

Global Trust Certification

FBSA Canada Full Bay sea scallop

MSC Surveillance Announcement

07 May 2021

1. Introduction

MSC certification requires regular surveillance audits of all certified fisheries and this Announcement marks the start of a surveillance audit of the above fishery. Global Trust Certification (hereafter Global Trust) is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information Global Trust is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

2. Marine Stewardship Council surveillance announcement

Table 1. Surveillance announcement.

1	Fishery name	
	FBSA Canada Full Bay sea scallop	
2	Unit(s) of Assessment (UoA)	
	Species:	Latin name: <i>Placopecten magellanicus</i> Common name(s): Atlantic sea scallop
	Stock(s):	Atlantic sea scallop in SFA 28 and 29W
	Fishing gear type(s) and, if relevant, vessel type(s):	Digby dredge
	Geographical area(s):	FAO Fishing Area 21 Atlantic Northwest, Canada EEZ, Bay of Fundy
	Client group:	Full Bay Scallop Association (FBSA)
	Other eligible fishers*:	There are no other eligible fishers
	*Other eligible fishers are fishing fleets, or individual fishing operators pursuing the same stock(s), using the same fishing gear and, if relevant, vessel type(s), in the same geographical area(s) as Client Group members but that are outside the Client Group. Other eligible fishers are eligible, upon agreement with the client group, to share the certificate as new client group members.	
3	Date certified	Date of expiry
	21 July 2013	04 January 2024 (extended by 6 months per MSC Covid policy)
4	Surveillance level and type	
	Surveillance level 1 'Minimum surveillance', off-site surveillance audit. The surveillance program for this fishery has not changed from that previously indicated in the PCR published 05 July 2018.	
5	Surveillance number	
	1 st Surveillance	
	2 nd Surveillance	X
	3 rd Surveillance	
	4 th Surveillance	
	Other (expedited etc)	
6	Proposed team leader	
	As this is a fishery in its 2 nd certification cycle with no conditions and ability of Global Trust to remotely verify information is high, the assessment can be conducted by 1 suitably qualified assessor which in this case is Sam Dignan. According to MSC Fisheries Certification Process (FCP) v2.2 §7.28.14.2.b if a single auditor is appointed by the CAB, the auditor shall meet the team leader requirements specified in Table PC1 and at least 1 of the fishery team qualification and competency criteria from Table PC3 relevant to the outstanding conditions in the fishery. In this case there are no conditions. Sam meets the competency criteria for MSC team leaders as specified in MSC FCP §Table PC1 in that he has: <ul style="list-style-type: none"> ▪ A degree in a relevant subject. ▪ +3 years' fisheries experience. ▪ Reviewed updates to the MSC Fisheries Program Documents at least annually. ▪ Passed MSC's fishery team leader training within the last 5 years as well as new versions of online training modules where relevant. ▪ Undertaken >>2 MSC fishery assessment or surveillance site visits as a team member in the last 5 years. ▪ Passed an appropriate ISO Lead Auditor training course as required by MSC requirements. With respect fishery team qualification and competency criteria specified in MSC FCP §Table PC3, Sam additionally has: <ul style="list-style-type: none"> ▪ 3 years' or more experience of applying relevant stock assessment techniques being used by the fishery under assessment (i.e. CSA). 	

Table 1. Surveillance announcement.

	<ul style="list-style-type: none"> ▪ 3 years' or more experience working with the biology and population dynamics of species with similar biology to the target species (i.e. other pectiniids (scallops)). ▪ +3 years' experience in research into, policy analysis for, or management of, the impact of fisheries on aquatic ecosystems including the following topics: i) Bycatch and ii) Habitats. ▪ Knowledge of a common language spoken by clients and stakeholders, and 2 assignments in the country or region in which the fishery under assessment is based in the last 10 years. ▪ Passed the MSC's traceability module within the last 5 years as well as new versions of online training modules where relevant. ▪ Reviewed any updates to the MSC's traceability requirements at least annually where relevant. <p>Sam does not have any conflicts of interest in relation to the fishery under assessment; a summary of his CV is provided in Appendix 1. Sam will be off-site during this assessment.</p>
8	Audit/review time and location
	<p>Surveillance activities will be conducted between 07 and 11 June 2021.</p> <p>As this is a remote assessment, activities will be carried out from the assessment team's home offices.</p>
9	Assessment and review activities
	<p>During the assessment, the team will review:</p> <ul style="list-style-type: none"> – Any potential or actual changes in management systems. – Any changes or additions/deletions to regulations. – Any personnel changes in science, management or industry and their impact on the management of the fishery. – Any potential changes to scientific information, including stock assessments. – Any changes affecting traceability. – Any changes affecting harmonisation of overlapping fisheries, see PB1.3.5 <p>The team will also rescore relevant Performance Indicator(s) if necessary and, should any fall below the unconditional pass level, raise conditions as appropriate.</p>
10	Stakeholder opportunities
	<p>As part of this surveillance audit, the following stakeholder opportunities are available:</p> <ul style="list-style-type: none"> ▪ Stakeholders may submit written input using the 'MSC Template for Stakeholder Input into Fishery Assessments' which is available here: https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment. ▪ Stakeholders may also consult directly with the audit team during the period specified in the 8. Audit/review time and location above. <p>Further information on Stakeholder input opportunities is provided in 3. Stakeholder Input into Fishery Surveillance Audits opportunities below.</p>

