

MSC Fishery Announcement

FPO ICELAND CAPELIN

Marine Stewardship Council fisheries assessments

14.06.2021

1 Introduction

This template details the information required from Conformity Assessment Bodies (CABs) when formally announcing the entry of a fishery into assessment or into reassessment and will be published on the MSC website. Additional information that needs to be submitted to the MSC database in line with FCP v2.2 Section 7.12 and 7.13.1:

- Announcement Comment Draft Report
- Completed client document checklist.
- Pre-assessment report (if one exists and was written by CAB doing full assessment, otherwise the CAB should inform the MSC of the report's author).
- Indicative assessment timeline.

Please complete all unshaded fields. All notes and guidance indicated in *italics*, please delete and replace with your specific information. All grey boxes containing instructions may be deleted, e.g. the 'Introduction' section.

Marine Stewardship Council fishery announcement

Table 1 – Fishery announcement

1	Fishery name	
	Faroese Pelagic Organization Icelandic capelin fishery	
2	Assessment number	
	Initial assessment	
3	Reduced reassessment (Yes/No)	
	No	
4	Statement that the fishery is within scope	
	<p>The fisheries meet the scope requirements of FCP v2.2 section 7.4.2 & 7.12:</p> <p>7.4.2.1: The fisheries do not target, under principle 1, amphibians, reptiles, birds or mammals.</p> <p>7.4.2.2: The fisheries do not use poisons or explosives.</p> <p>7.4.2.3: The fisheries are not conducted under a controversial unilateral exemption to an international agreement.</p> <p>7.4.2.4: The fisheries client has not been prosecuted for forced or child labour violation in the last 2 years.</p> <p>7.4.2.10: The fisheries client or client group does not include an entity that has been convicted for a shark finning violation in the last 2 years.</p> <p>7.4.2.11: The fisheries have mechanisms for resolving disputes</p> <p>7.4.2.12: The fisheries are not enhanced fisheries.</p> <p>7.4.2.13: The fisheries are not an Introduced species- based fisheries.</p> <p>7.12: The fishery is within the scope of the MSC Fisheries Standard</p>	
5	Unit(s) of Assessment - UoA(s)	
	UoA 1	Description
	Species	Capelin (<i>Mallotus villosus</i>)
	Stock	Capelin (<i>Mallotus villosus</i>) in subareas 5 and 14 and Division 2.a west of 5°W (Iceland and Faroes grounds, East Greenland, Jan Mayen area).
	Fishing gear type(s) and, if relevant, vessel type(s)	Purse seine
	Client group	Faroese Pelagic Organization (FPO)
	Other eligible fishers	N/A
	Geographical area	<p>FAO area: 27</p> <p>Common name of the body of water: Iceland and Faroes grounds, East Greenland, Jan Mayen area</p> <p>Local fisheries management area: Iceland, Greenland, Norway, Faroe Islands</p> <p>Stock region: ICES subareas 5 and 14 and Division 2.a west of 5°W</p>
	UoA 2	Description

