

Control Union (UK) Limited

**Micronesia Skipjack, Yellowfin and Bigeye Tuna Purse Seine
Fishery**

MSC Surveillance Announcement

Control Union (UK) Limited

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Role	Signature	Date
Originator:	B O'Kane	03/05/22
Approver:	J Nebolsina	11/05/22

Marine Stewardship Council surveillance announcement

Table 1– Surveillance announcement		
1	Fishery name	
	Micronesia Skipjack, Yellowfin and Bigeye Tuna Purse Seine Fishery	
2	Unit(s) of Assessment (UoA)	
	Species and stock	UoAs 1, 2: Western Central Pacific skipjack UoAs 3, 4: Western Central Pacific yellowfin UoAs 5, 6: Western Central Pacific bigeye
	Geographical range of fishery	FAO Area 71/77: Exclusive Economic Zone of the Federated States of Micronesia (FSM) and WCPFC High Seas
	Fishing method	UoAs 1, 3, 5: Purse seine unassociated sets (also referred to as sets on free-swimming schools – FSC) UoAs 2, 4, 6: Purse seine sets associated with drifting floating objects, including natural floating objects and drifting fish aggregating devices
	Client group	Vessels owned and/or managed by Liancheng Overseas Fishery (FSM) Co. Ltd. (FZLC), Caroline Fisheries Corporation (CFC) and Da Yang Seafood (DYS), fishing for Western Central Pacific skipjack, yellowfin and bigeye with purse seine (on free-school and FAD sets) in the FSM EEZ and WCPFC High Seas.
	Other eligible fishers	The client group is willing to share the MSC fisheries certificate with other eligible companies. To be eligible, a company must own and manage tuna purse seine vessels that are licensed to fish in the FSM EEZ and are authorized to fish on the high seas of the WCPFC Convention Area; employ fishing practices, gear designs and traceability procedures commensurate with those of the vessels covered by the certificate; and conform to the target species and stocks, geographical range of the fishery and harvest method as described by the Units of Assessment
3	Date certified	Date of expiry
	05 Aug 2021	04 Aug 2026
4	Surveillance level and type	
	<p>Surveillance level 4, off-site audit</p> <p>Due to Covid-19 and the associated global travel restrictions in recent months, the MSC instated a derogation to ensure that site visits planned from 28th March 2021, could be held remotely. Because of this, and there being strict travel restrictions in place in the FSM and UK currently in place, a site visit is not possible at this time: in FSM (only limited and controlled entry is permitted), and the current travel advice from the UK Foreign and Commonwealth Office (FCO) currently states that “Federated States of Micronesia has declared a “state of public health emergency”. No passengers are allowed to disembark any air or sea vessel originating outside of Federated States of Micronesia, with the exception of individuals granted an exception from the National Government or those working on commercial sea vessels abiding</p>	

	precautionary measures and protocols" (https://www.gov.uk/foreign-travel-advice/micronesia/entry-requirements#entry-rules-in-response-to-coronavirus-covid-19). Since this audit is able to be carried out from the UK, travel is not permitted. (The above information was retrieved from the relevant websites on 2 nd May 2022).	
5	Surveillance number	
	1st Surveillance	X
	2nd Surveillance	
	3rd Surveillance	
	4th Surveillance	
	Other (expedited etc)	
6	Proposed team leader	
	Name	Chrissie Sieben
	Areas of responsibility	Team Leader and Principle 1
	Competency criteria (Annex PC)	<p>Ms. Chrissie Sieben has a Master's Degree in Marine Environmental Protection which she obtained at the University of Wales, Bangor. Until 2019, she was the MSC Fisheries Scheme Manager at CU Pesca (now CU UK) and specialised in marine and fisheries ecology, marine environmental impact assessment and sustainable fisheries. She is now an Associate of CU UK, which allows her to combine her MSC work with a wider range of consultancy projects. Previous to joining CU UK, Chrissie worked as a fisheries consultant for MacAlister Elliott and Partners (MEP), where she worked on a number of projects including the application of WWF Common Methodology to wild capture and aquaculture fisheries for the WWF Hong Kong 'Good Fish Guide', Sustainable fisheries in the Trilateral Wadden Sea, acted as Fisheries Liaison for the London Gateway Project and carried out socio-economic characterisations and impact assessments of commercial fisheries for coastal developments. Prior to her work at MEP, she worked inter alia as a marine ecologist on environmental impact assessments (EIAs) and completed an internship with the Global Environment Facility / UNDP International Waters Programme. Chrissie is a fully qualified MSC Team Leader, has successfully completed the MSC online training on FCR v2.0, FCP v2.1 and FCP v2.2, as well as ISO 19011 on auditing management systems. She has more than eight years' experience with MSC certification and has acted as team leader and P1 assessor on a range of pre-assessments, surveillance audits and full assessments of demersal and pelagic fisheries in the Mediterranean as well as the Atlantic, Indian, Southern, and Pacific Oceans. Chrissie participates regularly in MSC CAB training sessions and workshops and has received in-depth Risk-Based Framework training.</p> <p>Chrissie meets the Fishery Team Leader criteria in Table PC2 and P1 expert criteria in Table PC3.</p>
	On-site or off-site	Off-site
	CV	CV available on request

	Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery
7	Proposed team members	
	Name	Peter Watt
	Areas of responsibility	Principle 3
	Competency criteria (Annex PC)	<p>Educated in Canada and living in the Philippines, Peter has over 20 years' fisheries management and development work experience with national governments, regional organizations and private consultancy companies in Samoa, Papua New Guinea, Solomon Islands, Palau, Tokelau, Tonga, New Caledonia, Vanuatu, Kiribati, Federated States of Micronesia, Commonwealth of the Mariana Islands, Marshall Islands, Fiji, New Zealand, Canada, and United States.</p> <p>Peter has authored or co-authored over 30 publications in his field and worked on more than 50 projects and assignments in technical research, marine management and development, technical training and project administration. He developed and established community-based fisheries management arrangements for the Coastal Fisheries Development and Management Project in Papua New Guinea, establishing over twenty fisheries management plans and developing legislation to empower communities to manage their fisheries resources. Prior to this he was the Commercial Fisheries Advisor in Samoa for four years, providing management advice and expertise for the development and management of the tuna longline and other fisheries. This included working with the government and stakeholders to develop and implement a tuna management plan, with related legislation and policies.</p> <p>Other experience also includes rapid resource assessments in the Philippines, Papua New Guinea and Samoa, and conducting stock assessments for the tuna longline fishery and outer reef slope assessments for the deep water snapper fishery.</p> <p>Peter has completed the MSC online training on FCP v2.2, meeting the competency requirements in Table PC2, as well as the Principle 3 competency criteria in Table PC3: Fishery management and operations.</p>
	On-site or off-site	Off-site
	CV	CV available on request
	Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery
	Name	Beverley O'Kane
	Areas of responsibility	Principle 2
	Competency criteria (Annex PC)	<p>Beverley joined CU UK (formerly CU Pesca) as a Fisheries Officer in late 2019. She has a strong background in the fisheries sector and marine sector. Prior joining CU UK she was involved in marine and environmental consultancy and seafood sustainability, conducting research on English inshore fisheries management and global tuna fisheries. She is experienced in assessing the sustainability of global fisheries using UK and U.S. standards and methodologies, including Seafish, Marine Conservation Society and Monterey Bay Aquarium. Her experience is focused on elasmobranch and shellfish species, particularly on stock status and management principles. She has lived and worked in the fisheries sector in Norway, Ireland and the U.S, including on a shellfish boat in Irish waters. In 2015, Beverley</p>

		<p>completed an MSc in International Marine Environmental Consultancy from the Newcastle University, during which she completed modules on fisheries governance and management and conducted a thesis on the sustainability and management of a ray fishery. She has been on the assessment teams and working on tuna fisheries in the WCPFC in recent years, including writing Principle 2 text for various MSC tuna fisheries.</p> <p>Beverley has completed the required Fishery Team Member MSC training modules for the new V2.2 Fisheries Certification Process. She covers Section 3, 6 and 7 of Table PC3.</p>
	Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery
	On-site or off-site	Off-site
	CV	CV available on request
8	Audit/review time and location	
	<p>The off-site audit will be held on 14th -15th June 2022.</p> <p>All stakeholders will be available to arrange virtual meetings with team members during the site visit. It is anticipated the audit will take the form of a series of separate meetings with client and stakeholders across in the week of the audit.</p> <p>Stakeholders are encouraged to provide information either through the MSC stakeholder input form or by arranging for a virtual meeting with the assessment team in the week of the audit.</p>	
9	Assessment and review activities	
	<p>During the audit, Control Union (UK) Limited (CU UK) will communicate with the client and any relevant stakeholders and use any available up to date information to assess and review;</p> <ul style="list-style-type: none"> Any changes to the fishery and its management including those to management systems, regulation and relevant personnel assessments; Any changes to the scientific base of information such as stock; There are eleven conditions currently against the fishery (Pls 1.2.1 and 1.2.2 (for each of skipjack, yellowfin and bigeye), 2.3.3, 2.4.1, 2.4.2, 2.4.3 and 3.2.3); Harmonization against the other fisheries certified on the MSC program; Any developments or changes within the fishery impact may impact on traceability and the ability to segregate MSC from non-MSC products; Any other significant changes in the fishery. 	
10	Stakeholder opportunities	
	<p>Stakeholders are encouraged to provide comments for this surveillance audit. Written submissions must be made through the MSC stakeholder input form . Please note that comments should be factual and should be supported by data or other evidence. Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the surveillance report and cannot be used in determining conformity of the fishery with the MSC Standard. Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.</p> <p>Please note that CU UK will accept stakeholder input only if submitted using the aforementioned template, or if raised at the site visit. The site visit will be conducted on 14th -15th June 2022.</p>	

Submitted by: Julia Nebolsina

Date: 11/05/2022

Deadline for stakeholder comment: at 10/06/2022 17:00 UTC

CU UK would like to welcome anyone who would like to participate in the site visit. All interested stakeholders are encouraged to contact CU UK by email (stakeholderfishuk@controlunion.com), telephone or post at the below number and address:

Control Union (UK) Limited, 56 High Street, Lymington, SO41 9AH, United Kingdom

Tel: +44 (0)1590 613007

Comments must be made using the [Template for Stakeholder Input](#) into MSC Assessments.

Should any stakeholder wish to meet the team in person, this can be arranged. Remote meetings can also be arranged via telephone conferencing or Skype, or written submissions made to the above-mentioned email addresses.

Please note that stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the assessment and cannot be used in determining the outcome of the fishery's assessment nor used as a basis for an objection.

Stakeholders may raise issues with the team in confidence for the team to consider at the site visit, but any confidential information cannot be used in scoring unless in compliance with confidentiality requirements.

Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.

Appendix 1: Surveillance frequency - if amended since PCDR

Table 2 – Surveillance level justification

Year	Surveillance activity	Number of auditors	Rationale
1	Off-site audit	3	Due to Covid-19 and the associated travel restrictions in the FSM and UK in place, a site visit is not possible at this time and the audit will be held off-site (following the MSC derogation).

Table 3 – Timing of surveillance audit

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
1	August 2022	June 2022	Combined with other assessments in this region.