

Marine Stewardship Council Fishery Announcement

Name of Fishery	North Buru and Maluku Fair Trade Fishing Associations, Indonesian Handline Yellowfin Tuna
Assessment number	Initial Full Assessment
Reduced re-assessment (Y/N)	NA
Statement that the fishery is within scope	<p>The fishery under assessment has been found to meet scope requirements (FCR v2.0 7.4) for MSC fishery assessments as it</p> <ul style="list-style-type: none"> Does not operate under a controversial unilateral exemption to an international agreement, use destructive fishing practices, target amphibians, birds, reptiles or mammals and is not overwhelmed by dispute; (FCR 7.4.1.1, 7.4.1.2, 7.4.1.3, 7.4.2) The fishery does not engage in shark finning, has mechanisms for resolving disputes (FCR 7.4.2.1), and has not previously failed assessment or had a certificate withdrawn. Is not an enhanced or IPI fishery, is not based on an introduced species (FCR 7.4.3, 7.4.4, 7.4.13-15) The fishery does overlap with other MSC certified or applicant fisheries, including all purse seine skipjack and yellowfin fisheries in WCPFC (7.4.16)¹. Overlap with another MSC certified or applicant fishery has

¹ Including the following fisheries: American Samoa EEZ Albacore and Yellowfin Longline Fishery, French Polynesia albacore and yellowfin longline fishery, Japanese Pole and Line skipjack and albacore tuna fishery, PNA Western and Central Pacific skipjack and yellowfin, unassociated / non FAD set, tuna purse seine, PT Citraraja Ampat, Sorong pole and line Skipjack and Yellowfin Tuna, Solomon Islands skipjack and yellowfin tuna purse seine anchored FAD and unassociated, and pole and line, SZLC, CSFC & FZLC Cook Islands EEZ South Pacific albacore & yellowfin longline, Talley's New Zealand Skipjack Tuna Purse Seine, Tri Marine Western and Central Pacific Skipjack and Yellowfin Tuna, Walker Seafood Australian albacore, yellowfin tuna, and swordfish longline and Western Pacific Sustainable Tuna Alliance (WPSTA) Western and Central Pacific skipjack and yellowfin free school purse seine fishery

	<p>been considered and harmonized scores for P1 will be used, as well as certain PIs in Principal 3 will also be harmonized with the PT Citraraja Ampat, Sorong pole fishery.</p> <ul style="list-style-type: none"> ■ And does not include an entity successfully prosecuted for violating forced labor laws (7.4.1.4) ■ The units of assessment, certification, and eligible fishers have been defined, traceability risks characterized, and certificate sharing mechanisms decided (7.4.6-7.4.12)
Certificate sharing statement	Fishers that are part of the Fair Trade Fishermen Associations based in North Seram are considered as ‘other eligible fishers’, which may be able to access the certificate at the discretion of the Client Group. (See separate letter attached on Certificate Sharing Mechanisms in Appendix 1).
Estimated Length of Full Assessment & Timeline	The assessment is planned for completion within 10 months of the fishery announcement, with a certification date predicted for the start of January 2020. An assessment timeline is included in the announcement materials which includes projected approximate dates for key versions of the report.
Name of proposed Team Leader	<p>Gabriela Anhalzer—SCS Global Services. Lead, P2 Support</p> <p>Gabriela Anhalzer received a Masters degree in coastal environmental management from Duke University. Ms. Anhalzer has several years of experience in marine conservation and fisheries, she has worked as an independent consultant conducting evaluations of fishery improvement projects and as a fisheries policy and stakeholder specialist. She has also worked as an associated researcher in Latin America for sea turtle population studies, sea bird census, and supporting stakeholder engagement in participatory management of marine protected areas. She is currently the Latin America Regional Advisor for the Global Marine Commodities Project for the UNDP. Ms. Anhalzer has provided technical support for numerous MSC assessment and possess a comprehensive understanding of MSC fisheries standard and stages; meeting MSC’s team leader qualifications and competency criteria. Ms. Anhalzer has received ISO 9001 auditor training, has completed the MSC training and has affirmed she has no conflict of interest.</p> <p>Ms. Anhalzer satisfies the MSC requirements for a Team Leader as described in PC1 (FCRV2.0):</p> <ul style="list-style-type: none"> ■ Holds a Masters degree in coastal environmental management, and has over five years’ experience in the fisheries sector related to stakeholder management and facilitation.

