British Columbia, Vancouver and has been a legal resident of French Polynesia for the past 27 years, following twelve years in Hawaii. Aside from his scientific and consulting activities, Charles has been a member of the US delegation to the 19th Annual Consultation on Multilateral Treaty on Fisheries between Governments of certain Pacific Island States and the US Government (the Tuna Treaty) and to the Fourth Regular Session of the Scientific Committee of the Commission for the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean. He has also been a member of French Polynesian delegations to numerous pre-WCPFC ratification meetings (MHLC4, 5, 6 and 7, PrepCon 1, PrepCon 7), then WCPFC1, WCPFC6 and WCPFC17 from 1999 to 2020). Charles has also been a member of the American Samoa delegation to WCPFC7 (2010) and of the CNMI delegation to WCPFC13 (2016). His experience also includes being Scientific and Executive Director of the Pacific Ocean Research Foundation (focus on marlin and tuna), Kailua-Kona, Hawaii (P.O.R.F.), and then as a Member of its Board of Governors and Scientific Advisory Committee for six years. He was also a member of the Scientific and Statistical Committee for thirty-three years (SSC Chairman from 2011 to 2016), Western Pacific Regional Fishery Management Council (Department of Commerce, NOAA Fisheries). Charles’ other experience in tuna fisheries include MSC assessments of the French Polynesia EEZ albacore and yellowfin longline fishery, Pan Pacific yellowfin, bigeye and albacore tuna longline fishery on behalf of Dongwon Industries, Tropical Pacific yellowfin and skipjack tuna free-school purse seine fishery on behalf of Dongwon Industries, as well as the Y2, Y3, Y4 surveillance audits and the re-assessment of the SZLC, CSFC &amp; CFA Cook Islands EEZ South Pacific albacore and yellowfin longline fishery. Dr. Daxboeck has joined The Nature Conservancy’s Large Pelagics Fisheries Program as a contract consultant member. Charles meets all Fishery Team Member Qualification and Competency Criteria (Table PC2) and the following Table PC3 competency criteria: 4. Fishery management and operations; 5. Current knowledge of the country, language and local fishery context. Charles has successfully completed the MSC online team member training on FCR v2.0.</p> <p>CVs are available on request.</p>						
ii	Kevin and Charles have no conflict of interest in relation to this fishery.						
9	<b>Stakeholder opportunities</b>						
	<p>Stakeholders are encouraged to provide comments on the Announcement Comment Draft Report (ACDR) published on the MSC website for this fishery. Written submissions must be made through the “MSC Template for Stakeholder Input into Fishery Assessments v4.0” available for download here: <a href="https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment">https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment</a>. Please note that CU (UK) will accept stakeholder input only if submitted using the aforementioned template, or if raised at the site visit.</p> <p>All stakeholders will be available to meet remotely (according to the variation request submitted to MSC on 2<sup>nd</sup> September 2021) with team members during the site visit on the 6<sup>th</sup>-7<sup>th</sup> January 2022.</p>						
10	<b>Assessment tree to be used</b>						
	The default tree is applied.						
11	<b>Estimated timeline</b>						
	<table><tr><th>Assessment stage</th><th>Date</th><th>Stakeholder Consultation Period</th></tr><tr><td>Fishery announcement</td><td>25<sup>th</sup> October 2021</td><td>Stakeholders will have a sixty (60) day period to comment</td></tr></table>	Assessment stage	Date	Stakeholder Consultation Period	Fishery announcement	25 <sup>th</sup> October 2021	Stakeholders will have a sixty (60) day period to comment
Assessment stage	Date	Stakeholder Consultation Period					
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	<b>Site visit(s)</b>	6 <sup>th</sup> – 7 <sup>th</sup> January 2022	All stakeholders are invited to the site visit
	<b>Peer Reviewer(s) Announcement</b>	End January 2022	Stakeholders will have a ten (10) day period to comment
	<b>Public Comment Draft Report (PCDR) published</b>	Early May 2022	Stakeholder will have a thirty (30) day period to comment
	<b>Final Report (FR) &amp; Draft Determination Published</b>	Early July 2022	Stakeholders will have a fifteen (15) working day period to lodge an objection to Final Report and Draft Determination
	<b>Public Certification Report (PCR) published</b>	End of July 2022	
	<b>Certificate Expiry</b>	N/A	
<b>12</b>	<b>Site visit</b>		
	<p>All stakeholders will be available to meet remotely with team members during the site visit. The site visit will be conducted on the 6<sup>th</sup>-7<sup>th</sup> January 2022. Currently the site visit is planned to be conducted remotely. The MSC instated a derogation to ensure that site visits planned between the 28<sup>th</sup> March 2020 and 28<sup>th</sup> March 2022, can be held remotely following certain guidelines; should the site visit have to be conducted remotely, CU (UK) will follow any related guidance and notify all registered stakeholders of updates to the site visit details.</p> <p>A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery. For those parts of the assessment involving the MSC's RBF see <a href="http://www.msc.org/about-us/standards/methodologies/fam/msc-risk-based-framework">http://www.msc.org/about-us/standards/methodologies/fam/msc-risk-based-framework</a>. Please note we will be using a stakeholder-driven, qualitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the fishery. We encourage any stakeholders with experience or knowledge of the fishery to participate in these meetings (FCP v2.2 7.12.3 and Annex PF2.3.2).</p>		
<b>13</b>	<b>Assessment tree modifications</b>		
	N/A		
<b>14</b>	<b>Announcement Comment Draft Report stakeholder input deadline</b>		
	Deadline for stakeholder comment: at 24/12/2021 17:00 UTC		

