

**DNV GL**

**Estonia North East Arctic cold  
water prawn and cod fishery –  
scope extension with Polish and  
Latvian vessels**

**MSC Fishery Announcement**

# 1 Marine Stewardship Council fishery announcement

**Table 1 – Fishery announcement**

1	Fishery name	
	<ul style="list-style-type: none"> <li>- To be determined by the fishery client and the CAB.</li> </ul>	
	Estonia North East Arctic cold water prawn and cod fishery – scope extension with Polish and Latvian vessels	
2	Assessment number	
	<ul style="list-style-type: none"> <li>- Indicate if this is the initial assessment, first reassessment, second reassessment etc.</li> </ul>	
	Scope extension on the first reassessment period	
3	Reduced reassessment (Yes/No)	
	<ul style="list-style-type: none"> <li>- If the fishery qualifies for reduced reassessment (FCP v2.1 7.30.8), indicate whether that is the case here and complete Appendix 4.</li> </ul>	
	No	
4	Statement that the fishery is within scope	
	<ul style="list-style-type: none"> <li>- A clear statement from the CAB that the fishery entering assessment meets the scope requirements in FCP v2.1 7.4 for MSC fishery assessments (FCP v2.1 7.12.2.a).</li> </ul>	
	<p>The fishery meets the scope requirements of FCP v2.1 section 7.4.2 to 7.4.7:</p> <p>7.4.2: The fishery does not target, under principle 1, amphibians, reptiles, birds or mammals.</p> <p>7.4.2.2: The fishery does not use poisons or explosives.</p> <p>7.4.3: The fishery is not conducted under a controversial unilateral exemption to an international agreement.</p> <p>7.4.4: The fishery client has not been prosecuted for forced or child labour violation in the last 2 years.</p> <p>7.4.5: The fishery has mechanisms for resolving disputes</p> <p>7.4.6: The fishery is not an enhanced fishery.</p> <p>7.4.7: The fishery is not an Introduced species based fisheries.</p>	
5	Unit(s) of Assessment - UoA(s)	
	<ul style="list-style-type: none"> <li>- Include Unit(s) of Assessment from the Announcement Comment Draft Report</li> </ul>	
	UoA 1	Description
	Species	Cold water prawn, Northern shrimp, Pink shrimp, deep water prawn, deep-sea prawn, great northern prawn and crevette nordique ( <i>Pandalus borealis</i> )
	Stock	Barents Sea stock (ICES Division I and II) / FAO 27

Geographical area	Barents Sea, Svalbard, in FAO statistical area 27, ICES Ia,b and IIb
Harvest method / gear	Bottom trawl
Client group	<p>Reyktal Ltd. and Reval Seafood Ltd represented by the following vessels: Steffano, Merike (owned by Reyktal Ltd), Reval Viking (owned by Reval Seafood Ltd)</p> <p>Danish company P/R Ocean Tiger represented by the vessel: Ocean Tiger R38.</p> <p>Lithuanian company UAB Marlinas represented by the vessels: Taurus, Ontika and Lokys</p> <p>UK company Onward Fishing Company represented by: Norma Mary</p> <p><a href="#">Extension:</a>  <a href="#">Poland company Arctic Navigations represented by the vessel: Polonus</a>  <a href="#">Latvia company SIA Batterfisa represented by the vessel: Dorado 2</a></p>
Other eligible fishers	<p>For the Estonian shrimp fishery there are currently no other identified eligible fishers, as there are no other vessels fishing for cold water prawns (<i>Pandalus borealis</i>) licensed under Estonian fisheries management in the Unit of Certification. If at a later date more Estonian vessels are added to the Estonian shrimp fishery in the Barents Sea, their eligibility to share the certificate will be considered upon the application. New vessels owned by the Estonian client will automatically (subject to full compliance with MSC requirements) be part of the certification and the vessel list updated accordingly.</p> <p>For the Danish, Lithuanian, UK, <a href="#">Polish and Latvian</a> shrimp fishery there are currently no vessels other than Ocean Tiger, Taurus, Ontika, Norma Mary, <a href="#">Polonus and Dorado 2</a>. If at a later date the client group add more vessels to their fleet that fish in the Barents Sea for cold water shrimp under their respective countries regulation, they will automatically (subject to full compliance with MSC requirements) be part of the certification and the vessel list updated accordingly. Vessels outside the client group are not eligible to share the MSC certificate.</p>
UoA 2	Description
Species	Atlantic cod ( <i>Gadus morhua</i> )
Stock	North East Arctic cod
Geographical area	Barents Sea, Svalbard, in FAO statistical area 27, ICES Ia
Harvest method / gear	Bottom trawl
Client group	Reyktal Ltd. and Reval Seafood Ltd represented by the following vessels: Steffano, Merike (owned by Reyktal Ltd), Reval Viking (owned by Reval Seafood Ltd)

