

## SAI Global

### Canada Scotia-Fundy haddock

### MSC Surveillance Announcement

#### 1. Introduction

MSC certification requires regular surveillance audits of all certified fisheries, this Announcement marks the start of a surveillance audit of this fishery. SAI Global is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information SAI Global is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

## 2. Marine Stewardship Council surveillance announcement

**Table 1. Surveillance announcement.**

1	Fishery name	
	Canada Scotia-Fundy haddock	
2	Surveillance level and type	
	Surveillance level 5, off-site surveillance audit.  The surveillance programme for this fishery has changed from that previously indicated in the PCR. An updated surveillance programme has been provided in Appendix 2.	
3	Surveillance number	
	1 <sup>st</sup> Surveillance	
	2 <sup>nd</sup> Surveillance	
	3 <sup>rd</sup> Surveillance	
	4 <sup>th</sup> Surveillance	X
4	Proposed team leader	
	<b>Paul Knapman, primarily responsible for Principle 3</b> Paul meets Fishery Team Leader Qualification and Competency Criteria outlined in MSC FCP v.2.1, Annex PC, Table PC1. She has: <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ 3 years' fisheries experience.</li> <li>▪ Passed MSC's fishery team leader training at least every 5 years.</li> <li>▪ Review any updates to the MSC Fisheries Program Documents at least annually.</li> <li>▪ Pass the Lead Auditor ISO 19011 course.</li> <li>▪ Undertaken 2 MSC fishery assessments or surveillance site visits as a team member in the last 5 years.</li> <li>▪ Experience in applying different types of interviewing and facilitation techniques.</li> </ul> Paul has a Bachelor of Science in Fisheries Science and Technology from the Polytechnic South West. He was Head of an inshore fisheries management organization in the UK, a senior policy advisor to the UK government on fisheries and environmental issues, a British Fisheries Officer and a fisheries consultant to clients in Europe and Canada. Paul successfully passed the MSC's fishery team leader training for CR v.1.3, FCR v.2.0 and FCP v.2.1. He also passed the Lead Auditor ISO 19011 course. He has extensive experience of MSC related work having been the Lead Assessor / Auditor and/or technical reviewer for 50+ client fisheries throughout the world. Paul was involved in numerous MSC assessments in Canada as a Principle 3 assessor.  In addition, Paul meets the Principle 3 and Current knowledge of the country, language and local fishery content components of the Fishery Team Qualification and Competency Criteria of Table PC3, he has: <ul style="list-style-type: none"> <li>▪ 3 years' experience as a practicing fishery manager and/or fishery/policy analyst.</li> <li>▪ Knowledge of a common language spoken by clients and stakeholders.</li> <li>▪ 2 assignments in the country or region in which the fishery under assessment is based.</li> </ul> Paul was Head of an inshore fisheries management organization in the UK, a senior policy advisor to the UK government on fisheries and environmental issues, a British Fisheries Officer and a fisheries consultant to clients in Europe and Canada. Paul's mother tongue is English which is the common language spoken by the client and stakeholders. Paul was involved in numerous MSC assessments in Canada as a Principle 3 assessor.  Paul does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1. He will be on-site.	

5	Proposed team members
	<p><b>Dr. Ivan Mateo, primarily responsible for Principles 1, 2 and Traceability</b></p> <p>Ivan meets Fishery Team Member Qualification and Competency Criteria outlined in MSC FCP v.2.1, Annex PC, Table PC2. He has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ Passed MSC's fishery team member training within the last 5 years.</li> <li>▪ Reviewed any updates to the MSC Fisheries Program Documents at least annually.</li> <li>▪ Passed new versions of the compulsory online training modules where relevant.</li> </ul> <p>Ivan has a Ph.D. in Environmental Sciences with Fisheries specialization from the University of Rhode Island. He completed MSC's Fishery Team Leader training for MSC CRv.1.3, FCR v.2.0 and FCP v.2.1.</p> <p>In addition, Ivan meets the Principles 1 and 2, Traceability and Current knowledge of the country, language and local fishery content components of the Fishery Team Qualification and Competency Criteria of Table PC3, he has:</p> <ul style="list-style-type: none"> <li>▪ 3 years' or more experience of applying relevant stock assessment techniques being used by the fishery under assessment.</li> <li>▪ 3 years' or more experience working with the biology and population dynamics of the target or species with similar biology.</li> <li>▪ 3 years' experience in research into, policy analysis for, or management of, fisheries impacts on aquatic ecosystems.</li> <li>▪ Passed MSC's Traceability module.</li> <li>▪ Knowledge of a common language spoken by clients and stakeholders.</li> <li>▪ 2 assignments in the country or region in which the fishery under assessment is based.</li> </ul> <p>Ivan has over 20 years' experience in working with natural resources population dynamic modelling and his specialisation is in fish population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. He has extensive experience working with the biology and population dynamic of gadoids species. Ivan has over 10 years' experience in monitoring and assessments of anthropogenic disturbance on marine ecosystems, and in conservation of endangered species. He passed the MSC's traceability training and he has worked as assessor in other MSC fisheries being responsible for traceability sections.</p> <p>Ivan is fluent in English which is the common language spoken by the client and stakeholders. He was involved in numerous fisheries assessments in Canada.</p> <p>Ivan does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1. He will be off-site.</p>
6	Audit/review time and location
	The off-site audit will be held on April 22 <sup>nd</sup> , 2020. Off-site activities will be carried out for the assessors' office.
7	Assessment and review activities
	<p>The objectives of this surveillance audit are:</p> <ol style="list-style-type: none"> <li>1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation.</li> <li>2. To evaluate the progress of the fishery against any Conditions of Certification raised during the Main Assessment.</li> <li>3. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products.</li> <li>4. To review any other significant changes in the fishery.</li> </ol>

