

Control Union (UK) Limited

Dutch Oyster Association oyster – Scope Extension

MSC Fishery Announcement

Date 16/12/2020

Control Union (UK) Limited

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Role	Signature	Date
Originator:	HJ	07/12/2020
Approver:	CT	08/12/2020

Marine Stewardship Council fishery announcement

Table 1 – Fishery announcement

1	Fishery name								
	Dutch Oyster Association oyster – Scope Extension								
2	Assessment number								
	Scope Extension								
3	Reduced reassessment (Yes/No)								
	N/a								
4	Statement that the fishery is within scope								
	<p>CU UK confirms that the fishery under assessment is within the scope of the MSC Fisheries Standard (7.4 of the MSC Fisheries Certification Process v2.2):</p> <ul style="list-style-type: none"> The target species is not an amphibian, reptile, bird or mammal (FCP v2.2. 7.4.2.1); The fishery does not use poisons or explosives (FCP v2.2 7.4.2.2); The fishery is not conducted under a controversial unilateral exemption to an international agreement (FCP v2.2 7.4.2.3); The client or client group does not include an entity that has been successfully convicted for a forced or child labour violation in the last 2 years (FCP v2.2. 7.4.2.4); The client or client group does not contain an entity which has been successfully convicted for shark finning in the last 2 years (FCP v2.2 7.4.2.10); The fishery has in place a mechanism for resolving disputes, and disputes do not overwhelm the fishery (FCP v2.2 7.4.2.11 and 7.4.2.11iii); <p>The fishery does contain UoAs that are considered enhanced fishery as per the MSC FCP v2.2 7.4.2.12 ; and The fishery is based on an introduced species-based fishery as per the MSC FCP v2.2 7.4.2.13. Note these attributes are considered in the existing certificate for this fishery.</p> <p>CU UK confirms that the client group has submitted the completed ‘Certificate Holder Forced and Child Labour Policies, Practices and Measures Template’ prior to the start of this assessment.</p>								
5	Unit(s) of Assessment - UoA(s)								
	<p>NOTE the UoA structure below includes a rearrangement of UoA numbering from the existing certificate. With the proposed additions of UoAs through this scope extension a restructuring of the UoA numbers was undertaken to provide clarity on the overall structure of the fishery. The suggested new structure rearranges the UoAs by species first (UoA 1 and 2 – native oyster), UoA 3-8 Pacific oyster) then by gears and area.</p> <p>Table 1. Units of Assessment (UoA) 1 and 2 (UNCHANGED IN THIS SCOPE EXTENSION but UoA number reissued):</p> <table> <tr> <td>Species / stock</td><td>Flat (native) Oyster <i>Ostrea edulis</i></td></tr> <tr> <td>Geographical range of the stock</td><td>IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere), The Netherlands</td></tr> <tr> <td>Geographical range of the UoA</td><td>Oosterschelde (UoA 1 and 2), Lake Grevelingen (UoA 2)</td></tr> <tr> <td>UoA 1</td><td>Adult oysters/spat dredging on wild beds</td></tr> </table>	Species / stock	Flat (native) Oyster <i>Ostrea edulis</i>	Geographical range of the stock	IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere), The Netherlands	Geographical range of the UoA	Oosterschelde (UoA 1 and 2), Lake Grevelingen (UoA 2)	UoA 1	Adult oysters/spat dredging on wild beds
Species / stock	Flat (native) Oyster <i>Ostrea edulis</i>								
Geographical range of the stock	IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere), The Netherlands								
Geographical range of the UoA	Oosterschelde (UoA 1 and 2), Lake Grevelingen (UoA 2)								
UoA 1	Adult oysters/spat dredging on wild beds								

Fishing Gear Type and, if relevant, vessel type(s)	UoA 2	On-growing of oysters on culture plots, collecting harvest size oysters from culture plots by dredging.
Client Group		Members of the Dutch Oyster Association (NOV)