	Species	Capelin (<i>Mallotus villosus</i>)
	Stock	Capelin (<i>Mallotus villosus</i>) in subareas 5 and 14 and Division 2.a west of 5°W (Iceland and Faroes grounds, East Greenland, Jan Mayen area).
	Fishing gear type(s) and, if relevant, vessel type(s)	Midwater trawl
	Client group	Faroeese Pelagic Organization (FPO)
	Other eligible fishers	N/A
	Geographical area	FAO area: 27 Common name of the body of water: Iceland and Faroes grounds, East Greenland, Jan Mayen area Local fisheries management area: Iceland, Greenland, Norway, Faroe Islands Stock region: ICES subareas 5 and 14 and Division 2.a west of 5°W
6	Certificate sharing statement	
	N/A	
7	Name of proposed team leader	
	<p>Lucia Revenga</p> <p>Lucia Revenga is a marine scientist, specialized in Fisheries Biology who holds degrees in Marine Sciences and in Environmental Sciences. For 5 years she worked with TRAGSA for the Spanish General Marine Secretariat, conducting researches on the biology and stock status of different species, such as bluefin tunas, skipjack tunas, albacores, mackerels, sardines, eels, prawns, Norway lobsters, halibuts. She has also taken part in oceanographic surveys focused in the search of vulnerable marine ecosystems. From 2011 to 2015 she worked for IFAPA (Institute for Research and Training in Fisheries) as a Fisheries biology teacher for fishers. She also conducted research in fishery local activities with the aim of increasing community awareness of the conservation of coastal ecosystems and encouraging sustainable fishing practices. From 2015 to 2020 she worked full time as an independent consultant, covering the roles of P2 assessor and team leader for different CABs and assessments. In 2020 she joined DNV as part of DNV MSC Fishery Global Unit.</p> <p>Lucia's qualifications also meet the competence criteria defined in Annex PC for the Team-Leader and Chain of custody responsible:</p> <ul style="list-style-type: none"> • She has an appropriate university degree • Experience with the MSC fisheries standard. • Experience as TL • She has passed the MSC team leader training within the last 5 years. • She has passed the MSC Traceability training module • She has passed the MSC RBF training module • She meets ISO 19011 training requirements • She has undertaken two fishery assessments as a team member in the last five years, and • She has experience in applying different types of interviewing and facilitation techniques and is able to effectively communicate with clients and various stakeholder groups. • She has no conflicts of interest in relation to the fishery under assessment. • Experience in applying different types of interviewing and facilitation techniques. • Has experience in applying different types of interviewing and facilitation techniques and is able to effectively communicate with clients and various stakeholder groups. • Is responsible for coordinating the Assessment Team's work and for the completion of the assessment. according to the requirements of FCP v2.2 <p>Will participate in off- site audit. Full CV is available upon request.</p>	

8	Name(s) of proposed team members
	<p>Hans Lassen: Principle 1 and Principle 2 expert.</p> <p>Hans Lassen is an independent consultant with a M.Sc. degree from Copenhagen University and a B.Sc. from Copenhagen business School. He is the author or co-author of more than 30 scientific papers in prime peer reviewed publications of fisheries related topics. He has more than 40 years experience with fish stock assessment, formulating and communicating scientific advice for fisheries. He has worked on fish stock assessments, estimating catch composition issues in fisheries, he has worked on cetacean surveys and ecosystem modelling, topics relevant to P1 and P 2. He was involved in all parts of the Greenland fisheries management system representing Greenland Fisheries Research institute. He has been a member of Danish delegations on fisheries negotiations, he has participated in quota allocation workshops, he took part in numerous consultation meetings with the fishing industry partly as as scientific advisor and as head of advisory programme at ICES. He conducted regular meetings with RACs now ACs. and worked as consultant for EFCA on management issues, all relevant to P 3. He chaired a group that contributed to the EC review of the MGP programme: provided input to the 2002 reform of the CFP and been a member of a similar group that reviewed the Danish fisheries management system. He has participated since 2009 as team member in more than 25 MSC assessments and surveillance audits of North Atlantic and Baltic Sea including shrimp, pelagic and demersal fisheries.</p> <p>Hans meets the competence criteria defined in Annex PC for the Team-member with expertise in Fish stock assessment and biology and Fishing impacts on aquatic ecosystems.</p> <ul style="list-style-type: none"> • He has an appropriate university degree; • He has a Team leader ISO 19011:2011 certificate; • He has passed the MSC training (Team leader/Fisheries auditor for FCR v1.3, v2.0 and v2.1) • He has over 3 years experience in stock assessment techniques comparable with techniques used by the fishery under assessment • He has over 3 years experience in the biology and population dynamics of the species with similar biology. • He has over 3 years experience in research in the impact of fisheries on aquatic ecosystems • He has a knowledge of a common language spoken by clients and stakeholders (Danish and Faroese) • has over two years fishery work experience in the country in the last 15 years and has conducted over two assignments in the country in which the fishery under assessment is based in the last 10 years; • He has 5 years or more experience as a fishery policy analyst • He has no conflicts of interest in relation to the fishery under assessment. • <p>Hans will participate in the off- site audit. Full CV is available upon request.</p> <p>Geir Honneland: Principle 3 expert</p> <p>Geir Hønneland holds a PhD in political science from the University of Oslo and an LL.M. in the law of the sea from the Arctic University of Norway. He has studied international fisheries management (with main emphasis on enforcement and compliance issues), international environmental politics and international relations in Polar regions for more than 25 years. He has been affiliated with the Fridtjof Nansen Institute in Oslo as PhD student and research fellow (1996-2006), research director (2006-2014), director (2015-2019) and now adjunct professor. Among his fisheries-related books is <i>Making Fishery Agreements Work</i> (Edward Elgar, 2012; China Ocean Press, 2016). Before embarking on an academic career, he worked five years for the Norwegian Coast Guard, where he was trained and certified as a fisheries inspector. Geir has been involved in MSC assessments since 2009 and has acted as P3 expert in more than 50 full assessments and re-assessments, as well as a number of pre-assessments and surveillance audits. His experience from full assessments includes a large number of demersal, pelagic and reduction fisheries in the Northeast Atlantic, the North Pacific and Southern Ocean, including crustaceans, as well as inland, bivalve and enhanced salmon fisheries. In the Northeast Atlantic, he has covered the international management regimes in the Barents Sea, Norwegian Sea, North Sea, Skagerrak, Kattegat and the Baltic Sea, and the national management regimes in Norway, Sweden, Denmark, Iceland, Faroe Islands, Greenland, Finland, Russia, Poland, the UK, the Netherlands and Germany, as well as the EU level.</p> <p>Geir is qualified as an MSC Team Leader (Fisheries Standard v2.0, Fisheries Certification Process v2.2) and Chain of Custody Auditor (v2.0) and has also passed the ISO 19011-2018 course as Lead Auditor – Management Systems Auditing. Full CV is available on request. He also meets the competence criteria defined</p>

