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Bureau Veritas Certification Holding SAS

Sant Yago TF Unassociated purse seine Atlantic yellowfin tuna fishery

MSC Surveillance Announcement

Marine Stewardship Council surveillance announcement

Table 1 – Surveillance announcement

1	Fishery name	
	Sant Yago TF Unassociated purse seine Atlantic yellowfin tuna fishery	
2	Surveillance level and type	
	<p>The Public Certification Report set out that surveillance audits shall take place according to the default surveillance level (level 6) indicated in MSC FCR.</p> <p>However, Bureau Veritas propose a remote audit for this 1st surveillance audit changing from Level 6 to Level 5. The details can be checked in Appendix 2.</p>	
3	Surveillance number	
	1st Surveillance	X
	2nd Surveillance	
	3rd Surveillance	
	4th Surveillance	
	Other (expedited etc)	
4	Proposed team leader	
	<p>Gemma Quílez holds a Biology degree from Barcelona University (Spain), an MSc in Natural Resource Management from Leicester University (UK) and a PhD in Marine Biology from Newcastle upon Tyne University (UK).</p> <p>She has around 20 years of experience working in Marine Biology, Marine Ecology, Marine Conservation Biology and Fisheries. In 1998, she did her MSc thesis on neritic and oceanic fish larvae from the Irish Sea. From 1999 to 2001 she worked at the ICM-CSIC (Marine Science Institute) of Barcelona (Spain) on trophic ecology of pelagic species larvae and participated in different oceanographic cruises on board the RV García del Cid. In 2004, while doing her PhD on Marine Invasive species, she was employed at the Fisheries Research Institute of Kavala, Greece, to conduct a study on trophic ecology of anchovy larvae. Also, during her PhD (2001-2006), she participated on several research cruises on board the RV Bernicia. Once she finished her PhD she went to work on marine invasive species for the Smithsonian Environmental Research Center (USA) until 2010.</p> <p>From 2010 until 2016, she worked as fisheries policy officer for the Mediterranean Programme of WWF (World Wide Fund for Nature) in Barcelona, Spain. As such she worked on fisheries regional and international policy processes (e.g. GFCM, ICCAT, MedAC), mostly on Atlantic and Mediterranean bluefin tuna and at ICCAT, both at a scientific and policy level. She also participated in the creation and in the following functioning of the co-management committee of the Catalan sandeel fishery.</p> <p>Since 2010 until present she has been working studying the biology, ecology and population dynamics of Atlantic and Mediterranean bluefin tuna and being deeply involved in the stock assessment of the species at ICCAT level.</p> <p>In addition, from 2008 until 2018 she has been one of the two the Spanish representatives at two ICES working groups (WGBOSV - Working Group on Ballast and Other Ship Vectors, and WGITMO - Working Group on Introductions and Transfers of Marine Organisms).</p> <p>Her experience (over 8 years) studying the biology, ecology and population dynamics of Atlantic bluefin tuna, deeply involved with ICCAT, as well as her previous work on trophic ecology of pelagic species larvae, proves her capacity to meet the qualification and competency criteria for PC3 (i) Fishing impacts on aquatic ecosystems. Her 6 years as WWF fisheries officer working on fisheries policy processes (mostly on Atlantic and Mediterranean bluefin tuna) and on the co-management of the Catalan sandeel, proves her capacity to meet the qualification and competency criteria for PC1 and PC3 (ii) Fishery management and operations. She</p>	