Table 2. Units of Assessment (UoA) 3 (UNCHANGED IN THIS SCOPE EXTENSION BUT UoA number reissued):

Species / stock		Pacific Oyster <i>Crassostrea gigas</i>
Geographical range of the stock		IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere), The Netherlands
Geographical range of the UoA		Oosterschelde (UoA 3)
Fishing Gear Type and, if relevant, vessel type(s)	UoA 3	Adult oysters/spat dredging on wild beds
Client Group		Members of the Dutch Oyster Association (NOV)
Other eligible fishers		None (UoA is same as UoC)

Table 3. UoAs 4, 5 (UNCHANGED IN THIS SCOPE EXTENSION but UoA number reissued) and New UoA 6 - new area (in bold).

Species/stock		Pacific Oyster <i>Crassostrea gigas</i>
Geographical range of the stock		IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere) The Netherlands
Geographical range of the UoA		Oosterschelde (UoA 4) Lake Grevelingen (UoA 5) & Lake Veere (UoA 6)
Fishing Gear Type and, if relevant, vessel type(s)	UoA 4 - Oosterschelde	On-growing of oysters on culture plots, collecting harvest size oysters from culture plots by dredging.
	UoA 5 - Lake Grevelingen	On-growing of oysters on culture plots, collecting harvest size oysters from culture plots by dredging.
	UoA 6 - Lake Veere	On-growing of oysters on culture plots, collecting harvest size oysters from culture plots by dredging.
Client group		Members of the Dutch Oyster Association (NOV)
Other eligible fishers		None (UoA is same as UoC)

Table 4. NEW UoA 7 new gear and method (in bold) in existing area.

Species/stock		Pacific Oyster <i>Crassostrea gigas</i>
Geographical range of the stock		IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere) The Netherlands
Geographical range of the UoA		Oosterschelde (UoA 7)
Fishing Gear Type and, if relevant, vessel type(s)	UoA 7	Handpicking of harvest size oysters
Client group		Members of the Dutch Oyster Association (NOV)
Other eligible fishers		There a number of non-NOV licenced hand pickers (eight) that are not part of the current UoC but would be eligible as part of the UoA should they wish to join the certificate. Fishing practice,

		licence and governance of these fishers are identical to the UoC and therefore they could join the NOV and become part of the certificate if desired.
Table 5. NEW UoA 8, new gear and method (in bold) in existing area		
Species/stock		Pacific Oyster <i>Crassostrea gigas</i>
Geographical range of the stock		IVc: Southern North Sea, Waddenzee and Zeeuwse Delta (Oosterschelde, Voordelta, Lake Grevelingen & Lake Veere) The Netherlands
Geographical range of the UoA		Oosterschelde (UoA 8)
Fishing Gear Type and, if relevant, vessel type(s)	UoA 8	On growing with off-bottom systems
Client group		Members of the Dutch Oyster Association (NOV)
Other eligible fishers		None (UoA is same as UoC)
6	Certificate sharing statement	
	N/a	
7	Name of proposed team leader	
i	Name	Hugh Jones
	Areas of responsibility	Team Leader, Principle 1, Traceability, MSC process requirements
	Competency criteria (Annex PC)	<p>Dr Jones has completed the required Fishery Team Leader MSC training modules for the V2.2 Fisheries Certification Process Requirements including ISO 9001 lead auditor training.</p> <p>It is proposed that Dr Hugh Jones will act as Team Leader and Principle 1 for this scope extension and will be responsible for bringing together the work of the team's principle experts. Hugh will also have primary responsibility for ensuring that the certification requirements and process are being met at each stage of the process. Between 2011 and 2014 Hugh worked a research scientist on mollusc fisheries for the University of Tasmania and the State government. He has published stock assessment reports on three species of bivalves including oysters, estuarine bivalves and abalone. Some of these species stock assessments were externally reviewed as part of the Status of Australian Fish Stocks program. The stock assessment techniques used include development of empirical harvest strategy control rules and estimations of MSY and reference points. He has worked as a Principle 1 assessor on MSC projects for > 3 years. Table PC3.1 and 2 Competencies and Qualification requirements for Principle 1 are met</p>
	CV	On request
ii	Conflict of interest in relation to this fishery	CU UK have reviewed and found no conflict of interest in this fishery.
8	Name(s) of proposed team members	
i	Name	Chrissie Sieben