	<ul style="list-style-type: none"> ■ Ms. Anhalzer has completed the V2.0 Team Leader MSC modules within the last three years (February 2019). ■ Has undertaken several MSC fishery assessment and surveillance site visits as a team member in the last 5 years including: Surveillance for the southern Gulf of California Thread Herring Fishery in Sinaloa & Nayarit Mexico, the Small pelagics fishery in Sonora, Gulf of California, US Atlantic Sea Scallop Fishery, US Atlantic Spiny Dogfish Fishery, and the North-eastern Tropical Pacific Prise Seine Yellowfin and Skipjack Tuna Fishery. ■ Has demonstrated experience in applying different types of interviewing and facilitation techniques., as verified by SCS records and previous audit reports. ■ She is competent in the MSC Standard and current Certification Requirements, auditing techniques, and communication and stakeholder facilitation techniques, as verified by his completion of ISO 9001 auditor training. <p>Ms. Anhalzer affirms she has no conflict of interest in conducting this assessment.</p>
Name(s) of proposed assessors	<p>Mr. Alexander “Sandy” Morison –_Morison Aquatic Sciences</p> <p>Principle 1 and Principle 2</p> <p>Mr. Morison is a consultant specializing in fisheries and aquatic sciences. He has over 30 years experience in fishery science and assessment at state, national and international levels and has held senior research positions for state and national organizations in Australia. He is currently chair of the Ecologically Related Species Working Group of the Commission for the Conservation of Southern Bluefin Tuna (CCSBT) and has been engaged in the Kobe process for harmonisation of measures across the tuna RFMOs.</p> <p>Mr Morison has considerable experience with issues of tuna and other pelagic species through various positions in addition to his current role with CCSBT. He was Australia’s representative on the Science Working Group during the establishment of the South Pacific Regional Fisheries Management Organisation and was the inaugural chair of the Jack Mackerel Working Group during that time. He has also chaired Australia’s East Coast Tuna and Billfish Resource Assessment Group.</p>

