

# **SAI Global**

## **Canada 3LN redfish**

### **MSC Surveillance Announcement**

#### **1. Introduction**

MSC certification requires regular surveillance audits of all certified fisheries, this Announcement marks the start of a surveillance audit of this fishery. SAI Global is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information SAI Global is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

## 2. Marine Stewardship Council surveillance announcement

**Table 1. Surveillance announcement.**

1	Fishery name	Canada 3LN redfish
2	Surveillance level and type	Surveillance level 6 (Default surveillance level), on-site audit – See Appendix 2
3	Surveillance number	
	1 <sup>st</sup> Surveillance	
	2 <sup>nd</sup> Surveillance	X
	3 <sup>rd</sup> Surveillance	
	4 <sup>th</sup> Surveillance	
	Other (expedited etc)	
4	Proposed team leader	<p>Dr. Ivan Mateo, primary responsible for Principles 1 and 2 and Traceability</p> <p>Ivan meets Fishery Team Leader Qualification and Competency Criteria outlined in MSC FCP Annex GPC and PC1.</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ Well in excess of 5 years' fisheries experience.</li> <li>▪ Passed MSC's fishery team leader training within the last 3 years.</li> <li>▪ Pass the Lead Auditor ISO 19011 course.</li> <li>▪ Extensive experience leading MSC assessments.</li> <li>▪ Two assignments in the country or region in which the fishery under assessment is based in the last 10 years.</li> <li>▪ Current knowledge of the country, language and local fishery context.</li> <li>▪ Experience in applying different types of interviewing and facilitation techniques.</li> </ul> <p>Ivan has a PhD in Environmental Science with Fisheries specialization. He completed MSC's Fishery Team Leader training for MSC CRv.1.3, FCR v.2.0 and FCP v.2.1. Ivan led numerous MSC assessments in the last 5 years in Atlantic Canada. He is fluent in English which is the language of the fishery client and stakeholders.</p> <p>Ivan will be in charge of coordinating the Assessment Team's work and be responsible for the completion of the audit in accordance with FCP v.2.1.</p> <p>In addition to leading the Assessment Team, Ivan will be the team's expert on Principles 1 and 2 and Traceability. He meets the Principle 1 and Traceability components of the Fishery Team Qualification and Competency Criteria in Annex PC3:</p> <ul style="list-style-type: none"> <li>▪ 5 years more experience applying relevant stock assessment techniques being used by the fishery under assessment.</li> <li>▪ 5 years' experience working with the biology and population dynamics of the target or species with similar biology.</li> <li>▪ 5 years' experience in research into, policy analysis for, or management of, fisheries impacts on aquatic ecosystems.</li> <li>▪ Pass MSC's Traceability training module.</li> </ul> <p>He has extensive experience in working with natural resources population dynamic modelling and his specialisation is in fish and crustacean population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. He was extensive experience working with biology and population dynamic of gadoids and rockfish species. He also have extensive experience in evaluating habitat quality for temperate fishes and anthropogenic disturbances.</p>

	<p>He passed the MSC's traceability training and he has worked as lead assessor in other MSC fisheries being responsible for traceability sections.</p> <p>Ivan does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1.</p>
5	Proposed team members
	<p>Eric Dunne, primary responsible for Principle 3. Eric meets the Fishery Team Member Qualification and Competency Criteria outlined in MSC FCP Annex GPC and PC2.</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ 5 years more experience as a practicing fishery manager and/or fishery/ policy analyst.</li> <li>▪ Passed MSC's fishery team member training within the last 3 years.</li> <li>▪ Review of updates to MSC Fisheries Program Documents.</li> <li>▪ Two assignments in the country or region in which the fishery under assessment is based in the last 10 years.</li> <li>▪ Current knowledge of the country, language and local fishery context.</li> </ul> <p>Eric is a former senior executive with over 45 years' experience in fisheries management, strategic policy development and analysis and fisheries economics in Canada. Eric is fluent in English and is based in Newfoundland, Canada and has extensive fisheries work experience in Canada.</p> <p>Eric does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1.</p>
6	Audit/review time and location
	The on-site audit will take place on 21 <sup>st</sup> May 2019 in St John's (Newfoundland, Canada).
7	Assessment and review activities
	<p>The objectives of the surveillance audit are:</p> <ol style="list-style-type: none"> <li>1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation.</li> <li>2. To evaluate the progress of the fishery against any Conditions of Certification raised during the Main Assessment.</li> <li>3. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products.</li> <li>4. To review any other significant changes in the fishery.</li> </ol>

### 3. Stakeholder Input into Fishery Surveillance Assessments

During each surveillance audit, SAI Global is required to hold interviews and actively seek the views of stakeholders to ensure that the Audit Team is aware of stakeholders' concerns. Therefore, SAI Global wishes to invite organisations or individuals with an interest in this fishery to meet with the Audit Team and/or provide information relevant to the surveillance process.

