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## **Marine Stewardship Council Surveillance Announcement**

| Name of Fishery                | US North Pacific Halibut  |   |
|--------------------------------|---|---|
| ,                              | US North Pacific Sablefish  |   |
|                                |   |   |
| Surveillance level and type    | Level 6: on-site  |   |
| Surveillance number (tick one) | 1st Surveillance  | x |
| (                              | 2nd Surveillance  |   |
|                                | 3rd Surveillance  |   |
|                                | 4th Surveillance  |   |
|                                | Other (expedited etc)   |   |
| Proposed Team<br>Leader        | Dr. Siân Morgan — SCS Global Services, Director, Sustainable Seafood, Lead  Dr. Sian Morgan is an ISO 9001:2008 certified lead auditor in SCS's Sustainable Seafood Certification program, which includes both fishery and chain of custody certification under the auspices of the Marine Stewardship Council (MSC). Dr. Morgan has been involved in or led full assessments, pre-assessments, and surveillance audits for a 40+ MSC assessments, including large-scale purse seine tuna fisheries. Dr. Morgan has fifteen years of experience in the fields of marine ecology and fisheries science with particular expertise in markets-based fisheries reform, certification and quantitative methods for decision analysis. Her doctoral research at the Fisheries Center, University of British Columbia/McGill examined the ecology, population dynamics and management of a small-scale, data-poor fishery in Asia. Dr. Morgan has worked in non-governmental, academic and consulting settings and brings to the team a strong background in multi-stakeholder consultation. Past projects include developing SeaChoice, a national sustainable seafood program for Canada, conceiving pragmatic trade tools for the CITES Secretariat and developing the Capture Fisheries Standard for artisanal fisheries for Fair Trade USA. Dr. Morgan is qualified to audit the MSC and the ASC standards, including the MSC Risk-based framework, Low Trophic |   |
|                                |   |   |

|  | Level MSC assessments, MSC Chain of custody audits and SAI's SA 8000 social accountability audits.   |  |
|--|--|--|
| Proposed team members [remove if not applicable] | Dr. Carlos M. Alvarez-Flores — Oceanides Conservacion y Desarrollo Marino  Dr. Carlos Alvarez-Flores was born in Mexico City in 1961 and obtained Bachelors of Science and Master of Science degrees at the National University of Mexico. He later moved to Seattle, USA to obtain a Doctor of Philosophy degree at the School of Fisheries of the University of Washington. His research interests are focused on the management and conservation of wildlife and fisheries. This includes abundance estimation; assessment of population status; estimation of population parameters; the effect of human intervention; direct harvest; bycatch and associated environmental effects; projections based on biological potential; population viability; risk assessment; design of alternative management strategies. His background comes from work dealing with large, pelagic, data rich fisheries, but his current assignments are related to small- scale, coastal, data poor fisheries. Therefore, his present challenge is to combine ideas, techniques, knowledge and experience to improve the performance of these problematic activities in developing countries. Most of his experience has been focused on practical investigations applied to population and fishery assessment and management as a consultant for governments, NGOs and the private sector of different countries. To the present, he has worked for SCS for over two years in MSC pre-assessments, assessments and surveillance audits of different types of fisheries in different countries.  Dr. Morgan was a member of the reassessment team, and together Dr. Morgan and Dr. Alvarez-Flores meet the competency criteria (FCR7.23.11.1-7.23.11.3) and affirm they have no conflict of interest. |  |
| Audit/review time and location                   | Meetings to be held on October 26-27, 2017, in Seattle, Washington, at the Fishing Vessel Owners Association, IPHC, and AFSC offices, TBC.   |  |
| Timeline<br>Justification                        | NA. Surveillance audit to be held within 2 months of the anniversary date.   |  |
| Assessment/ review activities                    | The surveillance audit will be conducted in accordance with MSC FCRV2.0 7.23.12 and will include review of updated documentation on the fishery and interviews with key management and stakeholders, focusing on:  |  |
|  | a. Changes to the fishery and its management; including:   |  |
|  | i. Any potential or actual changes in management systems.  |  |
|  | ii. Any changes or additions/deletions to regulations.   |  |
|  | iii. Any personnel changes in science, management or industry and their impact on the management of the fishery.   |  |
|  | iv. Any potential changes to the scientific base of information, including stock assessments.  |  |

v. Any changes affecting traceability

b. Performance in relation to any relevant conditions of certification;

c. Any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products; and

d. Any other significant changes in the fishery.

SCS encourages stakeholders not to withhold information, and SCS will not permit use of confidential information for reference within an assessment as basis for determination of an assessment outcome, or as basis for an objection to certification. Confidential information is restricted to defined exceptions listed in FCRv2.0 (4.3.3).

Any parties (individuals or organizations) interested in providing input at the on-site meetings or via email, and/or in being directly informed of future stakeholder announcements, please contact SCS at smorgan@scsglobalservices.com with:

a. your name and contact details;

b. your association with the fishery; and

c. the issues you would like to discuss (in order for us to arrange appropriate representation).

As a reminder, information is most useful to the assessment team when it is specific and includes constructive suggestions for improving existing situations. Also, supporting documentary evidence for any issues of concern will be greatly appreciated by the assessment team.

Submitted by: Jennifer Humberstone

Date: September 12, 2017