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All team members will be available to meet stakeholders through phone and/or video calls during the site visit, which is scheduled to take place on 6<sup>th</sup>-7<sup>th</sup> January 2022.

Submitted by: Julia Nebolsina  
Date: 21/10/2021

Control Union (UK) Limited (CU UK) would like to welcome anyone who would like to participate in the site visit. All interested stakeholders are encouraged to contact CU UK by email ([stakeholderfishuk@controlunion.com](mailto:stakeholderfishuk@controlunion.com)), telephone or post at the below number and address:

Control Union (UK) Limited, 56 High Street, Lymington, SO41 9AH, United Kingdom

Tel: +44 (0)1590 613007 Fax: +44 (0)1590 671573

Comments must be made using the Template for Stakeholder Input into MSC Assessments.

Should any stakeholder wish to meet the team in person, this can be arranged. Remote meetings can also be arranged via telephone conferencing or Skype, or written submissions made to the above-mentioned email addresses.

*Please note that stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact. Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the assessment and cannot be used in determining the outcome of the fishery's assessment nor used as a basis for an objection. Stakeholders may raise issues with the team in confidence for the team to consider at the site visit, but any confidential information cannot be used in scoring unless in compliance with confidentiality requirements. Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.*

## **Appendix 1: Summaries of CVs of team leader and team members**

### **Chrissie Sieben (Team Leader, Principle 2)**

Chrissie Sieben has a Master's Degree in Marine Environmental Protection which she obtained at the University of Wales, Bangor, and specialises in marine and fisheries ecology, marine environmental impact assessments and sustainable fisheries development. She was the MSC Fisheries Scheme Manager at ME Certification Ltd (MEC) which is now Control Union (UK). Previous to joining MEC, she worked as a fisheries consultant and marine ecologist on UK-based and international projects. Chrissie is now an independent assessor with over eight years' experience with the MSC certification requirements and has acted as team leader and P2 assessor on a range of preassessments, surveillance audits and full assessments of demersal and pelagic fisheries in the Mediterranean as well as the Atlantic, Indian, Southern, and Pacific Oceans. She also regularly participates in MSC training sessions and workshops. Chrissie speaks fluent French and Dutch in addition to English.

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