	complies with the current Annex PC of the MSC Fisheries Certification Process v2.1. She does not have a conflict of interest with the fishery. She will act as Team Leader in this surveillance. She will attend remotely. See Appendix 2.
5	Proposed team members
	<p>Joe DeAlteris. Dr. DeAlteris retired from the University of Rhode Island (URI) in May of 2012, and was awarded Professor Emeritus status. In 30 years of service to URI he is taught course work, conducted research, and developed outreach programs in fisheries conservation engineering, fish population dynamics and quantitative ecology, and shellfish aquaculture. He mentored more than 40 graduate students completing MS and PhD degrees. He served on numerous government committees including the National Research Council. He authored more than 35 publications in peer-reviewed journals, and also authored and co-authored numerous books, manuals, non-referred articles, and technical reports in the fields of fisheries biology, stock assessment and fishing gear technology. Dr. DeAlteris has an international reputation as an expert in the field of stock assessment, fishing gear technology and the impacts of fishing on ecosystems. He brings intimate knowledge of finfish and invertebrate fisheries and has considerable experience in MSC fishery evaluations. He has worked for several certifying bodies (CBs). Dr. DeAlteris has worked the full assessment of the Louisiana blue crab and Atlantic red crab fisheries, the Echebatar Indian Ocean tuna fishery, the re-assessment of British Columbia halibut fishery, and annual audits of Dungeness crab, red crab blue crab, Canadian haddock, Full Bay sea scallop and the shrimp fisheries. He has also conducted numerous MSC pre-assessments, and assessment peer reviews. He is currently an independent expert on fisheries for the Global Seafood Sustainability Initiative (GSSI). He has not a conflict of interest with the fishery. He will attend remotely. See Appendix 2.</p> <p>Carola Kirchner. Dr Kirchner has been working in the field of fisheries for the last 24 years. Her highest qualification is a PhD. Her PhD focussed on the population dynamics and stock assessment of a linefish species. She also completed her MBA part-time through the University of Cape Town. Her research thesis focused on the Namibian hake fishery, where she not only indicated areas of resource rent loss, but also presented a new method of providing bio-economic advice to the fishing industry and management. Included in the thesis was an evaluation of Namibia's post-independence fisheries policies. Dr Kirchner worked for the Ministry of Fisheries in Namibia for 18 years, where she was responsible for the stock assessment and management advice for most commercial species (e.g. Hake, Horse mackerel and Sardine). These fisheries differ vastly, from long-lived species (Orange roughy) to the short-lived Sardine. Also, different gear types were used between these fisheries; bottom trawl, purse-seine and handline. Dr Kirchner has over the years built up international relationships, for example she was involved in the stock assessment and management of southern Atlantic Albacore tuna through ICCAT. Further, she worked for two years in the stock assessment and modelling section of the Secretariat of the Pacific Community (SPC). There, her main role was to support the Parties of the Nauru agreement (PNA) members to maintain the compliance to the MSC certification, by evaluating reference points and harvest control rules. In addition, she was working on a regional bio-economic model that aims to evaluate and optimize the various fishing activities and includes all four major tuna resources in the Pacific as in Skipjack, Yellowfin, Bigeye and Albacore tuna.</p> <p>Her 18 years at the Ministry of Fisheries and Marine Resources of Namibia and her work at the Secretariat of the Pacific Community ensure that she meets the qualification and competency criteria established in PC3 on (i) fish stock assessment, (ii) fish stock biology and (iii) fishing impacts on aquatic ecosystem. Furthermore, her experience in Namibian fisheries administration supports the qualification and competency criteria established in PC3 for (iv) fishery management and operations. She does not have a conflict of interest with the fishery. She will attend remotely. See Appendix 2.</p>
6	Audit/review time and location
	The off-site surveillance audit is scheduled between April 27-30 2020. Before the off-site surveillance the team will review the new information and the documents developed by the client in response to the conditions.
7	Assessment and review activities
	<p>The team will assess the following information:</p> <ul style="list-style-type: none"> • Regulatory framework and fishery management system (objectives, mechanisms for decision-making, monitoring, control, inspection, evaluation), including compliance of the certified fleet. • Changes affecting the 'management loop' (outcome, management, information) assessed in the initial certification process for the certified species and the other species impacted by the fishery, as well as for marine habitats and ecosystems impacted by the fishery. • Changes within the fishery which may impact traceability, focusing on the segregation MSC product from non-MSC product

	<ul style="list-style-type: none"> • Fishery performance in relation to the conditions of certification, verify whether progress is “on target” and re-score if applies; <p>And will perform the following activities:</p> <ul style="list-style-type: none"> • Confirmed remote meetings with main stakeholders and the client • Actively seek the views of stakeholders.
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Bureau Veritas encourages that stakeholders interested in schedule a meeting provide the following details:

- a) Your name and contact details
- b) Your relation with the fishery
- c) Issues you would like to discuss
- d) Where and when are you available for a meeting between April 27-30 2020.