		<p>Lithuanian company UAB Marlinas represented by the vessels Taurus and Ontika</p> <p>UK company Onward Fishing Company represented by: Norma Mary</p> <p>Extension:</p> <p>Poland company Arctic Navigations represented by the vessel: Polonus</p> <p>Latvia company SIA Batterfisa represented by the vessel: Dorado 2</p>
	Other eligible fishers	<p>For the Estonian shrimp fishery there are currently no other eligible fishers, as there are no other vessels fishing for cold water prawns (<i>Pandalus borealis</i>) licensed under Estonian fisheries management in the Unit of Certification retaining cod as a by-catch. If at a later date more Estonian vessels are added to the Estonian shrimp fishery in the Barents Sea and retaining cod, their eligibility to share the certificate will be considered upon the application. New vessels owned by the Estonian client will automatically (subject to full compliance with MSC requirements) be part of the certification and the vessel list updated accordingly.</p> <p>For the Lithuanian and UK fisheries there are currently no vessels other than Taurus, Ontika, Norma Mary, Polonus and Dorado 2 included in the certification of cod. If at a later date the Lithuanian, UK, Polish or Latvian client adds more vessels to their fleet that fish in the Barents Sea for cold water shrimp and will be retaining cod under the Lithuanian or UK regulations, they will automatically (subject to full compliance with MSC requirements) be part of the certification and the vessel list updated accordingly. Vessels outside the client group are not eligible to share the MSC certificate.</p>
6	Certificate sharing statement	
	<ul style="list-style-type: none"> <li>Where certificate sharing is to be made available, the client needs to prepare and publish a statement of their understanding and willingness for reasonable certificate sharing arrangements (FCP v2.1 7.12.2.b and 7.5.7.2). The arrangement should be addressed here, and a separate letter attached in Appendix 2 detailing this arrangement, as appropriate. If certificate sharing is not applicable, indicate that this is the case here.</li> </ul>	
	Certificate sharing with other companies in Poland and Latvia than those included in this scope extension is not relevant	
7	Name of proposed team leader	
	<ul style="list-style-type: none"> <li>Indicate name of team leader and areas that they are responsible for. Explain how they meet the competency criteria in Annex PC and confirm they have no conflicts of interest in relation to the fishery under assessment. Summary of CVs to be provided in Appendix 1 (FCP v2.1 7.12.2.c).</li> </ul>	
	Appointment of team leader is not required in scope extensions.	
8	Name(s) of proposed team members	
	<ul style="list-style-type: none"> <li>Indicate names of team members and areas for which they are responsible. Explain how they meet the competency criteria in Annex PC and confirm that the assessors in question have no conflicts of interest in relation to the fishery under assessment. Summary of CVs to be provided in Appendix 1. (FCP v2.1 7.12.2.c)</li> </ul>	

**Bert Keus (team member and principle expert):**

Bert Keus is an independent consultant based in Leiden, the Netherlands. He holds degrees in both biology and law, and started his career at the Netherlands Institute for Fisheries Investigation (RIVO-DLO). Later he held the position of Head of the Environmental Division of the Dutch Fisheries Board (Productschap Vis). Particular areas of expertise are environmental impact assessments of fisheries in the Natura 2000 framework, fisheries management plans, natural resource policy, and programme and project evaluations.

