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Marine Stewardship Council Surveillance Announcement

| Name of Fishery | Germany North Sea Saithe Trawl | | | |
|-----------------------------|---|---|--|--|
| Surveillance level and type | Surveillance Level 4 – Review of Information | | | |
| Surveillance | 1st Surveillance | | | |
| number (tick one) | 2nd Surveillance | | | |
| | 3rd Surveillance | ✓ | | |
| | 4th Surveillance | | | |
| | Other (expedited etc) | | | |
| Proposed Team Leader | Jim Andrews (P2) Jim Andrews has over 20 years' experience working in marine fisheries and environmental management. His previous experience includes running the North Western and North Wales Sea Fisheries Committee as its Chief Executive from 2001 to 2005, and previously working as the SFC's Marine Environment Liaison Officer. During this time he was responsible for the regulation, management and assessment of inshore finfish and shellfish stocks along a 1,500km coastline. He has an extensive practical knowledge of both fisheries and environmental management and enforcement under UK and EC legislation. Jim has formal legal training & qualifications, with a special interest in the policy, governance and management of fisheries impacts on marine ecosystems. He has worked as an assessor and lead assessor on more than 20 MSC certifications within the UK, in Europe and in India since 2007. In 2008 he worked with the MSC and WWF on one of the pilot assessments using the new MSC Risk Based Assessment Framework. Jim has carried out numerous MSC Chain of Custody assessments within the UK. | | | |
| Proposed team members | Mike Pawson (P1) Mike Pawson retired as senior fisheries advisor at Cefas, Lowestoft, after 39 years carrying out biological research and providing scientific advice to Defra, the EC and other national and international organisations on fish stock abundance, technical conservation measures and fisheries management regulations, and on related monitoring, sampling, survey and research programmes. Between 1974 and 1980 he initiated and led acoustic surveys for blue whiting and mackerel, and trawl surveys in the North Sea and, from 1980 to 1990, designed and managed MAFF's coastal fisheries programme, implementing biological sampling, trawl surveys, a fishermen's logbook scheme and socio-economic evaluation of sea bass fisheries. Between 1990 and 2002 Mike led the Cefas Western demersal team, providing analytical assessments and management advice for 12 finfish stocks including hake and, since 2002, directed and managed the assessment of salmon and eel stocks in England and Wales and provided scientific advice on their conservation. During this time he was co-ordinator of the Anglo-French English Channel Fisheries Study Group (1989-1997), and chaired the ICES Southern Shelf | | | |

Demersal Stock Assessment Working Group (1996-98), Seabass Study Group (2000-04) and Elasmobranch Study Group (2001-02), and scientific and technical meetings for the EC's hake recovery plan (2000). He initiated and managed EUfunded multi-national projects on methods for egg-production stock biomass estimation, bio-geographical identity of English Channel fish stocks, bioeconomic modelling of Channel fisheries, development of assessment methods for elasmobranchs and eels, and on marine recreational fishing. Since his retirement from Cefas in 2007, Mike has taken part in six Marine Stewardship Council fishery assessments.

Mike has provided scientific evaluation, quality assurance and advice to several national and EC-funded projects on fisheries biology, monitoring and assessment, and one of his major roles over the last 15 years has been peer-reviewing scientific papers, project proposals, reports and manuscripts in preparation, and 35+ MSC assessments. All of Mike's work has been published in refereed Journals, in ICES and EC working group reports, and in contract reports.

Rainer Thomas (P3) Mr. Rainer Thomas (MSc) is a fisheries biologist with over 30 years' experience in marine and freshwater fisheries research / aquaculture management in Germany, Suriname, Nigeria, Indonesia, Bulgaria, Bangladesh, Georgia and Uganda. He has worked on stock assessments in the North Atlantic and Baltic Sea for both pelagic and demersal species, as well as participating in international multi-disciplinary research cruises. In Suriname, Nigeria and Indonesia he worked with universities and the national Governments to design an oceanography institute building, develop fish stock assessment procedures, and to implement aquaculture techniques between 1981 and 1992. From 1992 until 2003 he worked on fisheries and environmental research projects (e.g. herring migration) at the University of Kiel, and lectured in training courses on fish stock assessment procedures to postgraduate students. He acted as liaison officer for the diplomatic formalities for the German Research vessels at the Institute of Marine Science (IFM Kiel today GEOMAR) and was responsible for the logistics of the research cruises. For the German Carl Duisberg Centre in Hamburg he made several international project evaluations for oceanography scholarship students. He provided feasibility studies on sport fishing for the WWF and for the GFA Consulting Group on a production line for fish sauce in Poland. More recently he has been working as a freelance consultant providing advice on aquaculture management within the EU. He worked as a consultant for trout farms in Bulgaria and Georgia in 2011 / 2014 and was responsible for training staff in Tilapia aquaculture in Bangladesh and Uganda 2012 / 2015. He was involved in several audits of a saithe fishery (MSC certification) and completed a peer review for a proposal of a herring fishery certification.

Audit/review time and location

Review of information will be undertaken remotely the week commencing 28th November 2016.

Assessment/ review activities

Review of fishery and new information.

Stakeholder Comment

Statement of review of information

This audit involves seeking the views of the client and identifying if there are any issues requiring further investigation. The audit will be undertaken remotely and the process does not necessarily require Stakeholder input. If you do have any contribution you wish to make, please advise us by **14**th **November 2016.**

Submitted by: Polly Burns Date: 20th October 2016

Appendix 1: Surveillance frequency

Table 4.1 : Surveillance level rationale

| Year | Surveillance activity | Number of auditors | Rationale |
|------|----------------------------------|--------------------|--|
| 3 | Review of information | 3 offsite | The fishery is in its second period of certification, the client has an excellent track record of compliance, and there are no open conditions of certification. An off-site surveillance audit based on the review of new information is appropriate. |
| 4 | On-Site (possible re-assessment) | 3 Onsite | 4 th surveillance is expected to coincide with reassessment site visit |

Table 4.2: Timing of surveillance audit

| Year | Anniversary date of certificate | Proposed date of surveillance audit | Rationale |
|------|---------------------------------|---------------------------------------|---|
| 3 | 8 th October 2016 | W/C 28 th November 2016 | Assessor availability due to overseas travel with limited scope for internet. |
| 4 | 8 th October 2017 | 8 th October 2017 | To align with certification date and allow a year for reassessment to complete. |

Table 4.3: Fishery Surveillance Program

| Surveillance Level | Year 1 | Year 2 | Year 3 | Year 4 |
|-----------------------|-----------------------|----------|-----------------------|----------------------------------|
| Level 4 | Review of information | Off-site | Review of information | On-Site (possible re-assessment) |