

## Marine Stewardship Council Surveillance Announcement

|                                    |   |          |
|------------------------------------|---|----------|
| <b>Name of Fishery</b>             | US Acadian redfish, haddock and pollock otter trawl fishery   |          |
| <b>Surveillance level and type</b> | <p><b>2<sup>nd</sup> surveillance audit, Off-site audit</b></p> <p>At the PRC stage of the initial assessment, the determination of the surveillance level was based on Table C3 and C4 of the MSC CR v1.3. The surveillance level was determined to be a normal surveillance (4 on-site surveillance audits).</p> <p>During the 1<sup>st</sup> surveillance audit, the surveillance level was revised to Level 5 (3 on-site audits and 1 off-site). This 2<sup>nd</sup> surveillance audit will be carried out 7 month after the 1<sup>st</sup> annual audit. SAI Global is confident that updates in management and science, review of any changes as well as progress of the fishery against conditions 1 and 2 can be collected remotely.</p>   |          |
| <b>Surveillance number</b>         | 1 <sup>st</sup> Surveillance  |          |
|                                    | 2 <sup>nd</sup> Surveillance  | <b>X</b> |
|                                    | 3 <sup>rd</sup> Surveillance  |          |
|                                    | 4 <sup>th</sup> Surveillance  |          |
|                                    | Other (expedited etc.)  |          |
| <b>Proposed Team Leader</b>        | <p>It is proposed Dr. Virginia Polonio, a member of SAI Global's internal will lead the Assessment Team. Virginia meets the Fishery Team Leader Qualification and Competency Criteria outlined in FCR, she has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject</li> <li>▪ Well in excess of 5 years' fisheries experience</li> <li>▪ Passed MSC's fishery team leader training within the last 3 years</li> <li>▪ Extensive experience leading MSC assessments</li> <li>▪ Has undertaken well in excess of MSC fishery assessment or surveillance site visits as a team member in the last 5 years</li> <li>▪ Experience in applying different types of interviewing and facilitation techniques.</li> </ul> <p>Virginia will be in charge of coordinating the other Assessment Team members, participating in the assessment and be responsible for the completion of the assessment in accordance with Certification procedures.</p> <p>In addition to leading the Assessment Team Virginia will be the team's expert on Principle 2. She meets the Principle 2 components of the Fishery Team Qualification and Competency Criteria in FCR Annex PC; she has</p> <ul style="list-style-type: none"> <li>▪ 5 years or more experience applying relevant techniques to evaluate fishing impacts on Vulnerable Marine Ecosystems and study diverse finfish species biology and ecology.</li> </ul> <p>Virginia does not have any conflicts of interest in relation to the fishery under assessment; her biography is provided in Appendix 1.</p> |          |
| <b>Proposed team members</b>       | <p><b>R.J. (Bob) Allain (Assessor) – Responsibility for Principle 3</b></p> <p>Bob meets the Fishery Team Member Qualification and Competency Criteria outlined in FCR Annex PC; he has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject</li> <li>▪ 5 years' fisheries experience</li> <li>▪ Passed MSC's fishery team member training within the last 3 years</li> <li>▪ 5 years more experience as a practicing fishery manager and/or fishery/ policy analyst.</li> <li>▪ Two assignments in the country or region in which the fishery under assessment is based in the last 10 years</li> </ul>  |          |