3. Stakeholder Input into Fishery Surveillance Audits

During surveillance audits, CABs are required to seek the views of relevant parties. Therefore, Global Trust wishes to invite organisations or individuals with an interest in this fishery to provide written information relevant to the surveillance audit process and/or consult directly with the audit team.

Prior to participating, stakeholders should familiarise themselves with the MSC process using the resources provided on the MSC's website: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

Global Trust would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. With this being said, information that they cannot be shared with all stakeholders cannot be referenced in an assessment or used in determining assessment outcomes unless it relates to; a) financial transactions about certification, b) the financial affairs of individual companies or information that may lead to this information being made public, or c) information that is the subject of relevant national privacy or data protection legislation in the client's country.

3.1 Submitting written information

Please note that Global Trust can only accept written stakeholder input as public record if it is submitted using the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

When submitting information, stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

For your written submissions to be considered as part of this audit, you must:

1. Use the MSC Template for Stakeholder Input into Fishery Assessments.
2. Submit it by **17:00 UTC on Sunday, 06 June 2021**.
3. Send it to Global Trust Client Services: ClientServicesie@nsf.org

3.2 Consulting directly with the audit team

As part of this audit, the audit team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of Table 1 above.

If you would like to consult directly with the audit team during this period, please advise us of your interest in doing so by:

1. Contact us at Global Trust Client Services: ClientServicesie@nsf.org.
2. **17:00 UTC on Sunday, 06 June 2021**.
3. Provide at least the following details when doing so:
 - Your name and contact details.
 - Your association with the fishery.
 - The issues you would like to discuss (for us to arrange appropriate representation).

Appendix 1: Summary of Audit Team's CVs

Surveillance Audit Team Leader: Sam Dignan

Sam Dignan is a fisheries scientist who has previously worked with the Department of Environment, Food and Agriculture (DEFA), Isle of Man and Bangor University Fisheries and Conservation Science Group (Wales). He has a BSc in Biological and Chemical Sciences with Zoology from University College Cork and an MSc in Marine Environmental Protection from Bangor University. He has experience conducting stock assessments, from the survey design and implementation phases through to final analysis and report presentation; from 2013 to 2015 he was a member of the ICES working group on scallop stock assessment. He has been involved in providing scientific data to ensure fishery compliance with the Marine Stewardship Council's (MSC) certification framework and has participated in MSC surveillance audits from a client's perspective.

Sam has extensive experience of interacting directly with fishers and their representative organizations as well as members of scientific and government institutions. He was previously an advisor to the Isle of Man Queen Scallop Management Board that manages the MSC certified Isle of Man queen scallop fishery. He has also worked on the spatial analysis of fishing activity, using Vessel Monitoring System (VMS) and logbook data, to spatially quantify fishing activity and fisheries-ecosystem interactions. Sam is an ISO approved lead auditor and is Global Trust's Scheme Manager for Fisheries, Aquaculture and Seaweed.

Appendix 2: Surveillance frequency

As noted previously, the surveillance programme for this fishery has not changed from that previously indicated in the PCR or a previous surveillance report. As such the most up-to-date fishery surveillance programme may be viewed in the PCR.

4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.1'. While amendments have been made to formatting in order to comply with Global Trust Certification's corporate identity, Global Trust has ensured that content and structure follow that of the original template.

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Table 2. Template version control.

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue.
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added.
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1.
2.01	28 March 2019	Minor document change for usability.
2.1	25 March 2020	Release alongside Fisheries Certification Process v2.2.

A controlled document list of MSC programme documents is available on the [MSC website \(www.msc.org\)](http://www.msc.org).

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