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### Marine Stewardship Council Surveillance Announcement

<b>Name of Fishery</b>	Lake Erie Multi-species Commercial	
<b>Surveillance level and type</b>	Level 5 - Offsite	
<b>Surveillance number (tick one)</b>	1st Surveillance	
	2nd Surveillance	✓
	3rd Surveillance	
	4th Surveillance	
	Other (expedited etc)	
<b>Proposed Team Leader</b>	<p>P3 - Ian Scott. In a career spanning 40 years, the main activity of Ian has been in fisheries management. In 1979, he was co-author (with Dr D.I. A. Steele) of one of the first fisheries management plans drafted for a fishery in the EU (the Highland Region of Scotland). In 1991, he was the co-author of one of the first drafts for a fishery management plan in Latin America; the small pelagics fishery in Ecuador (with Dr K. Patterson). He has worked on fishery management issues in a significant number of countries; as Government adviser on fisheries policy and management in Ecuador, Turkey, Montenegro, Serbia, the Dominican Republic, Yemen and Chile. He has covered fisheries management, fleet development, the need for scientific research and fishery related environmental issues. Ian has work as coordinator and P3 specialist on a large number of MSC assessments as lead assessor and P3 specialist including a number that are on-going. In addition, he has completed a large number of pre-assessments. He is a certified auditor for the MSC chain of custody. Ian is trained in the use of the Risk Based Framework (RBF).</p> <p>Ian has passed MSC training and has no Conflict of Interest in relation to this fishery. Further CV details available on request.</p>	
<b>Leadership Experience</b>	Ian has undertaken multiple MSC surveillance and assessments in the past 5 years	
<b>Proposed team members [remove if not applicable]</b>	<p>P2 - Dr Sara Adlerstein has been a research faculty at the School of Natural Resources and Environment (SNRE) at the University of Michigan for 16 years. She obtained MS degrees in Biology at the University of Concepcion, Chile, and MS and PhD in Fisheries at the University of Washington. She has worked in academia (University of Hamburg in Germany) as well as in organizations devoted to fisheries management (Chilean Fishery Ministry, the International Pacific Halibut Commission) and as an expert on ecosystem effect of fisheries for a number of Marine Stewardship Council certifications for sustainable fisheries. Currently she is participating in the assessment of Lake Erie commercial fisheries and Chilean shrimp fisheries. She teaches statistics, applied ecology and classes</p>	

	<p>that explore multilayer relationships between culture and the environment. Her research programme is centred on applied aquatic ecology, with emphasis on population assessments and ecosystem dynamics with the goals of: (i) improving monitoring and increasing the value of available information; (ii) understanding processes that determine distribution and abundance of aquatic organisms; and (iii) quantifying responses of aquatic communities to stressors and management. Major contributions of her research are in applications for management, including diagnostics of environmental quality and advances in concepts related to fish movement and ecosystem food web models.</p> <p>Sara has passed MSC training and has no Conflict of Interest in relation to this fishery. Further CV details available on request.</p> <p>P1 - Dr John Casselman has experience in marine biology going back more than 40 years including as Senior Aquatic Scientist at J.F. MacLaren, Engineers and Environmental Scientists, research Scientist at the Ontario Ministry of Natural Resources and as Senior Research Scientist at the Ontario Ministry of Natural Resources (OMNR) from 1976 until 2005.</p> <p>Has most recently been involved in analysis of long-term datasets has demonstrated the significant overriding effects of climate on community dynamics and population abundance, year-class strength, and the role of predator-prey interaction, especially in early life stages.</p> <p>In 2005, Dr Casselman was awarded the Fruetel Memorial Award of the Ontario Ministry of Natural Resources for significant contributions to Ontario's fisheries research, assessment, and management programs.</p> <p>In 2008, received the Award of Excellence of the 10,000-member American Fisheries Society, the most prestigious award of the 138-year-old society, given annually in recognition of original and outstanding contributions to fisheries science and aquatic biology for lifetime achievements as a researcher, mentor, and leader.</p> <p>Dr Casselman has passed MSC training and has no Conflict of Interest in relation to this fishery. Further CV details available on request.</p>
<b>Local Context</b>	The team all have experience in the region.
<b>Traceability</b>	Ian Scott has completed the MSC traceability module in the last
<b>RBF</b>	Ian Scott has completed the RBF training.
<b>Audit/review time and location</b>	This surveillance audit will take place in Ontario, Canada during the week commencing 25 <sup>th</sup> September 2017.
<b>Assessment/review activities</b>	All relevant data, progress on the Client Action Plan and progress on the 5 open conditions.

### Stakeholder Comment

We expect to conduct any meetings as required during week commencing 25<sup>th</sup> September in Ontario, Canada. We may be able to meet with stakeholders as appropriate. If you would like to talk to the assessors, please advise us of your interest at your earliest convenience, giving the following:

- » your name and contact details;
- » your association with the fishery;
- » the issues you would like to discuss (in order for us to arrange appropriate representation).

The MSC provide guidance and templates for stakeholder contribution which can be found here:

<https://www.msc.org/documents/get-certified/stakeholders>

Submitted by: Billy Hynes  
Date: 24<sup>th</sup> Aug 2017

## Appendix 1: Surveillance frequency (if amended since PCDR)

**Table 4.1 : Surveillance level rationale**

Year	Surveillance activity	Number of auditors	Rationale
2	Onsite	1 Onsite, 2 remote	Progress on the open conditions in the 1 <sup>st</sup> surveillance audit provided sufficient confidence for the team to take a lower level of surveillance audit.
3	Off-Site	3 Offsite	The team expects progress on conditions not to necessitate a site visit for the third surveillance audit.
4	On-Site (possible re-assessment)	3 Onsite	4 <sup>th</sup> surveillance is expected to coincide with re-assessment site visit

**Table 4.2: Timing of surveillance audit**

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
2	20 <sup>th</sup> Aug 2017	W/C 25 <sup>th</sup> Sept 17	The site visit is being combined with other MSC activities which required time to agree and delayed confirmation of the surveillance audit.

**Table 4.3: Fishery Surveillance Program**

Surveillance Level	Year 1	Year 2	Year 3	Year 4
Level 5	Onsite	Onsite	Off-Site	On-Site (possible re-assessment)