	Areas of responsibility	Principle 2, Risk Based Framework, Traceability.
	Competency criteria (Annex PC)	<p>Chrissie has completed the required Fishery Team member MSC summary of changes for the V2.2 Fisheries Certification Process Requirements and has ISO 9001 lead auditor training. Chrissie Sieben has a Master's Degree in Marine Environmental Protection which she obtained at the University of Wales, Bangor. She has over ten years' expertise with the MSC certification requirements and has completed numerous MSC pre-assessments and surveillance audits. She regularly participates in MSC training sessions and workshops and has provided Principle 2 expertise on a range of pre-assessments and full assessments of bivalve, demersal and pelagic fisheries in the Atlantic, Mediterranean, Indian Ocean and Western Central Pacific. Table PC3.3 Competencies and Qualification requirements for Principle 2 are met.</p> <p>Further, Chrissie speaks Dutch as required for this assessment.</p>
	CV	On request
	Name	Ulf Löwenberg
	Areas of responsibility	Principle 3
	Competency criteria (Annex PC)	<p>Ulf Löwenberg has a Master's degree from the University of Hamburg in Fisheries Science. He is a fisheries biologist with more than 30 years' experience in the fisheries sector. This has included more than 15 years' experience in fisheries and advisory projects, including extensive work in Africa and 8 years' project management. Ulf has been involved in a number of MSC pre-assessments, full assessments and surveillance audits based in Europe. These include Swedish Skagerrak and Kattegat herring fishery, North Sea Saithe Trawl fishery and Western Baltic Spring Spawning Herring fishery.</p> <p>Ulf is now a freelance fisheries consultant and has worked for private and governmental clients on a number of projects in Europe and Africa. A recent project based in Mauritania, which Ulf was responsible for, was titled 'Management advice in the fisheries sector'. This included support to the Fisheries Ministry in relation to development and implementation of fisheries management plans. For this assessment, Ulf is responsible for P3. Table PC3.4 Competencies and Qualification requirements for Principle 3 are met</p>
	CV	On request
	Conflict of interest in relation to this fishery	CU UK have reviewed and found no conflict of interest in this fishery for Chrissie or Ulf.
	ii	
	9 Stakeholder opportunities	
<p>Stakeholders are encouraged to provide comments on the Announcement Comment Draft Report (ACDR) published on the MSC website for this fishery. Written submissions must be made through the "MSC Template for Stakeholder Input into Fishery Assessments v3.0" available for download here: https://www.msc.org/for-business/certification-bodies/supporting-documents/1.</p> <p>All stakeholders will be available to meet with team members during the site visit. The site visit will be conducted from the 20th January 2021 as a remote audit by video conference. Due to Covid-19 and the associated global travel restrictions in recent months, the MSC instated a derogation to ensure that site visits planned between the 28th September 2020 and 27th March 2021, could be held remotely provided that the following criteria is met. If there are Covid-19-related restrictions or health risks affecting either the CAB, auditors/assessors or certificate holder, the CABs should conduct specified audit types remotely. These audits include the following: fisheries surveillance audits, expedited audits, scope extensions assessments and re-assessments, as well as all supply chain audits subsequent to initial audits. At the time of this announcement UK residents are subject to national and local restrictions which prevent</p>		

