



Bureau Veritas Certification Holding SAS

**WESTERN ASTURIAS OCTOPUS TRAPS FISHERY OF
ARTISANAL COFRADÍAS FISHERY**

MSC Surveillance Announcement



1 Marine Stewardship Council surveillance announcement

Table 1 – Surveillance announcement

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| 1 | Fishery name | |
| | WESTERN ASTURIAS OCTOPUS TRAPS FISHERY OF ARTISANAL COFRADÍAS FISHERY | |
| 2 | Surveillance level and type | |
| | <p>The surveillance level set out in the Public Certification Report (PCR) was Level 4. The surveillance requirements for level 4 are 2 on-site surveillance audits and 2 off-site surveillance audits.</p> <p>The type of surveillance audit in years 3 and 4 was modified in the 2nd surveillance report. The off-site audit was moved from the 4th to the 3rd surveillance audit, while the on-site visit was moved from the 3rd to the 4th surveillance audit since the 4th surveillance audit was meant to match the re-certification. The 4th surveillance audit is now going to be undertaken off-site due to covid19 and separately from the re-certification process. Therefore, the level was changed to Level 3. The Appendix 2 includes the current surveillance program.</p> | |
| 3 | Surveillance number | |
| | 1st Surveillance | |
| | 2nd Surveillance | |
| | 3rd Surveillance | |
| | 4th Surveillance | X |
| | Other (expedited etc) | |
| 4 | Proposed team leader | |
| | <p>Macarena Garcia, her academic background includes a Bachelor of Science Degree in Environmental Science from the Madrid Polytechnic University (Spain) and a Master degree in Sustainable Management of Marine and Coastal Systems from Barcelona University (Spain). She was the manager in Inemar (Association for innovation in marine resources and sea studies) developing sustainable projects. She has worked as an assistant in the Spanish Ministry of the Environment and Rural and Marine Affairs, carrying out different projects involving human activities and sea resources.</p> <p>She has participated in several scientific publications, such as the “Ecological framework for the management of the different habitats in Spain (Council Directive 92/43/CE)”, “Supporting report accompanying the thematic cartography of the MedRAS Project”, and “Draft of the Basis for Marine Planning in Spain”. She was responsible for the scientific and technical coordination of the bilingual publication “The Seas of Spain” from the Spanish Ministry of the Environment and Rural and Marine Affairs, and responsible for the scientific and technical coordination of the bilingual publication “Human Activities in the Seas of Spain”</p> <p>She is the MSC fisheries manager at Bureau Veritas and specialises in sustainable fisheries. She has particular expertise with the MSC Certification requirements and has completed numerous MSC full assessments, pre-assessments, surveillance audits. Furthermore, she is in charge of other seafood sustainable projects developing private sustainable labels and seafood companies’ policies. She is lead auditor for Friends of the sea, MSC Chain of custody, and other quality labels (DOP, Mexillon de Galicia, Pesca de Rías).</p> | |