|                                       |  |
|---------------------------------------|--|
|                                       | <p>Bob does not have any conflicts of interest in relation to the fishery under assessment; his biography is provided in Appendix 1.</p> <p><b>Jerry Ennis (Assessor) – Responsibility for Principle 1</b><br/>       Jerry meets the Fishery Team Member Qualification and Competency Criteria outlined in FCR Annex PC; he has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject</li> <li>▪ 5 years’ fisheries experience</li> <li>▪ Passed MSC’s fishery team member training within the last 3 years</li> <li>▪ 5 years more experience as a practicing fisheries stock assessment, fisheries biology and ecology</li> <li>▪ Assignments in the country or region in which the fishery under assessment is based in the last 10 years</li> </ul> <p>Jerry does not have any conflicts of interest in relation to the fishery under assessment; his biography is provided in Appendix 1.</p> |
| <b>Audit/review time and location</b> | <p>The audit will be conducted remotely and conference calls with stakeholders will be held. It is proposed that conference calls take place from February 25<sup>th</sup> to February 28<sup>th</sup>, 2019</p> <p>Stakeholders with an interest in this fishery are invited to make written comment or provide information relevant to the assessment process. Stakeholders are also invited to meet with the Assessment Team remotely, conference calls via skype or other platform will be offered to sort the meetings. For further details on Stakeholder input into the MSC Assessment Process below.</p>   |
| <b>Assessment/review activities</b>   | <p>The objectives of the surveillance audit are:</p> <ol style="list-style-type: none"> <li>1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation.</li> <li>2. To evaluate the progress of the fishery against any Conditions of Certification raised during the initial Assessment and their status after the first surveillance.</li> <li>3. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products.</li> <li>4. To review any other significant changes in the fishery.</li> </ol>  |

## Stakeholder Input into Fishery Surveillance Assessments

MSC certification requires annual surveillance assessments of all certified fisheries. This fishery is now entering the 'annual surveillance' stage of the assessment against the MSC Principles and Criteria for Sustainable Fishing. A key purpose of this stage is to collect information on the fishery and in particular to speak to representatives of the fishery, fishery management and environmental management bodies.

SAI Global wishes to invite organisations or individuals with a direct interest in this fishery to make written comment or provide information relevant to the surveillance assessment process. To assist in contributing relevant information and to ensure that any contributions have maximum impact stakeholders should use the [Stakeholder Input into MSC Fishery assessments](#) template when providing input.

All stakeholder's guidance documents and forms can be access by clicking on the link below:  
<https://www.msc.org/documents/get-certified/stakeholders>

We expect to carry out most meetings over the period specified in the "Audit/review time and location" Section of the above Table during which the Assessment Team will be available for direct stakeholder meetings.

**If you would like to talk to the assessors, please advise us of your interest by 18<sup>th</sup> February 2019 giving the following details:**

- your name and contact details
- your association with the fishery
- the issues you would like to discuss (in order for us to arrange appropriate representation)

If you not wish to be interviewed you may still submit written information to the Assessment Team by completing the aforementioned [Stakeholder Input into MSC Fishery assessments template](#).

Should you wish to obtain further information on the Marine Stewardship Council, this is available on their web site at <http://www.msc.org>.

**Comments/responses should be sent to:**

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**Submitted by: Niamh Conor**

**Date: 15<sup>th</sup> January 2019**

## Appendix 1: Surveillance Assessment Team

### - Team leader and team member responsible for P2

**Dr. Virginia Polonio**, has a degree in Environmental Sciences (B.S.c. University of Cádiz). She has a Master degree (M.Sc. University of Cádiz) in Fisheries Management and Aquaculture. She obtained her PhD in Biodiversity and Natural resources at the University of Oviedo and during her PhD she gained experience in the field of research of fisheries and Vulnerable Marine Ecosystems (VMEs). During her PhD, she gained skills in the fields of benthic ecology and management of ecosystems.

She has participated in the Spanish National Basic Plan of Data to collect and evaluate the fishing activities in ICES and CECAF areas where Spanish fleets realize their activities. She carried out feeding habit and age/size studies of *Pagellus Bogaraveo* and others commercial species (hake, anchovy, sharks, mackerel, squid, etc.) to define trophic and predation levels of commercial species in the Gulf of Cadiz and the Strait of Gibraltar.

She has worked on several full assessments such as ISF Capelin, ISF Mackerel, CSHMAC Herring, Cantabrian Sardine, North Atlantic Albacore, Squat lobster, Blue sharks and Swordfish, among others as a Lead Assessor and Team member responsible for P2. She has also participated in Surveillances and pre-assessments acquiring experience in the MSC certification. She participated in the 1<sup>st</sup> Surveillance for US Acadian redfish, pollock and haddock.