	<p>in Annex PC for the Team-member with expertise in Fishery Management and Management and Operations/country knowledge.</p> <ul style="list-style-type: none"> • He has an appropriate university degree; • He has a Team leader ISO 19011:2018 certificate; • He has passed the MSC training (Fisheries auditor for FCR v1.3, v2.0 and v2.1) • He has a knowledge of a common language spoken by clients and stakeholders • He has over two years fishery work experience in the country in the last 15 years and has conducted over two assignments in the country in which the fishery under assessment is based in the last 10 years; • He has 5 years or more experience as a fishery policy analyst • He has no conflicts of interest in relation to the fishery under assessment. <p>Geir will participate in the off- site audit. Full CV is available upon request.</p>												
9	Stakeholder opportunities												
	<p>The ACDR, published on the MSC website with this announcement, is subject to stakeholder comments for a period of 60 days. Notification of this will be sent by email to all stakeholders identified with the client. Written submissions must be made via the 'MSC Template for Stakeholder Input into Fisheries Assessments v4.0' which can be found on the MSC website: https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=stakeholder+input</p> <p>The assessment team is available to meet remotely during the site visit which is scheduled for 18th, 19th and 20th August 2021 via Microsoft Teams.</p> <p>DNV will only accept stakeholder input as public record if submitted using the 'MSC Template for Stakeholder Input into Fisheries Assessments', or if raised at the site visit in person or remotely.</p>												
10	Assessment tree to be used												
	Assessment tree of MSC FS v 2.01 (using PI 1.1.1.Alternative instead of default PI 1.1.1 as capelin is considered to be a low trophic level species)												
11	Estimated timeline												
	<p><i>The following is an estimated timeline for the assessment</i></p> <table border="1"> <thead> <tr> <th>ACTIVITY</th><th>DEADLINE</th></tr> </thead> <tbody> <tr> <td>ACDR & Announcement</td><td>15.06.21</td></tr> <tr> <td>Site visit</td><td>18.08.21</td></tr> <tr> <td>PCDR</td><td>15.12.21</td></tr> <tr> <td>Final Report</td><td>15.02.22</td></tr> <tr> <td>PCR</td><td>15.04.22</td></tr> </tbody> </table> <p>Please note that a separate assessment timeline is available on the MSC website which is subject to updates as required by FCP v 2.2§ 7.13.1.</p>	ACTIVITY	DEADLINE	ACDR & Announcement	15.06.21	Site visit	18.08.21	PCDR	15.12.21	Final Report	15.02.22	PCR	15.04.22
ACTIVITY	DEADLINE												
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PCR	15.04.22												
12	Site visit												
	<p>Initial audit is to be held remotely in accordance with MSC Covid derogation 3: https://www.msc.org/docs/default-source/default-document-library/for-business/program-documents/chain-of-custody-supporting-documents/msc-derogation-3-covid-19-fishery-and-chain-of-custody-remote-auditing.pdf?sfvrsn=d7c7eb37_9</p> <p>The assessment team will be available to meet remotely during the scheduled site visit of 18th, 19th and 20th August, using Microsoft Teams.</p> <p>A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fisheries. DNV assessment team encourages any stakeholders with experience or knowledge of the fisheries to participate in these meetings.</p>												