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| | <p>She meets the qualification criteria for local knowledge, local fishery context and traceability. She meets the qualification and competency requirements for team leader, traceability and the CoC Standard set out in Annex PC of the MSC Fisheries Certification Process v2.1. She also has knowledge of the country, language and local fishery context. Bureau Veritas confirms she has no conflicts of interest in relation to the fishery audit. For this surveillance she will act as team leader. She has not a conflict of interest for this fishery.</p> |
| 5 | Proposed team members |
| | <p>Gonzalo Macho holds a BSc (1997) in Marine Sciences, a MSc (2000) in Marine Ecology & Ecosystems Functioning and a PhD (2006) on Barnacles Ecology & Population dynamics from the Univ. of Vigo (Spain). Afterwards he has done postdoctoral research (2008-2015) at the Univ. of Washington (Seattle, USA), CENPAT-CONICET (Puerto Madryn, Argentina) and the Univ. of South Carolina (Columbia, USA). He has a background as a marine ecology and fishery scientist (1998 - ongoing), as a fishery practitioner on shellfish resources (bivalves, echinoderms, crustaceans and algae) for a Fisher’s guild and the Regional Fisheries Authority of Galicia, Spain (2007-2008), and as an independent consultant in fisheries & marine ecology (2011 - ongoing). He has published over 20 papers in SCI peer-reviewed journals, another 20 technical reports and has participated in more than 25 national and international scientific projects on population dynamics of shellfish resources (razor clams, cockles, gooseneck barnacle, clams & sea urchins), fisheries management and governance (octopus, razor clams, gooseneck barnacle, scallops, abalones, pelagic and deep-sea fishes in Argentina, Chile, Spain, Portugal and EU), reform of the EU common fisheries policy, marine socio-ecological systems and climate change impacts on marine invertebrates.</p> <p>He has worked on shellfish stock status since 2007 while hired as a practitioner leading a razor clam fishery assessment project, and has been involved in other assessments of octopus fishery in Madagascar (2017-18) and another razor clam fishery in Spain (2018-20). He has an extensive background on the biology, life cycle and population dynamics of shellfish with a focus on bivalves by being involved since 1999 in many projects (cephalopods (2017-20 & 2017-18), edible stalk barnacles (2017-20, 1999-2002), clams (2015-17 & 2011-15), Razor clams (2017-20, 2010-13 & 2007-10), Sea urchins (2005-08), intertidal invertebrates (2003-06) and cockles (2001-03)), ensuring he meets qualification and competency criteria for stock assessment & stock biology & ecology.</p> <p>Several of the projects mentioned and job background as a practitioner in fisheries management, have dealt with the impact of fisheries and other drivers on the fishery; monitoring of impacts and fauna associated in shellfish fisheries (2007-08), oil spills impact on marine benthonic invertebrates (2003-06), discards in the razor clam fishery and minimization through changes in the closed season and fishing grounds rotation (2017-20 & 2007-10) and the impact of the stalk barnacle fishery on the rocky ecosystem (2017-20). This ensures he meets qualification and competency criteria for fishing impacts on aquatic ecosystems.</p> <p>He also has experienced since 2007 on fisheries management and governance as a practitioner first (2007-08) and then as a researcher (2008 - to present) and independent consultant (2011 - to present) in several different countries (Spain, Portugal, France, Argentina, Chile, USA, Madagascar...). He has participated in around 10 national and international scientific projects on the management and governance and the social implications, understanding fisheries as socio-ecological systems and applying ecosystem based management frameworks. This ensures he meets qualification and competency criteria for fishery management and operations.</p> <p>He has recently coordinated the FAO project <i>Illuminating Hidden Harvests (IHH): Case study on small-scale fisheries in Spain</i> (2020, client: FAO-Univ. Vigo) and finished a review (2019, client: MSC) on 3 octopus fisheries (Spain, Indonesia and Australia) for identifying potential obstacles to MSC certification for octopus’ fisheries and revision of the MSC certification scheme for this kind of fisheries (3 pre-assessment were conducted). He has also participated as P1 expert in a comprehensive review for small pelagics artisanal and industrial fisheries in Chile that are MSC certified (krill and Chilean jack mackerel) or did a pre-assessment (several stocks of anchovy, sprat and Araucarian herring) led by Luis Ambrosio for WWF-USA.</p> <p>Gonzalo has worked since 2014 as an assessor on 22 MSC certifications (5 Full Assessments, 6 Annual Surveillances, 3 Peer reviews and 8 Pre-assessments) within Europe, USA, Australia, Asia and Latin America acting as Team member on P1, 2 and 3 and as peer-reviewer. He was part of the team in charge of the initial assessment of the Wester Asturias octopus traps fishery of artisanal cofradías, and all the subsequent surveillance audits. Furthermore, he has completed the MSC training in the use of the RBF. For this re-assessment his responsibilities will be mainly related to assessing Principle 2 and 3. He has no conflicts of interest for this fishery.</p> |