He has long association with the several fisheries in the Netherlands, and he has been involved in efforts to achieve MSC certification of the North Sea brown shrimp fishery – acting as technical advisor to this multi-stakeholder initiative. Through this work and several other MSC certifications he has become particularly familiar with the MSC certification process. Between the years 1998 and 2003 he was a Member of the European Sustainable Use Specialist Group (ESUSG), Fisheries Working Group of IUCN.

He has been the team member of MSC assessment teams for Dutch North Sea gill net fishery for sole, Ekofish twin rigged trawl plaice fishery, Dutch suspended and bottom mussel culture, North Sea sea bass, DFPO North Sea sole and haddock, Shetland suspended mussel culture and Guyana Seabob Fishery. He has also been Principle 2 and 3 expert in the Estonia and Faroe Islands Barents Sea cold water prawn fishery, team leader and Principle 2 and 3 expert of the Sweden Skagerrak and Norwegian Deep cold water prawn fishery and Principle 3 expert for recertification of Norway, Estonia and Faroe Islands Barents Sea cold water prawn fisheries.

- He meets the competence criteria in to MSC Certification requirements v. 2.0, annex PC and MSC Fisheries Certification Process, annex PC, concerning substantial and appropriate skills related to Principle 2 and Principle 3 requirements.
- He is trained as a team member and team leader according to v 1.3 and v 2.0
- He has passed the Fisheries Certification Process Version 2.1 training for team leaders
- He has no conflict of interest in relation to the fishery under assessment

**Sigrun Bekkevold (project manager and chain of custody responsible):**

Sigrun Bekkevold is subcontractor to DNV GL Business Assurance and holds a Master of Science in industrial chemistry and biochemistry from the Norwegian University of Science and Technology in Trondheim. She has 30 years of experience in leading projects for sustainable development of the marine sector.

She was employed in the DNV GL until October 2016 where she worked with the MSC standard for sustainable fisheries as project manager and chain of custody responsible for pre-assessments, initial assessments and surveillance assessments. This includes e.g. Norwegian, Swedish and Danish shrimp fisheries in Skagerrak and the North Sea, Faroese and Estonian shrimps fisheries in the Barents Sea, Norwegian krill fishery in Antarctica and fisheries in the Baltic sea. She has also been project manager in developing product certification standard for marine ingredients in for Norwegian Food industry and has also been working with strategies for sustainability services in the marine sector. After October 2016 she has been a subcontractor to DNV GL, continuing working with MSC certification standard.

Before 2012 her main focus was on research, innovation and business development within total utilization of fish. This includes compiling strategies, action plans, feasibility analysis and market analysis, organizing project teams, performing mass flow analysis, networking with industry, research and authorities, evaluating regulatory issues and communication of results. She held a position as a general manager in RUBIN Foundation, aiming for value adding and better utilization of fish by-products. RUBIN has been owned by the seafood industry in Norway and supported by Ministry of Fishery and Coastal Affairs and the Norwegian Seafood Research Fund. The work has included the whole value chain, from the fishing vessel and all the way to the Market.

