

Marine Stewardship Council Surveillance Announcement

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| Name of Fishery | Mexico Sinaloa Thread Herring | |
| Surveillance level and type | Level 6- on-site | |
| Surveillance number (tick one) | 1st Surveillance | x |
| | 2nd Surveillance | |
| | 3rd Surveillance | |
| | 4th Surveillance | |
| | Other (expedited etc) | |
| Proposed Team Leader | <p>Gabriela Anhalzer — SCS Global Services - Sustainable Seafood Lead, Principle 2</p> <p>Gabriela Anhalzer received a Masters degree in coastal environmental management from Duke University. Ms. Anhalzer has several years of experience in marine conservation and fisheries, she has worked as an independent consultant conducting evaluations of fishery improvement projects and as a fisheries policy and stakeholder specialist. She has also worked as an associated researcher in Latin America for sea turtle population studies, sea bird census, and supporting stakeholder engagement in participatory management of marine protected areas. Ms. Anhalzer has provided technical support for numerous MSC assessment and possess a comprehensive understanding of MSC fisheries standard and stages; meeting MSC's team leader qualifications and competency criteria. Ms. Anhalzer has received ISO 9001 auditor training, has completed the MSC training and has affirmed she has no conflict of interest.</p> | |
| Proposed team members [remove if not applicable] | <p>Dr. Carlos M. Alvarez-Flores – Oceanides Conservacion y Desarrollo Marino Principle 1 and Principle 3</p> <p>Dr. Carlos Alvarez-Flores was born in Mexico City in 1961 and obtained Bachelors of Science and Master of Science degrees at the National University</p> | |

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| | <p>of Mexico. He later moved to Seattle, USA to obtain a Doctor of Philosophy degree at the School of Fisheries of the University of Washington. His research interests are focused on the management and conservation of wildlife and fisheries. This includes abundance estimation; assessment of population status; estimation of population parameters; the effect of human intervention; direct harvest; bycatch and associated environmental effects; projections based on biological potential; population viability; risk assessment; design of alternative management strategies. His background comes from work dealing with large, pelagic, data rich fisheries, but his current assignments are related to small-scale, coastal, data poor fisheries. Therefore, his present challenge is to combine ideas, techniques, knowledge and experience to improve the performance of these problematic activities in developing countries. Most of his experience has been focused on practical investigations applied to population and fishery assessment and management as a consultant for governments, NGOs and the private sector of different countries. To the present, he has worked for SCS for over two years in MSC pre-assessments, assessments and surveillance audits of different types of fisheries in different countries.</p> <p>Dr. Alvarez was a member of the initial full assessment team, together the team meets the competency criteria (FCR7.23.11.1-7.23.11.3) and affirm they have no conflict of interest.</p> |
| Audit/review time and location | Meetings to be held on November 14 & 15, 2017, in Mazatlán, Sinaloa, Mexico with the client and relevant personnel from the management agency. |
| Timeline Justification | NA. Surveillance audit to be held within 1 month of the anniversary date. |
| Assessment/ review activities | <p>The surveillance audit will be conducted in accordance with MSC FCRV2.0 7.23.12 and will include review of updated documentation on the fishery and interviews with key management and stakeholders, focusing on:</p> <ul style="list-style-type: none"> a. Changes to the fishery and its management; including: <ul style="list-style-type: none"> i. Any potential or actual changes in management systems. ii. Any changes or additions/deletions to regulations. iii. Any personnel changes in science, management or industry and their impact on the management of the fishery. iv. Any potential changes to the scientific base of information, including stock assessments. v. Any changes affecting traceability b. Performance in relation to any relevant conditions of certification; c. Any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products; and d. Any other significant changes in the fishery. |

SCS encourages stakeholders not to withhold information, and SCS will not permit use of confidential information for reference within an assessment as basis for determination of an assessment outcome, or as basis for an objection to certification. Confidential information is restricted to defined exceptions listed in FCRv2.0 (4.3.3).

Any parties (individuals or organizations) interested in providing input at the on-site meetings or via email, and/or in being directly informed of future stakeholder announcements, please contact SCS at ganhalzer@scsglobalservices.com with:

- a. your name and contact details;
- b. your association with the fishery; and
- c. the issues you would like to discuss (in order for us to arrange appropriate representation).

As a reminder, information is most useful to the assessment team when it is specific and includes constructive suggestions for improving existing situations. Also, supporting documentary evidence for any issues of concern will be greatly appreciated by the assessment team.

Submitted by: Gabriela Anhalzer

Date: October 12, 2017