

Marine Stewardship Council Surveillance Announcement

Name of Fishery	Mexico Baja California Red Rock Lobster	
Surveillance level and type	Level 6- on-site	
Surveillance number (tick one)	1st Surveillance	
	2nd Surveillance	X
	3rd Surveillance	
	4th Surveillance	
	Other (expedited etc)	
Proposed Team Leader	<p>Dr. Carlos M. Alvarez Flores - Okeanos-Oceanides Consortium, Lead, Principles 1 & 3</p> <p>Dr. Carlos Alvarez-Flores was born in Mexico City and obtained Bachelors of Science and Master of Science degrees at the National University of Mexico. He later moved to Seattle, USA to obtain a Doctor of Philosophy degree at the School of Fisheries of the University of Washington. His research interests are focused on the management and conservation of wildlife and fisheries. This includes abundance estimation; assessment of population status; estimation of population parameters; the effect of human intervention; direct harvest; bycatch and associated environmental effects; projections based on biological potential; population viability; risk assessment; design of alternative management strategies. His training was related to large, pelagic, data rich fisheries, and some of his investigations involved the bycatch of dolphins in the pelagic purse seine tuna fisheries of the Eastern Tropical Pacific, the hunt of beluga whales in West Greenland, the hunt of bowhead whales in Canada, the bycatch of albatrosses in pelagic fisheries of the central Pacific. In contrast, his current assignments are related to small-scale, coastal fisheries that are very data poor. Therefore, his present challenges are to combine ideas, techniques, knowledge and experience to improve the performance of these problematic fisheries in developing countries. Most of his experience has been focused on practical investigations applied to population and fishery assessment and</p>	

	<p>management as a consultant for governments, NGOs and the private sector of different countries. To the present, he has worked for SCS for over two years in MSC pre-assessments, assessments and surveillance audits of different types of fisheries in different countries, including full assessments of small pelagic sardine and thread herring fisheries in the Northern and Southern Gulf of California.</p>
<p>Proposed team members <i>[remove if not applicable]</i></p>	<p>Mrs. Jennifer Humberstone- SCS Global Services, Principle 2</p> <p>Jennifer Humberstone holds a Master of Environmental Science and Management degree from the Bren School at the University of California Santa Barbara, where she specialized in fisheries management and natural resource economics. Jennifer has designed spatial bio-economic models to facilitate management decisions and performed research for the National Center for Ecological Analysis and Synthesis. Mrs. Humberstone has cross-sectoral and international project management experiences working with diverse stakeholders including fishers, government, private industry, and NGOs. Jennifer is proficient in Spanish and has marine resource management field experience in both the Philippines and the Dominican Republic: where she spent over two years building initiatives in protected areas, ecotourism, and fisheries management. She has over 4 years of scientific diving field experience. Jennifer is an ISO 9001 lead auditor and has completed the MSC V2.0 Team Leader training modules, including the SAM-FAM and Traceability modules. In her role at SCS, she is currently participating in and/or coordinating the MSC pre-assessment, surveillance audits, and full assessment of fisheries worldwide, including several fisheries in the Americas, lobster and benthic fisheries.</p> <p>Dr. Flores was a member of the re-assessment team, and both Dr. Flores and Mrs. Humberstone meet the competency criteria (FCR7.23.11.1-7.23.11.3) and affirm they have no conflict of interest.</p>
<p>Audit/review time and location</p>	<p>Meetings to be held on November 26-27, 2018, in Ensenada, Mexico with the client, stakeholders, and key management representatives.</p>
<p>Timeline Justification</p>	<p>NA. Surveillance audit to be held within 1 month of the anniversary date.</p>
<p>Assessment/ review activities</p>	<p>The surveillance audit will be conducted in accordance with MSC FCRV2.0 7.23.12 and will include review of updated documentation on the fishery and interviews with key management and stakeholders, focusing on:</p> <ul style="list-style-type: none"> a. Changes to the fishery and its management; including: <ul style="list-style-type: none"> i. Any potential or actual changes in management systems. ii. Any changes or additions/deletions to regulations. iii. Any personnel changes in science, management or industry and their impact on the management of the fishery.

	<ul style="list-style-type: none"> iv. Any potential changes to the scientific base of information, including stock assessments. v. Any changes affecting traceability <ul style="list-style-type: none"> b. Performance in relation to any relevant conditions of certification; c. Any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products; and d. Any other significant changes in the fishery.
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SCS encourages stakeholders not to withhold information, and SCS will not permit use of confidential information for reference within an assessment as basis for determination of an assessment outcome, or as basis for an objection to certification. Confidential information is restricted to defined exceptions listed in FCRv2.0 (4.3.3).

Any parties (individuals or organizations) interested in providing input at the on-site meetings or via email, and/or in being directly informed of future stakeholder announcements, please contact SCS at jhumberstone@scsglobalservices.com with:

- a. your name and contact details;
- b. your association with the fishery; and
- c. the issues you would like to discuss (in order for us to arrange appropriate representation).

As a reminder, information is most useful to the assessment team when it is specific and includes constructive suggestions for improving existing situations. Also, supporting documentary evidence for any issues of concern will be greatly appreciated by the assessment team.

Submitted by: Jennifer Humberstone

Date: October 17, 2018