- She was a part of the team for the initial certification and re-certification, and also all former scope extensions, for the Estonia NEA cold water prawn and cod fishery as project manager and traceability responsible

	<ul style="list-style-type: none"> <li>• She will be the project manager and traceability responsible, and operate on-site in this scope extension</li> <li>• She meets the competence criteria in to MSC Certification requirements v. 2.0, annex PC, in having appropriate skills related to Chain of Custody requirements.</li> <li>• She is trained as a team leader, incl. traceability, according to v. 1.3 and 2.0.</li> <li>• She has passed the Fisheries Certification Process Version 2.1 training for team leaders</li> </ul> <p>She has no conflict of interest in relation to the fishery under assessment.</p>												
9	Stakeholder opportunities												
	<ul style="list-style-type: none"> <li>- Announcement Comment Draft Report</li> <li>- Link to Stakeholder Input template</li> <li>- Site visit: all team members are available to meet either in person or remotely (FCP v2.1 7.12.2.e.i)</li> </ul>												
	<p>The ACDR, published on the MSC website with this announcement, is subject to stakeholder comments for a period of 30 days. Notification of this will be sent by email to all stakeholders identified with the client. Written submissions must be made via the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website: <a href="https://www.msc.org/for-business/certification-bodies/supporting-documents/1">https://www.msc.org/for-business/certification-bodies/supporting-documents/1</a>.</p> <p>The assessment team is available to meet either in person or remotely during the site visit which is scheduled for 30 September 2019 in Riga, Latvia and 1 October 2019 in Warszawa, Poland.</p> <p>DNV GL will only accept stakeholder input as public record if submitted using the 'MSC Template for Stakeholder Input into Fishery Assessments', or if raised at the site visit in person or remotely.</p>												
10	Assessment tree to be used												
	<ul style="list-style-type: none"> <li>- Indicate if the tree applied will be the default tree, the default enhanced bivalve tree or the default salmon tree. If one of the default trees is used, fill in the Site visit box below. If the tree requires modification, fill in the Tree modifications box below. (FCP v2.1 7.12.2.d)</li> </ul>												
	The default assessment tree from MSC FCR v 2.0 has been used for this assessment.												
11	Estimated timeline												
	<ul style="list-style-type: none"> <li>- A predicted date by which the assessment is expected to be completed and certification awarded if the assessment result is positive. Include projected dates for key reports. Please note a separate assessment timeline is still required (FCP v2.1 7.13.1).</li> </ul>												
	<p>The following is an estimated timeline for the re-certification of this fishery if the assessment has a positive result.</p> <table border="1"> <thead> <tr> <th>ACTIVITY</th><th>DEADLINE</th></tr> </thead> <tbody> <tr> <td>ACDR &amp; Announcement</td><td>29.08.2019</td></tr> <tr> <td>Site visit</td><td>30 September and 1 October 2019</td></tr> <tr> <td>PCDR</td><td>01.12.2019</td></tr> <tr> <td>Final Report</td><td>15.01.2020</td></tr> <tr> <td>PCR</td><td>15.02.2020</td></tr> </tbody> </table> <p>Please note that a separate assessment timeline is available on the MSC website which is subject to updates as required by FCP v 2.1.</p>	ACTIVITY	DEADLINE	ACDR & Announcement	29.08.2019	Site visit	30 September and 1 October 2019	PCDR	01.12.2019	Final Report	15.01.2020	PCR	15.02.2020
ACTIVITY	DEADLINE												
ACDR & Announcement	29.08.2019												
Site visit	30 September and 1 October 2019												
PCDR	01.12.2019												
Final Report	15.01.2020												
PCR	15.02.2020												
12	Site visit												
	<ul style="list-style-type: none"> <li>- To be completed only if using the default assessment tree. Include a statement inviting participants, indicating the proposed date(s) and location(s) commencing after the stakeholder input on the Announcement Draft Report. If using the Risk-Based Framework (RBF), also include the following text:</li> </ul>												

