

# **SAI Global**

## **US Acadian redfish, haddock and pollock otter trawl fishery**

### **MSC Surveillance Announcement**

#### **1. Introduction**

MSC certification requires regular surveillance audits of all certified fisheries, this Announcement marks the start of a surveillance audit of this fishery. SAI Global is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information SAI Global is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

## 2. Marine Stewardship Council surveillance announcement

**Table 1. Surveillance announcement.**

1	Fishery name	
		US Acadian redfish, haddock and pollock otter trawl fishery
2	Surveillance level and type	
		Surveillance level 5, on-site surveillance audit.  The surveillance program for this fishery has not changed from that previously indicated in the previous surveillance report.
3	Surveillance number	
	1 <sup>st</sup> Surveillance	
	2 <sup>nd</sup> Surveillance	
	3 <sup>rd</sup> Surveillance	X
	4 <sup>th</sup> Surveillance	
	Other (expedited etc)	
4	Proposed team leader	
		<p><b>Vito Romito, primarily responsible for Principle 2 and Traceability</b></p> <p>Vito meets Fishery Team Leader Qualification and Competency Criteria outlined in MSC FCP Annex PC Table PC1.</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ 3 years' fisheries experience.</li> <li>▪ Pass MSC's fishery team leader training at least every 5 years.</li> <li>▪ Review any updates to the MSC Fisheries Program Documents at least annually.</li> <li>▪ Pass new versions of the online training prior to undertake assessments against the revised MSC Fisheries Standard or certification process.</li> <li>▪ Pass the Lead Auditor ISO 19011 course.</li> <li>▪ Have undertaken 2 MSC fishery assessment or surveillance site visits as a team member in the last 5 years.</li> <li>▪ Experience in applying different types of interviewing and facilitation techniques.</li> </ul> <p>Vito holds a BSc in Ecology and a MSc in Tropical Coastal Management from Newcastle University (UK). His studies were focused on fisheries bycatch and impacts of fishing gears/methods on benthic habitats.</p> <p>He completed MSC's Fishery Team Leader training both for FCR v.2.0 and FCP v.2.1 and passed the Lead Auditor ISO 19011 course. Vito has undertaken three MSC fishery surveillance site visits as a team member in the last 5 years.</p> <p>Vito will be in charge of coordinating the Assessment Team's work and be responsible for the completion of the re-assessment in accordance with FCP v.2.1.</p> <p>In addition to leading the Assessment Team, Vito will be the team's expert on Principle 2 and Traceability. He meets the Principle 2 and Traceability components of the Fishery Team Qualification and Competency Criteria in Annex PC Table PC3:</p> <ul style="list-style-type: none"> <li>▪ 3 years more experience in research into, policy analysis for, or management of, fishery impacts on aquatic ecosystems.</li> <li>▪ Pass MSC's Traceability training module.</li> </ul>

	<p>His studies were focused on fisheries bycatch and impacts of fishing gears and fishing methods on benthic habitats in various part of the world. He has more than three years of experience in the assessment of ecosystem effects of fisheries.</p> <p>He passed the MSC's traceability training.</p> <p>In addition, in the past 10 years, Vito has had experience and knowledge of the country, language and local fishery context in which the fishery under assessment is based.</p> <p>Vito was involved in numerous fisheries projects in North America in the last 5 years, including four MSC surveillance audits of Canadian fisheries. He is fluent in English which is the language of harvesters in the fishery region.</p> <p>A short biography is provided in Appendix 1. Vito does not have any conflicts of interest in relation to the fishery. He will be on site for the audit.</p>
5	<p><b>Proposed team member</b></p> <p><b>Jerry Ennis, Principle 1 expert</b></p> <p>Jerry meets the Fishery Team Member Qualification and Competency Criteria outlined in MSC FCP Annex PC2 and the Principle 1 and Current knowledge of the country and local fishery context components of the Fishery Team Qualification and Competency Criteria in Annex PC Table PC3:</p> <ul style="list-style-type: none"> <li>• A degree in a relevant subject</li> <li>• 3 years' fisheries experience</li> <li>• Passed MSC's fishery team member training within the last 3 years</li> <li>• Review any updates to the MSC Fisheries Program Documents at least annually</li> <li>• 5 years more experience as a practicing fisheries stock assessment, fisheries biology and ecology</li> <li>• Knowledge of the common language spoken by client and stakeholders (English)</li> <li>• 2 assignments in the country or region in which the fishery under assessment is based in the last 10 years</li> </ul> <p>Jerry has a PhD in Marine biology and has extensive experience in a large range of stock assessment techniques, 37-year research career which focused primarily on fishery and fish population biology and ecology in Newfoundland waters. Career included heavy involvement in the review and formulation of scientific advice for management of resources in Atlantic Canada.</p> <p>Jerry passed MSC's Fishery Team Member training in 2015 and was involved in numerous MSC assessments in both Canada and US in the last 5 years, including the previous two surveillance assessments of this fishery. Jerry does not have any conflicts of interest in relation to the fishery for which the surveillance audit will be conducted.</p> <p>A short biography is provided in Appendix 1. Jerry does not have any conflicts of interest in relation to the fishery. He will be on site for the audit.</p>
6	<p><b>Audit/review time and location</b></p> <p>Week commencing 24<sup>th</sup> of February 2020 in Woods Hole, Gloucester and Newburyport, Massachusetts, U.S.A. The site visit will be carried out on site. The team will meet the client group and relevant management / science /enforcement staff as well as any interested stakeholder.</p>
7	<p><b>Assessment and review activities</b></p> <p>The objectives of the surveillance audit are:</p> <ol style="list-style-type: none"> <li>1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation.</li> <li>2. To evaluate the progress of the fishery against outstanding Conditions of Certification raised during the initial assessment.</li> </ol>