	the site visit being conducted in-person (https://www.gov.uk/guidance/full-list-of-local-restriction-tiers-by-area) access 2nd Dec 2020.		
	Please note that CU (UK) will accept stakeholder input only if submitted using the aforementioned template, or if raised at the site visit.		
10	Assessment tree to be used		
	This extension is for an enhanced bivalve fishery of an existing certificate and therefore will follow FRv1.3 scoring and Annex CK: Modifications to the Default Tree for Enhanced Bivalve Fisheries – Normative.		
11	Estimated timeline		
	Assessment stage	Date	Stakeholder Consultation Period
	Fishery announcement	16 th December 2020	All stakeholders are invited to submit comments on the fishery throughout the assessment announcement period via the “MSC Template for Stakeholder Input into Fishery Assessments v3.0” available for download here: https://www.msc.org/for-business/certification-bodies/supporting-documents/1 .
	Site visit(s)	From 20 th January 2021	All stakeholders are invited to arrange a virtual meeting with the assessment team as part of the site visit
	Peer Reviewer(s) Announcement	March 2021	Stakeholders will have a ten (10) day period to comment
	Public Comment Draft Report (PCDR) published	May 2021	Stakeholders who have previously engaged in the assessment will have a thirty (30) day period to comment
	Final Report (FR) & Draft Determination Published	July 2021	Stakeholders will have a fifteen (15) working day period to lodge an objection to Final Report and Draft Determination
	Public Certification Report (PCR) published	September 2021	
	Certificate Expiry	1 st February 2024	
12	Site visit		
	A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the fishery. We encourage any stakeholders with experience or knowledge of the fishery to participate in these meetings. All stakeholders will be available to meet with team members during the site visit. The site visit will be conducted from the 20 th January 2021. Due to Covid-19 and the associated global travel restrictions in recent months, the MSC instated a derogation to ensure that site visits planned between the 28 th September 2020 and 27 th March 2021, could be held remotely provided that the following criteria is met. If there are Covid-19-related restrictions or health risks affecting either the CAB, auditors/assessors or certificate holder, the CABs should conduct specified audit types remotely. These audits include the following: fisheries surveillance audits, expedited audits, scope extensions assessments and re-assessments. At the time of this announcement UK residents (assessment team) are subject to national and local restrictions which prevent the site visit being conducted in-person (https://www.gov.uk/visit-europe-1-january-2021 and https://www.gov.uk/guidance/full-list-of-local-restriction-tiers-by-area) accessed 11th Dec 2020.		
13	Assessment tree modifications		

	There is no modified assessment tree below those modifications required for Annex CK: Modifications to the Default Tree for Enhanced Bivalve Fisheries. Performance indicators from Table CK1: PI 1.1.4, 1.2.5, 1.2.6 Genetics component are scored
14	Announcement Comment Draft Report stakeholder input deadline
	Deadline for stakeholder comment: at 16/01/2021 17:00 UTC

Submitted by: Hugh Jones

Date: 16/12/2020

Control Union (UK) Limited (CU UK) would like to welcome anyone who would like to participate in the site visit. All interested stakeholders are encouraged to contact CU UK by email (stakeholderfishuk@controlunion.com), telephone or post at the below number and address:

Control Union (UK) Limited, 56 High Street, Lymington, SO41 9AH, United Kingdom

Tel: +44 (0)1590 613007 Fax: +44 (0)1590 671573

Comments must be made using the [Template for Stakeholder Input](#) into MSC Assessments.

Should any stakeholder wish to meet the team in person, this can be arranged. Remote meetings can also be arranged via telephone conferencing or Skype, or written submissions made to the above-mentioned email addresses.

Please note that stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact. Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the assessment and cannot be used in determining the outcome of the fishery's assessment nor used as a basis for an objection. Stakeholders may raise issues with the team in confidence for the team to consider at the site visit, but any confidential information cannot be used in scoring unless in compliance with confidentiality requirements. Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.

Appendix 1: Summaries of CVs of team leader and team members

Available on request