SAI Global would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. At the same time we must inform you that we are unable to reference or use information that cannot be shared with all stakeholders. An exception may be possible where the information relates to either; 1) financial transactions about certification; 2) the financial affairs of individual companies or information that may lead to this information being made public, or; 3) information that is the subject of relevant national privacy or data protection legislation in the Client's country.

Prior to becoming involved, and to ensure effective participation in the MSC process, SAI Global would encourage stakeholders to familiarise themselves with the process using the resources provided on the MSC's website ([www.msc.org](http://www.msc.org)).

The Audit Team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of the Table 1 above.

**If you would like to speak with the Audit Team, please advise us of your interest by 17:00 UTC on 20<sup>th</sup> May 2019, providing the following details when doing so:**

- Your name and contact details.
- Your association with the fishery.
- The issues you would like to discuss (in order for us to arrange appropriate representation).

If you do not wish to be interviewed, or if you are unavailable during the timeframe outlined in Table 1 above, you may instead make a written submission to the Audit Team. Written submissions must be made via the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website [here](#).

**Comments/responses should be sent to:**

**Niamh Connor**

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**Submitted by:** Niamh Connor

**Date:** 18 April 2019

## Appendix 1: Summary of Audit Team's CVs

### **Surveillance Audit Team Leader: Dr. Ivan Mateo, Primary Responsibility for P1 and Traceability.**

Lead Assessor Dr. Ivan Mateo has over 20 years' experience working with natural resources population dynamic modelling. His specialization is in fish and crustacean population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. Ivan received a Ph.D. in Environmental Sciences with Fisheries specialization from the University of Rhode Island. He has studied population dynamics of economically important species as well as candidate species for endangered species listing from many different regions of the world such as the Caribbean, the Northeast US Coast, Gulf of California and Alaska. He has done research with NMFS Northeast Fisheries Science Centres' Ecosystem Based Fishery Management on bio-energetic modeling for Atlantic cod. He also has been working as environmental consultant in the Caribbean doing fieldwork and looking at the effects of industrialization on essential fish habitats and for the Environmental Defense Fund developing population dynamics models for data poor stocks in the Gulf of California. Recently Ivan worked as National Research Council postdoc research associate at the NOAA National Marine Fisheries Services Ted Stevens Marine Research Institute on population dynamic modelling of Alaska sablefish. Dr. Ivan Mateo was involved in numerous MSC assessments in the Northwest Atlantic as a Principle 1 and 2 assessor.

### **Surveillance Audit Team Member: Eric Dunne Primary Responsibility P3.**

Eric has over 45 years' experience in the economic, policy and operations analyses and executive management of the full range of fishery management activities and functions. Since 1995, he has been a fishery consultant based in St. John's, Newfoundland, Canada, specializing in comprehensive analysis of all aspects of fisheries management activities and issues. He was Regional Director-General of DFO's Newfoundland and Labrador Region from 1981 to 1995. With an educational background in the economics of fishing, he had previously held senior positions in the Department's economics and policy development functions. He later gained experience in the area of fisheries innovation and technology development. As well, he has lectured on fisheries management and fisheries economics in the Masters of Marine Studies Program at Memorial University of Newfoundland and Labrador. Most recently he has become fully versed in the overall MSC assessment process. In this context, he has assessed all aspects of the management systems utilised for a variety of finfish and shellfish fisheries from the sub-Arctic areas of the Northwest Atlantic to the Gulf of Mexico. Eric was a member of the initial assessment team.

## Appendix 2: Surveillance frequency

Table 2. Surveillance level rationale.			
Year	Surveillance activity	Number of auditors	Rationale
2	On-site audit	2 auditors on-site	Based on the fact that the 2 conditions; 2.1.3 Primary Species Information and 3.2.1 Fishery Specific Objectives raised during the initial full assessment remain open, the CAB proposes to have an on-site audit with 2 auditors on-site to ensure that all information will be collected.

Table 3. Timing of surveillance audit.			
Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
6	22th May 2019	21 <sup>st</sup> May 2019	Close to the certificate anniversary date. Team members and key personnel from relevant management agencies are available.

Table 4. Fishery surveillance program.				
Surveillance level	Year 1	Year 2	Year 3	Year 4
Level 6	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit & re-certification site visit

## 4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.0'. While amendments have been made to formatting in order to comply with SAI Global's corporate identity, SAI Global has ensured that content and structure follow that of the original template.

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**Table 5. Template version control.**

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1

A controlled document list of MSC program documents is available on the [MSC website \(www.msc.org\)](http://www.msc.org).

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