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|  | <ol style="list-style-type: none"><li>3. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products.</li><li>4. To review any other significant changes in the fishery.</li></ol> |
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### 3. Stakeholder Input into Fishery Surveillance Assessments

During each surveillance audit, SAI Global is required to hold interviews and actively seek the views of stakeholders to ensure that the Audit Team is aware of stakeholders' concerns. Therefore, SAI Global wishes to invite organisations or individuals with an interest in this fishery to meet with the Audit Team and/or provide information relevant to the surveillance process.

SAI Global would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. At the same time we must inform you that we are unable to reference or use information that cannot be shared with all stakeholders. An exception may be possible where the information relates to either; 1) financial transactions about certification; 2) the financial affairs of individual companies or information that may lead to this information being made public, or; 3) information that is the subject of relevant national privacy or data protection legislation in the Client's country.

Prior to becoming involved, and to ensure effective participation in the MSC process, SAI Global would encourage stakeholders to familiarise themselves with the process using the resources provided on the MSC's website ([www.msc.org](http://www.msc.org)).

The Audit Team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of the Table 1 above.

**If you would like to speak with the Audit Team, please advise us of your interest by 17:00 UTC on 21<sup>st</sup> of February 2020, providing the following details when doing so:**

- Your name and contact details.
- Your association with the fishery.
- The issues you would like to discuss (in order for us to arrange appropriate representation).

If you do not wish to be interviewed, or if you are unavailable during the timeframe outlined in Table 1 above, you may instead make a written submission to the Audit Team. Written submissions must be made via the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website [here](#).

**Comments/responses should be sent to:**

Client Services Administrator

**E:** [ClientServicesie@saiglobal.com](mailto:ClientServicesie@saiglobal.com)

**Submitted by:** Client Services Administrator

**Date:** 21 January 2020

## Appendix 1: Summary of Audit Team's CVs

### **Surveillance Audit Team Leader: Vito Romito, primarily responsible for Principle 2 and Traceability**

Vito has almost 10 years of expertise in fisheries certification and is an ISO14001 Certified Lead Auditor and MSC FCR v.2.0 and FCP v.2.1 approved Fisheries Team Leader for SAI Global with extensive experience in ecosystems effects of fisheries. Vito received a BSc (Honours) in Ecology and a MSc in Tropical Coastal Management from Newcastle University (U.K.), in between which he worked for a year in Tanzania, carrying out comparative biodiversity assessments of pristine and dynamited coral reef ecosystems around the Mafia Island Marine Park. For five years he worked at Global Trust Certification/ later SAI Global as Lead Assessor for all the fishery assessments in Alaska, Iceland and Louisiana. Vito has also carried out several IFFO forage fisheries assessments in Chile, Peru, Europe and other various pre-assessments in Atlantic and Pacific Canada. To date, Vito has headed and conducted dozens of assessments involving 40+ different species including salmonid, groundfish, pelagic, flatfish, crustacean and cephalopod species in Europe, North and South America, and SE Asia. For three years, as a senior fisheries consultant and then manager with RS Standards Ltd., he was involved in the development and testing of a Data Deficient Fisheries framework and v.2.0 fisheries standard for the ASMI Alaska RFM Scheme, and IFFO RS Improver/FIP projects related to South East Asia multispecies bottom trawl fisheries. Vito re-joined the SAI Global Fisheries Team in 2018 and has since been involved in fisheries assessments in the Baltic Sea, Canada, Iceland, Alaska and Louisiana.

### **Surveillance Audit Team Member: Jerry Ennis, primarily responsible for Principle 1**

Following undergraduate and graduate degrees at Memorial University of Newfoundland in the 1960s, Dr. Ennis completed a Ph.D. in marine biology at University of Liverpool in the early 1970s. He retired in 2005 following a 37-year research career with the Science Branch of the Department of Fisheries and Oceans. His extensively published work has focused primarily on lobster and fish fisheries and population biology and on various aspects lobster and fish behaviour and ecology in Newfoundland waters. Throughout his career, Dr. Ennis was heavily involved in the review and formulation of scientific advice for management of shellfish and fish in Atlantic Canada as well as the advisory/consultative part of managing the Newfoundland lobster fishery.

## **Appendix 2: Surveillance frequency**

As noted previously, the surveillance program for this fishery has not changed from that previously indicated in the previous surveillance report. As such the most up-to-date fishery surveillance program may be viewed in the previous surveillance report.

## 4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.0'. While amendments have been made to formatting in order to comply with SAI Global's corporate identity, SAI Global has ensured that content and structure follow that of the original template.

The Marine Stewardship Council's 'MSC Surveillance Announcement Template v2.0' and its content is copyright of "Marine Stewardship Council" - © "Marine Stewardship Council" 2018. All rights reserved.

**Table 2. Template version control.**

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1

A controlled document list of MSC program documents is available on the [MSC website \(www.msc.org\)](http://www.msc.org).

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