	Conference calls will be held with the client, relevant scientific and management organizations and experts, and interested stakeholders. Collection and review of documentation, fishery reports, catch data, and other relevant data will also be done.
13	Assessment tree modifications
	N/A
14	Announcement Comment Draft Report stakeholder input deadline
	<p>The ACDR, published on the MSC website with this announcement, is subject to stakeholder comments for a period of 60 days. Notification of this will be sent by email to all stakeholders identified with the client. The ACDR gives a draft scoring range for each of the performance indicators and with draft rationale. Written submissions must be made via the 'MSC Template for Stakeholder Input into Fisheries Assessments v4.0' which can be found on the MSC website: https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=stakeholder+input</p> <p>Comments on the ACDR shall be submitted by 5 PM UTC on 16th August 2021.</p>

Site visit is a key step to evaluate the Performance Indicators and Scoring Guideposts in the MSC Methodology and to collect information regarding the fisheries, and in particular to speak to representatives of the fisheries, fisheries management and environmental management bodies.

DNV invites organisations or individuals with an interest in these fisheries to meet with the assessment Team and provide information relevant to the re-assessment process.

DNV would like to inform that the assessment team is unable to reference or use information that cannot be shared with all stakeholders.

Confidential information should only relate to either

- financial transactions about certification
- financial affairs of individual companies or information that may lead to this information being made public,
- information that is the subject of relevant national privacy or data protection legislation in the Client's country.

The assessment team will meet remotely on 18th, 19th and 20th August 2021. If you would like to talk to the assessors, please advise us of your interest by 5 PM UTC on 16th August 2021, giving the following details:

1. Name and company, together with contact information
2. Your association with the fisheries
3. The issues you would like to discuss (in order for us to arrange appropriate representation)
4. Suitable venue/method and time for a meeting

Please submit this information to Lucia Revenga (lucia.revenga@dnv.com) and you will be contacted as soon as possible.

Further information regarding the Marine Stewardship Council Methodology is available at the MSC website <http://www.msc.org>.

DNV seeks to open a dialogue with all organizations or individuals with a direct interest in these fisheries. Anyone wishing to provide comments or information to the reassessment process (in terms of the status of the stocks, ecosystem interactions or fisheries management practices, other) is invited to contact DNV. You may contact us for a meeting during the site visit, remote interviews or written submissions. Please use the "MSC template for stakeholder input v 4.0 for submission of your comments. The template can be downloaded from the MSC website:

<https://www.msc.org/for-business/certification-bodies/supporting-documents/Index?search=stakeholder>

Information on this fishery can be found at: <https://fisheries.msc.org/en/fisheries/>

Should you have any information on this fishery that you feel should be considered in the assessment, please advise us. Recommendations of new stakeholders are also welcome.

Submitted by:

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Date: 14.06.21

2 Template information and copyright

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Template version control		
Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1
2.01	28 March 2019	Minor document change for usability
2.1	25 March 2020	Release alongside Fisheries Certification Process v2.2

A controlled document list of MSC program documents is available on the MSC website ([msc.org](https://www.msc.org)).

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