	<p>Mr. Morison has participated as part of a team undertaking MSC pre-assessments for several fisheries and is also trained as a lead auditor for MSC assessments.</p> <ul style="list-style-type: none"> • Heard Island and MacDonald Islands Mackerel Icefish: Reassessments and surveillance audits (Principle 1). • Heard Island and MacDonald Islands Patagonian toothfish: First assessment, reassessment and surveillance audits (Principle 1). • Lakes and Coorong Fishery (South Australia): Reassessments and surveillance audits (Principle 1). • Macquarie Island Patagonian toothfish fishery: First assessment, reassessment and surveillance audits (Principle 1). • Kyoto Danish Seine Fishery: Reassessment (Principle 1). • Western Rock Lobster Fishery: Surveillance audits and reassessment. (Principle 1) • PNA Western and Central Pacific unassociated purse seine fishery (skipjack tuna): Surveillance audits (Principle 1). • PNA Western and Central Pacific unassociated purse seine fishery (yellowfin tuna): Expedited assessment (Principle 1). • Northeastern Tropical Pacific purse seine yellowfin & skipjack tuna: first assessment (Principle 2). • Tri Marine Western and Central Pacific skipjack and yellowfin tuna: first assessment (Team leader, Principle 1 and Principle 2). • Peel-Harvey Inlet, blue swimmer crab and sea mullet fisheries (Principle 1). • Western Australia deep sea crab fishery (Principle 1). • Australian pearl oyster fishery (Principle 1). • Pre-assessments of three other fisheries (confidential). <p>Mr Morison was the facilitator for an assessment of the ecological risks from Queensland's East Coast Trawl Fishery that looked at the full range of ecological components. He was senior author of the report that synthesised background information and the results of an expert workshop, and was co-author of the summary and technical reports that described the results of the project. He was subsequently engaged to assist with an assessment of this fishery's vulnerability to climate change.</p> <p>Sandy is also contracted by the Australian Fisheries Management Authority to chair the South East Fisheries Resource Assessment Group and the Shark Fisheries Resource Assessment Group, is the Scientific Representative on the South East Fishery Management Advisory Committee, and is a member of the South East Scalefish and Shark Fishery Resource Assessment Group. He has also</p>
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	<p>been the scientific representative on other Resource Assessment Groups. Sandy has experience with the assessment of invertebrate, chondrichthyan and teleost fisheries including commercial and recreational fisheries in freshwater, estuarine and marine habitats and fisheries operating in tropical, temperate and polar environments.</p> <p>He has particular expertise with fish age and growth and has been involved in the development and implementation of harvest strategies for several fisheries. He has over 20 publications in peer-reviewed scientific journals (8 as senior author), 8 book chapters, and over 100 project reports, technical reports, client reports and papers in workshop and conference proceedings.</p> <p>For more details visit: www.morisonaqsci.com.au</p> <p>Mr Morison experience satisfies the MSC requirements for a Team Member as described in PC2 (FCRV2.0) with over 5 years of research experience in a marine conservation fisheries and has passed the V2.0 Team Leader MSC modules within the last 3 years (January 2019).</p> <p>Mr. Morison affirms he has no conflict of interest in conducting this assessment.</p> <p>Dr. Abdul Halim Principle 3</p> <p>Dr. Halim has decades of technical knowledge and extensive field experience on fisheries management and policy in Indonesia, particularly with small-scale fisheries. He worked for sixteen (16) years for The Nature Conservancy (TNC) in Indonesia. He began his assignment with TNC Indonesia Marine Program as Livelihood Program Coordinator, to then become Technical and Policy Manager, Program Manager, Program Director and finally Senior Marine Policy Advisor to the Country Director. He has experienced different organizational assignments ranging from on-site conservation jobs, technical, policy (government relations) and managerial (executive) responsibilities. Example of technical tasks included development of long-term strategic and annual conservation plans and programs and their effective execution on the grounds; policy responsibilities included galvanizing political commitments from local, national, and international (especially Coral Triangle countries) governments to support marine conservation and influencing policy and legal development; executive responsibilities include overseeing staff capacity development, ensuring prudent financial spending and maintaining good relationships with</p>
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	<p>partners including donor agencies and all relevant units/programs within TNC globally.</p> <p>Dr. Halim has been working as a Senior Independent Consultant since the past three years with various organizations, mainly Non-Government Organizations (NGOs) and projects working in Indonesia including, Environmental Defense Fund/Yayasan Bina Usaha Lingkungan (EDF/YBUL), Wildlife Conservation Society (WCS), Rare, Coral Triangle Center (CTC) and USAID Sustainable Ecosystem Advanced (SEA) project. Most of his assignments included productions of various policy and legal analysis and recommendations in the field of small-scale fisheries, rights-based fisheries management and alignment of conservation with fisheries management to inform the development and revision of policies, regulations and laws, including the Fisheries Law of Indonesia.</p> <p>Dr. Halim was an active member of Indonesian delegation to the Conference of Parties of the UN Convention on Biological Diversity (UNCBD) up until few years ago. He has also authored and co-authored several publications around fisheries management and marine conservation at peer-reviewed international journals such as Marine Policy and Ocean and Coastal Management. He holds a Doctorate Degree from Bogor Agricultural University (IPB), Indonesia, on small-scale fisheries management, a Master of Arts (MA) in Marine Affairs from the University of Rhode Island, USA and a Bachelor in Fisheries from Bogor Agricultural University (IPB), Indonesia.</p> <p>Dr. Halim's experience satisfies the MSC requirements for a Team Member as described in PC2 (FCRV2.0) with over 5 years management experience in a marine conservation fisheries and has passed the V2.0 Team Member MSC modules within the last 3 years (February 2019).</p> <p>Dr. Halim affirms he has no conflict of interest in conducting this assessment.</p> <p>The fishery team collectively complies with the qualification and competency criteria listed in Table PC3:</p> <ul style="list-style-type: none"> ■ Mr. Morison has over 25 years' experience with a wide variety of fishery assessment models including the types of integrated assessments that are used for the key tuna species in the WCPFC. This experience has been gained by being a member of and chairing the scientific groups responsible for selecting assessment methods, critically reviewing the outputs of such models and providing management advice based on those outputs.
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	<ul style="list-style-type: none"> ■ Mr. Morison has decades of experience working with the biology and population dynamics of tuna species and other species with similar biology: In his career as a fisheries scientist, including as a senior scientist on State, National and International scientific groups, Mr. Morison has gained experience with a broad range of fisheries including invertebrate, chondrichthyan and teleost fisheries; commercial and recreational fisheries; freshwater, estuarine and marine fisheries; and fisheries operating in tropical, temperate and polar environments. The includes tuna and other pelagic fisheries. ■ Has over 25 years' experience with a wide variety of fishery assessment models including the types of integrated assessments that are used for the key tuna species in the WCPFC. This experience has been gained by being a member of and chairing the scientific groups responsible for selecting assessment methods, critically reviewing the outputs of such models and providing management advice based on those outputs. ■ Dr. Halim has well over 5 years of experience fisheries management and policy analysis as demonstrated by his tenure at TNC's Indonesia Marine Program as Technical and Policy Manager, Program Manager, Program Director and Senior Marine Policy Advisor. ■ Dr. Halim has over 5 years of experience as a practicing fishery policy analyst, as evidenced by his publication list detailed in his CV which include: Halim, A., et al. 2018. Developing a functional definition of small-scale fisheries in support of marine capture fisheries management in Indonesia. Marine Policy; Halim, A., et al. L. 2017. Konsep hak pengelolaan perikanan sebagai alat pengelolaan perikanan berkelanjutan di Indonesia. Jurnal Kebijakan Perikanan Indonesia (JKPI) 9 (1): 11-20. ■ As a native to Indonesia Dr. Halim has knowledge of a common language spoken by clients and stakeholders; and has decades of fishery work experience in Indonesia. ■ Both Mr. Morison and Ms. Anhalzer have passed the MSC's Traceability module in January 2019 and February 2019, respectively. Ms. Anhalzer has completed MSC's RBF training course (February 2019).
Assessment tree to be used	The default V2.0 assessment tree is to be used without modification.
Site visit	The site visit is tentatively scheduled for March 25-27, 2019, in Jakarta and Ambon, Indonesia.