	<ul style="list-style-type: none"> <li>- A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery. For those parts of the assessment involving the MSC's RBF see <a href="http://www.msc.org/about-us/standards/methodologies/fam/msc-risk-based-framework">http://www.msc.org/about-us/standards/methodologies/fam/msc-risk-based-framework</a>. Please note we will be using a stakeholder-driven, qualitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the fishery. We encourage any stakeholders with experience or knowledge of the fishery to participate in these meetings.</li> <li>- (FCP v2.1 7.12.3 and Annex PF2.3.2)</li> </ul>
	<p>The assessment team will be available to meet in person or remotely during the scheduled site visit 30 September 2019 in Riga, Latvia and 1 October 2019 in Warszawa, Poland.</p> <p>A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery. DNV GL assessment team encourages any stakeholders with experience or knowledge of the fishery to participate in these meetings.</p>
13	Assessment tree modifications
	<ul style="list-style-type: none"> <li>- Only complete if modifications are to be suggested to the default assessment tree – otherwise delete row. Explain reasons for making modifications to the default tree and what the draft tree proposed will contain. Attach the draft modified tree in Appendix 3. (FCP v2.1 7.12.5)</li> </ul>
	No modifications are suggested to the default assessment tree.

Site visit is a key step to evaluate the Performance Indicators and Scoring Guideposts in the MSC Methodology and to collect information regarding the fishery and in particular, to speak to representatives of the fishery, fishery management and environmental management bodies.

DNV GL invites organisations or individuals with an interest in this fishery to meet with the assessment Team and provide information relevant to the assessment process.

DNV GL would like to inform that the assessment team is unable to reference or use information that cannot be shared with all stakeholders.

Confidential information should only relate to either;

- financial transactions about certification;
- financial affairs of individual companies or information that may lead to this information being made public,
- information that is the subject of relevant national privacy or data protection legislation in the Client's country.

If you would like to talk to the assessors, please advise us of your interest by **5 PM GMT on 29 September 2019**, giving the following details:

1. Name and company, together with contact information;
2. Your association with the fishery;
3. The issues you would like to discuss (in order for us to arrange appropriate representation);
4. Suitable venue/method and time for a meeting

Please submit this information to Sigrun Bekkevold, email: [Sigrun.bekkevold@dnvgl.com](mailto:Sigrun.bekkevold@dnvgl.com) and you will be contacted as soon as possible.

Further information regarding the Marine Stewardship Council Methodology is available at the MSC website <http://www.msc.org>.

DNV GL seeks to open a dialogue with all organizations or individuals with a direct interest in this fishery. Anyone wishing to provide comments or information to the reassessment process (in terms of the status of the stocks, ecosystem interactions or fishery management practices, other) is invited to contact DNV GL. You may contact us for a meeting during the site visit, remote interviews or written submissions. Please use the "MSC template for stakeholder input v 3.0 for submission of your comments. The template can be downloaded from the MSC website: <https://www.msc.org/for-business/certification-bodies/supporting-documents/1>

Should you have any information on this fishery that you feel should be considered in the assessment, please advise us.

Recommendations of new stakeholders are also welcome.

Submitted by: Sigrun Bekkevold

Date: 29.08.2019

Associated documents:

- Announcement Comment Draft Report
- Completed client document checklist.
- Indicative assessment timeline.



## Appendix 1: Summaries of CVs of team leader and team members

*Insert summaries of CVs of team leader and team members.*

### **Bert Keus (team member and principle expert):**

Bert Keus is an independent consultant based in Leiden, the Netherlands. He holds degrees in both biology and law, and started his career at the Netherlands Institute for Fisheries Investigation (RIVO-DLO). Later he held the position of Head of the Environmental Division of the Dutch Fisheries Board (Productschap Vis). Particular areas of expertise are environmental impact assessments of fisheries in the Natura 2000 framework, fisheries management plans, natural resource policy, and programme and project evaluations.

He has long association with the several fisheries in the Netherlands, and he has been involved in efforts to achieve MSC certification of the North Sea brown shrimp fishery – acting as technical advisor to this multi-stakeholder initiative. Through this work and several other MSC certifications he has become particularly familiar with the MSC certification process. Between the years 1998 and 2003 he was a Member of the European Sustainable Use Specialist Group (ESUSG), Fisheries Working Group of IUCN.

