

Name of Fishery	Chile squat lobsters and nylon shrimp modified Trawl		
Surveillance level and type	The fishery was certified against Version 1.3. Therefore, the surveillance category set out in the Public Certification Report (PCR) was a normal surveillance (score 2). The normal surveillance consists in 4 on-site surveillance audits. The equivalent level in Version 2.0 is level 6 (default surveillance). The surveillance audit timing was updated in the first surveillance audit. The surveillance audit timing remains as set out in the first surveillance report. The details of the surveillance program and the rationale is included in Appendix 1.		
Surveillance	1st Surveillance		
number (tick one)	2nd Surveillance	X	
	3rd Surveillance		
	4th Surveillance		
	Other (expedited etc)		
Proposed Team Leader	1st Surveillance       X         3rd Surveillance       X         3rd Surveillance       Ath Surveillance         4th Surveillance       Other (expedited etc)         Jose Rios, holds a degree in Sea Sciences from the University of Vigo and an MSc in Fisheries and Aquaculture from the University of Wales-Bangor. He has more than 15 years of experience working in fisheries from different angles and places around the world. In 1999 he worked at the ICM-CSIC on trophic ecology of demersal fish species and participated in different research cruises on board the r/v Garcia del Cid. In 2001/02 he was hired by the University of Azores as observer and fisheries inspector assessing an experimental fishing license for Orange roughy. Between 2003 and 2010 he was responsible for designing and monitoring fisheries management plans for several marine resources (clams, cockles and barnacles) for the Regional Fisheries Authority of Galicia (Spain). In 2008-09 he developed and implemented a scientific monitoring scheme for an experimental octopus fishery in the waters of Namibia (IIM-CSIC). Between 2008 and 2012, as part of different projects funded by the Spanish International Cooperation Agency (AECID), he supported local fisheries and aquaculture management bodies to strengthen organizational and managing capacities of the fishing and rural aquaculture sector in Namibia, Cape Verde, Colombia and Mozambique. Since 2013, as part of the fisheries team of WWF Spain, he promoted different initiatives to improve fisheries management in coastal Spanish fisheries. As the WWF representative in fisheries co-management commitees, he took part in the daily management of the following coastal fisheries in the Spanish Mediterranean: Catalan sandeel, Balearic boat seines, and Palamós red shrimp. Since April 2016 he is a full-time employee at Bureau Veritas Fisheries Depar		



	For this surveillance he will be in charge of Principle 2 and, from him experience managing fisheries, collaborate with other team members on the report for the Principle 3. He will also act as team leader.
Proposed team members	<b>Edith Saa,</b> is fisheries engineer. She obtained her degree at the Universidad Católica de Valparaíso. She worked between 1976- 1991 at Servicio Nacional de Pesca. After that through 1993 to 2006, she developed her work at Subsecretaria de Pesca. First as manager of the Departamento de Estudios. After, as manager of División de Pesca. She has participated on the elaboration of several laws regarding to fisheries activities which they were set between 1991 and 2014. She gained experience as assessor of the Ministerio de Economía throughout 2008 to 2010 with her participation on the Salmon workshop. There, she collaborated to modify the fishery law and the normative regarding to fishing, aquaculture and impacts on the environmental. Nowadays, she is working as an independent assessor of
	Her years of experience as a fishery manager in the Chilean administration ensure she meets qualification and competency criteria established in PC3 for (i) <b>Fishery management and operations</b> and (ii) <b>Fishing impacts on aquatic</b> <b>ecosystems. Furthermore, she meets the competences for (iii) knowledge</b> <b>of the country, language and local fishery context.</b> For this surveillance he will be in charge of Principle 3 and, from her experience on fishing impacts, collaborate with other team members on the report for the Principle 2. She will participate on-site.
	<b>Earl Dawe</b> , Retired in 2015 (July 24, 2015) following a 35-year research career which focused on the fisheries, population biology, and ecology of cephalopods (particularly short-finned squid) as well as crustaceans (particularly snow crab). Research effort has most recently focused on ecosystem structure and functioning, particularly the relative effects of ocean climate versus predation on finfish and crustacean resources. Career included heavy involvement in the review and formulation of scientific advice for management of shellfish resources in Atlantic Canada as well as the advisory/consultative part of managing the Newfoundland fisheries for short-finned squid and snow crab. Furthermore, an extensive list (totaling 170) of scientific/technical reports and journal articles (60 in the primary, peer reviewed literature) on various aspects of population biology and ecology as well as fisheries biology and management of both short-finned squid and snow crab.
	Therefore, his research career has ensured that he meets the qualification and competency criteria established in PC3 on (i) fish stock assessment, (ii) fish stock biology and (iii) fishing impacts on aquatic ecosystems. Furthermore, his experience in consultation with stakeholders and monitoring ongoing fisheries supports the qualification and competency criteria established in PC3 for (iv) fishery management and operations. For this surveillance he will be in charge of Principle 1 and, from him experience managing fisheries, collaborate with other team members on the report for the Principle 3. <u>He will participate remotely.</u>
Audit/review time and location	The on-site surveillance audit is scheduled on the <b>week of March 11</b> , 2019. Before the on-site surveillance the team will review the new information and the documents developed by the client in respond to the conditions. The site to be visited will be Valparaíso.
Assessment/ review activities	<ul> <li>The team will assess the following information:</li> <li>Regulatory framework and fishery management system (objectives, mechanisms for decision-making, monitoring, control, inspection,</li> </ul>