	<p>If the RBF is deemed necessary, any onsite meetings to meet requirements of the RBF will be announced separately. Any such meetings will comply with the following statement:</p> <p>A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery. For those parts of the assessment involving the MSC's Risk Based Framework (RBF), if deemed applicable, see http://www.msc.org/about-us/standards/methodologies/fam/msc-risk-based-framework, Please note we will be using a stakeholder-driven, qualitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the fishery. We encourage any stakeholders with experience or knowledge of the fishery to participate in these meetings.</p>
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SCS encourages stakeholders not to withhold information, and SCS will not permit use of confidential information for reference within an assessment, as basis for determination of an assessment outcome, or as basis for an objection to certification. Confidential information is restricted to defined exceptions listed in FCRv2.0 (4.3.3).

Any parties (individuals or organizations) interested in providing input at the on-site meetings or via email, and/or in being directly informed of future stakeholder announcements, please contact SCS at ganhalzer@scsglobalservices.com with:

- a) your name and contact details;
- b) your association with the fishery; and
- c) the issues you would like to discuss (in order for us to arrange appropriate representation).

As a reminder, information is most useful to the assessment team when it is specific and includes constructive suggestions for improving existing situations. Also, supporting documentary evidence for any issues of concern will be greatly appreciated by the assessment team.

Submitted by: Gabriela Anhalzer

Date: February 15, 2019

Appendix 1: Client certificate sharing statement [delete if not applicable]

See Additional uploaded Certificate Sharing Letter

Appendix 2: CVs of team leader and team

See additional uploaded CVs.