In order to make the necessary adjustments on the scheduled agenda of the assessment team, this information should be sent to the contact details provided below before the **13th April 2020 at 5 PM at 17:00 UTC**. Written information can be provided to the assessment team as an alternative, or in addition, to a meeting. If written information will be provided, please use the MSC template for stakeholder input into Fishery Assessments v3.0([click here](#) to download it).

Besides, Bureau Veritas encourage stakeholders to provide any information they might consider relevant in relation to the status of the target fish stock, ecosystem interactions, fishery management practices and/or progress on existing conditions/recommendations. Check at the MSC website the guide for stakeholder’s engagement in fishery assessments:

- Stakeholder's Guide and Template for stakeholder’s inputs available here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>

Please send your comments to contact details provided right below.

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Date: 12/03/2020

Appendix 1: Summary of CVs of team leader and team member(s) - optional

The BIO of each member is included in the announcement. Complete CVs can be send upon request.

Appendix 2: Surveillance frequency - if amended since PCR

Amendments to surveillance level and/or timing since the PCR are presented in tables below

Table 2– Surveillance level rationale			
Year	Surveillance activity	Number of auditors	Rationale
1	Off-site audit	3 auditors off-site	<p>The main justification to move the audit from on-site to off-site is due to the coronavirus outbreak. The MSC published on the 28th of February 2020 a temporary variation issuing a derogation to allow for scheduling and conducting remote visits for Fisheries certificate holders.</p> <p>Additionally the team has analysed how they will verify the information remotely:</p> <p>Progress on conditions PI 1.1.1 & PI 1.2.2. The information needed to evaluate the progress on both conditions will be consulted using publicly ICCAT SCRS reports and the Guatemala representatives at the ICCAT Commission (DIPESCA) and SCRS WG on Tropical Tunas (AZTI) will be available to be interviewed through calls.</p> <p>Progress on 2.3.1; 2.3.2; 2.3.3 will be assessed against evidence provided by the client and AZTI (institution in charge of analysing the data collected by observers on board the UoC) regarding the implementation of specific reporting practices on board and reports analysing historical trends in data collected by observers on board the UoC. The client, AZTI and also the company in charge of implementing the observer program (Sea Eye, based in Abidjan) will be available to be interviewed through calls.</p> <p>Progress on condition PI 3.2.3. This condition deals with the ICCAT capacity to enforce the TAC. Evidence on the progress towards closing this condition will be reports from the Commission and catch data available at the ICCAT website. Further, the Guatemala representatives at the ICCAT Commission (DIPESCA) will be available to be interviewed through calls. The client is also participating at the ICCAT Commission and they are aware of the discussions held, while AZTI can also provide feedback on whether discussions on this issue has been held at the SCRS level.</p> <p>Main stakeholders are familiar with the assessment process and easy reachable conference, phone and/or emails. Therefore, Bureau Veritas proposes to have an off-site audit in year 1.</p>
2	On-site audit	1 auditor on-site & 1 remote	Not needed.
3	On-site audit	1 auditor on-site & 1 remote	Not needed.
4	On-site audit	1 auditor on-site & 1 remote	Not needed.

Table 3 – Timing of surveillance audit			
Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
1	March 2020	April 2020	The short delay of one month from the anniversary date of the certificate is due to the decision of whether to continue ahead with the remote audit or not. The exceptional circumstances along this decision has been explained in table 1.
2-4	March 2021, 2022, 2023	March 2021, 2022, 2023	Not needed.

Table 4 – Fishery surveillance program				
Surveillance level	Year 1	Year 2	Year 3	Year 4
Level 5	Off-site surveillance audit	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit & re-certification site visit