She is a full-time employee at SAI Global and she will be Lead assessor and P2 expert in this audit.

### - Team member responsible for P1

**Dr. Jerry Ennis**, Following undergraduate and graduate degrees at Memorial University of Newfoundland in the 1960s, Dr. Ennis completed a Ph.D. in marine biology at University of Liverpool in the early 1970s. He retired in 2005 following a 37-year research career with the Science Branch of the Department of Fisheries and Oceans. His extensively published work has focused primarily on lobster fishery and population biology and on various aspects of larval, juvenile and adult lobster behaviour and ecology in Newfoundland waters. Throughout his career, Dr. Ennis was heavily involved in the review and formulation of scientific advice for management of shellfish in Atlantic Canada as well as the advisory/consultative part of managing the Newfoundland lobster fishery. He participated in the 1<sup>st</sup> Surveillance for US Acadian redfish, pollock and haddock.

### - Team member responsible for P3

**R. J. (Bob) Allain** is the president and principal consultant of OceanIQ Management Services Inc. He is a former senior executive with over 30 years' experience with Canada's Federal Department of Fisheries and Oceans in fisheries management, strategic policy development and analysis, program design and delivery, human and financial resources management, media and inter-governmental relations, facilitation and conflict resolution, and mentoring. He has consulted internationally for the Canadian International Development Agency, the (former) International Centre for Ocean Development, the World Bank, and the Food and Agricultural Organization of the United Nations. Bob has participated in several Atlantic Canadian pelagic, demersal, and crustacean fishery assessments under the MSC Standard since 2010 as a P3 expert, auditor, client representative, and, most recently, as a peer reviewer. He participated in the 1<sup>st</sup> Surveillance for US Acadian redfish, pollock and haddock.

## Appendix 2: Surveillance frequency

**Table 4.1: Surveillance level rationale**

| Year | Surveillance activity | Number of auditors | Rationale  |
|------|-----------------------|--------------------|--|
| 2    | Off-site visit        | 3 auditors         | <p>At the PRC stage of the initial assessment, the determination of the surveillance level was based on Table C3 and C4 of the MSC CR v1.3. The surveillance level was determined to be a normal surveillance (4 on-site surveillance audits).</p> <p>During the 1<sup>st</sup> surveillance audit, the surveillance level was revised to Level 5 (3 on-site audits and 1 off-site). This 2<sup>nd</sup> surveillance audit will be carried out 7 month after the 1<sup>st</sup> annual audit. Important changes in the fishery are not expected due to the short period of time since the 1<sup>st</sup> surveillance was carried out. SAI Global is confident that updates in management and science, review of any changes as well as progress of the fishery against conditions 1 and 2 can be collected remotely.</p> |

**Table 4.2: Timing of surveillance audit**

| Year | Anniversary date of certificate | Proposed date of surveillance audit              | Rationale  |
|------|---------------------------------|--|--|
| 2    | 5 <sup>th</sup> July 2018       | 25 <sup>th</sup> -28 <sup>th</sup> February 2019 | <p>The 1<sup>st</sup> surveillance audit was conducted outside the 6 months of the certificate anniversary date. SAIG committed to conduct the 2<sup>nd</sup> surveillance audit within 6<sup>th</sup> months of the 2<sup>nd</sup> anniversary of the certificate which is by 5<sup>th</sup> January 2019 (as per Advisory for SH posted on April 16<sup>th</sup>, 2018) to put back the fishery on track in terms of required timeframe.</p> <p>However, due to changes within the client group leading to delay in audit planning, the 2<sup>nd</sup> site visit will be conducted 8 months after the certificate anniversary date.</p> |

**Table 4.3: Fishery Surveillance Program**

| Surveillance level | Year 1                     | Year 2                      | Year 3                     | Year 4                                       |
|--------------------|----------------------------|-----------------------------|----------------------------|--|
| Level 5            | On-site surveillance audit | Off-site surveillance audit | On-site surveillance audit | On-site surveillance audit and re-assessment |