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| 6 | Audit/review time and location |
| | The team will be carrying out the off-site visit during the week of the 20 th of July from the CAB/auditor office/home (due to the COVID-19 situation). Conference calls will be held with all the stakeholders including the Client. |
| 7 | Assessment and review activities |
| | <p>In accordance to the Fisheries Certification Process requirements, during the off-site surveillance audit, the team will:</p> <ul style="list-style-type: none">• Actively seek the views of:<ul style="list-style-type: none">○ The client on any significant changes in the fishery○ The stakeholders and other surveillance participants on any concerns• Review potential or actual changes in:<ul style="list-style-type: none">i. Regulatory framework and fishery management system (objectives, mechanisms for decision-making, monitoring, control, inspection, evaluation), including compliance of the certified fleet;ii. Science, management or industry personnel and their impact on the management of the fishery;iii. Scientific information, including stock assessments;iv. Affecting the ‘management loop’ (outcome, management, information) assessed in the initial certification process for the certified species and the other species impacted by the fishery, as well as for marine habitats and ecosystems impacted by the fishery.v. Affecting traceability (focusing on the segregation of MSC product from non-MSC product) and harmonization of overlapping fisheries;• Review if the Performance Indicators (PIs) information-based scoring has changed and report, record and (if necessary) re-score the PI.• Evaluate the fishery progress in relation to the certification conditions, verifying whether it is “behind target”, “on target” or “above target” and re-score if applicable. <p>And will perform the following activities:</p> <ul style="list-style-type: none">i. Prior to the off-site visit, the team will prepare a document with the main topics to be discussed which will be shared with the Client and all the stakeholders that are going to attend the off-site visit to try to gather as much information as possible to be as prepared as possible for the surveillance audit.ii. Remote meetings with stakeholders. |

Bureau Veritas encourages stakeholders interested in scheduling a meeting to provide the following details:

- a) Name and contact details
- b) Relation with the fishery
- c) Issues you would like to discuss
- d) When are you available for a meeting (Week 20 of July)

In order to make the necessary adjustments on the scheduled agenda of the assessment team, this information should be sent to the contact details provided below before the **9th of June 2020 at 5 PM UTC**. Written information can be provided to the assessment team as an alternative or in addition to a meeting. If written information is provided, please use the MSC template for stakeholder input into Fishery Assessments v3.0 ([click here](#) to download it).

Besides, Bureau Veritas encourages stakeholders to provide any information they might consider relevant in relation to the status of the target fish stock, ecosystem interactions, fishery management practices and/or progress on existing conditions/recommendations. Check the guide for stakeholder’s engagement in fishery assessments on the MSC website:



- Stakeholder's Guide and Template for stakeholder's inputs available here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>

Please send your comments to the contact details provided below.

Submitted by: Macarena García Silva

Contact email: Macarena.garcia@es.bureauveritas.com

Date: 8th of May 2020

Appendix 1: Summary of CVs of team leader and team member(s) - optional

The BIO of each member is included in the announcement. The CVs can be send upon request.



Appendix 2: Surveillance frequency - if amended since PCDR

The fishery was certified against MSC FCR v2.0. A level 4 surveillance was established at the PCR, requiring 2 on-site audit, 2 off-site audits. However, the surveillance level and the fishery surveillance program was modified to level 3 (requiring 1 on-site and 3 off-site audits) at the announcement of this 4th Surveillance audit.

On Friday 27 March 2020, the MSC published a derogation as a respond to the COVID19. A six-month extension on the usual timelines for MSC assessments and certifications was applied. However, Fisheries can choose to continue their current process by arranging remote audits with their CAB. Therefore, the current (4th) surveillance audit is going to take place remotely. However, the new anniversary date for this fishery is now the 9th of August 2021 (See Table 3).

| Year | Surveillance activity | Number of auditors | Rationale |
|------|-----------------------|--------------------|--|
| 4 | Off-site | 2 auditors remote | The team together with the client decided to undertake the 4 th surveillance separately from the re-certification process due to the remaining conditions opened that shall be closed before the certificate expires. Due to the COVID19 the surveillance site visit was proposed remotely. During this months the team has been working in the Announcement Comment Draft Report (ACDR) and they have a sound knowledge of the fishery and the stakeholders. The remaining conditions can be perfectly assessed remotely using electronic means by the different parties. |

| Year | NEW Anniversary date of certificate | Proposed date of surveillance audit | Rationale |
|------|-------------------------------------|-------------------------------------|---|
| 4 | 9 August 2021 | Week 20 th July 2020 | Not applicable because the audit is going to be done within 30 days prior to the anniversary date of the certificate. |

| Surveillance level | Year 1 | Year 2 | Year 3 | Year 4 |
|--------------------|---------|----------|----------|------------------------------|
| Level 4 | On-site | Off-site | Off-site | Off-site surveillance audit. |