Surveillance Announcement CHILE SQUAT LOBSTERS AND NYLON SHRIMP MODIFIED TRAWL



<ul> <li>evaluation), including compliance of the certified fleet. ;</li> <li>Changes affecting the 'management loop' (outcome, management, information) assessed in the initial certification process for the certified species and the other species impacted by the fishery, as well as for marine habitats and ecosystems impacted by the fishery.</li> <li>Changes within the fishery which may impact traceability, focusing on the segregation MSC product from non-MSC product</li> <li>Fishery performance in relation to the four conditions of certification, verify whether progress is "on target" and re-score if applies;</li> <li>And will perform the following activities:</li> <li>Meetings and interviews with the crew and managers of the companies included in the client group;</li> <li>Actively seek the views of stakeholders.</li> </ul>	
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	Actively seek the views of stakeholders.

## Submitted by: Macarena García Silva

Date: 07/02/2019



# **Appendix 1: Surveillance frequency**

The surveillance level and the fishery surveillance program remains the same as in the PCR although it has been adapted to version 2.0. The current surveillance level following version 2.0 is number 6 (4 on-site). Tables 4.1, 4.2 and 4.3 includes the details for the surveillance frequency.

Year	Surveillance activity	Number of auditors	Rationale
2-4	On-site audit	2 auditors on-site. Additionally one auditor will assist remotely.	Seven conditions of certification were identified. Therefore the assessment team considered necessary to carry out interviews with several stakeholders and review the information on-site. In addition, one more expert (remotely) joined the team to cover the full competence and coalification requirements.

#### Table 4.1 : Surveillance level rationale

### Table 4.2: Fishery Surveillance Program

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Surveillance	Year 1	Year 2	Year 3	Year 4
Level				
Level 6	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit & re-certification site visit

#### Table 4.3: Timing of surveillance audit

Year	Anniversarv date	Date of	Rationale
	of certificate	surveillance audit	
1	September 2017	March 2018	The surveillance timing was delayed in 6 months
2	September 2018	March 2019	due to the following reasons:
3	September 2019	March 2020	
4	September 2020	March 2021	<ul> <li>The certificate was transferred from Acoura to Bureau Veritas. The transfer date was on the 15 January 2018.</li> <li>The on-site has been scheduled in coordination with the overlapping fishery: Chile squat lobsters demersal trawl Camanchaca fishery.</li> </ul>
			The performance of the surveillance activities will not be affected by postponing the dates.
5		Before September 2021	The recertification audit will need to be aligned with the expiry date of the certificate (13 September 2021).