He has been the team member of MSC assessment teams for Dutch North Sea gill net fishery for sole, Ekofish twin rigged trawl plaice fishery, Dutch suspended and bottom mussel culture, North Sea sea bass, DFPO North Sea sole and haddock, Shetland suspended mussel culture and Guyana Seabob Fishery. He has also been Principle 2 and 3 expert in the Estonia and Faroe Islands Barents Sea cold water prawn fishery, team leader and Principle 2 and 3 expert of the Sweden Skagerrak and Norwegian Deep cold water prawn fishery and Principle 3 expert for recertification of Norway, Estonia and Faroe Islands Barents Sea cold water prawn fisheries.

- He meets the competence criteria in to MSC Certification requirements v. 2.0, annex PC and MSC Fisheries Certification Process, annex PC, concerning substantial and appropriate skills related to Principle 2 and Principle 3 requirements.
- He is trained as a team member and team leader according to v 1.3 and v 2.0
- He has passed the Fisheries Certification Process Version 2.1 training for team leaders

### **Sigrun Bekkevold (project manager and chain of custody responsible):**

Sigrun Bekkevold is subcontractor to DNV GL Business Assurance and holds a Master of Science in industrial chemistry and biochemistry from the Norwegian University of Science and Technology in Trondheim. She has 30 years of experience in leading projects for sustainable development of the marine sector.

She was employed in the DNV GL until October 2016 where she worked with the MSC standard for sustainable fisheries as project manager and chain of custody responsible for pre-assessments, initial assessments and surveillance assessments. This includes e.g. Norwegian, Swedish and Danish shrimp fisheries in Skagerrak and the North Sea, Faroese and Estonian shrimps fisheries in the Barents Sea, Norwegian krill fishery in Antarctica and fisheries in the Baltic sea. She has also been project manager in developing product certification standard for marine ingredients in for Norwegian Food industry and has also been working with strategies for sustainability services in the marine sector. After October 2016 she has been a subcontractor to DNV GL, continuing working with MSC certification standard.

Before 2012 her main focus was on research, innovation and business development within total utilization of fish. This includes compiling strategies, action plans, feasibility analysis and market analysis, organizing project teams, performing mass flow analysis, networking with industry, research and authorities, evaluating regulatory issues and communication of results. She held a position as a general manager in RUBIN Foundation, aiming for value adding and better utilization of fish by-products. RUBIN has been owned by the seafood industry in Norway and supported by Ministry of Fishery and Coastal Affairs and the Norwegian Seafood Research Fund. The work has included the whole value chain, from the fishing vessel and all the way to the Market.

- She was a part of the team for the initial certification and re-certification, and also all former scope extensions, for the Estonia NEA cold water prawn and cod fishery as project manager and traceability responsible

- She will be the project manager and traceability responsible, and operate on-site in this scope extension
- She meets the competence criteria in to MSC Certification requirements v. 2.0, annex PC, in having appropriate skills related to Chain of Custody requirements.
- She is trained as a team leader, incl. traceability, according to v. 1.3 and 2.0.
- She has passed the Fisheries Certification Process Version 2.1 training for team leaders

## Template information and copyright

This document was drafted using the 'MSC Fishery Announcement Template v2.01'.

The Marine Stewardship Council's 'MSC Fishery Announcement Template v2.01' and its content is copyright of "Marine Stewardship Council" - © "Marine Stewardship Council" 2019. All rights reserved.

### Template version control

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1
2.01	28 March 2019	Minor document change for usability

A controlled document list of MSC program documents is available on the [MSC website](http://msc.org) (msc.org)

Senior Policy Manager  
Marine Stewardship Council  
Marine House  
1 Snow Hill  
London EC1A 2DH  
United Kingdom

Phone: + 44 (0) 20 7246 8900  
Fax: + 44 (0) 20 7246 8901  
Email: [standards@msc.org](mailto:standards@msc.org)