### 3. Stakeholder Input into Fishery Surveillance Audits

During each surveillance audit, SAI Global is required to hold interviews and actively seek the views of stakeholders to ensure that the Audit Team is aware of stakeholders' concerns. Therefore, SAI Global wishes to invite organisations or individuals with an interest in this fishery to meet with the Audit Team and/or provide information relevant to the surveillance process.

SAI Global would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. At the same time we must inform you that we are unable to reference or use information that cannot be shared with all stakeholders. An exception may be possible where the information relates to either; 1) financial transactions about certification; 2) the financial affairs of individual companies or information that may lead to this information being made public, or; 3) information that is the subject of relevant national privacy or data protection legislation in the Client's country.

Prior to becoming involved, and to ensure your effective participation, SAI Global would encourage stakeholders to familiarise themselves with the process using the resources provided on the MSC's website (<https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>).

The audit team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of the Table 1 above.

**If you would like to speak with the audit team, please advise us of your interest by 17:00 UTC on 20<sup>th</sup> April 2020, providing the following details when doing so:**

- Your name and contact details.
- Your association with the fishery.
- The issues you would like to discuss (in order for us to arrange appropriate representation).

If you do not wish to be interviewed, or if you are unavailable during the timeframe outlined in Table 1 above, you may instead make a written submission to the audit team, providing objective evidence and references in support of any claims or claimed error of fact, and using the "MSC Template for Stakeholder Input into Fishery Assessments", which can be found on the MSC website here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

Written submission to the audit team, must be made using the "MSC Template for Stakeholder Input into Fishery Assessments", which can be found on the MSC website here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>. Please note that objective evidence and references in support of any claims or claimed errors of fact must be provided.

#### **IMPORTANT NOTE**

According to MSC FCP v.2.1 §7.15.3, SAI Global is only permitted to accept stakeholder input as public record if it is submitted using the aforementioned "MSC Template for Stakeholder Input into Fishery Assessments", or if raised at the same visit in person or remotely; therefore, SAI Global is unable to consider written submissions not made in the correct format and these will be returned until the issue is rectified.

**Comments/responses should be sent to:**

**SAI Global Ireland Client Services**

**E:** [ClientServicesie@saiglobal.com](mailto:ClientServicesie@saiglobal.com)

## Appendix 1: Summary of Audit Team's CVs

### **Surveillance Audit Team Leader: Paul Knapman, Primary Responsibility for P3.**

Paul is based in Halifax, Nova Scotia, Canada and has recently returned to Fisheries Consultancy. He was the General Manager of Intertek Fisheries Certification a Conformity Assessment Body (CAB) that focused their work on Marine Stewardship Council (MSC) fisheries and chain of custody assessment / certification. He has extensive experience of MSC related work having been the Lead Assessor / Auditor and/or technical reviewer for 50+ client fisheries throughout the world. He was previously Head of an inshore fisheries management organization in the UK, a senior policy advisor to the UK government on fisheries and environmental issues, a British Fisheries Officer and a fisheries consultant to clients in Europe and Canada. Paul was involved in numerous MSC assessments in Canada as a Principle 3 assessor.

### **Surveillance Audit Team Member: Ivan Mateo, Primary Responsibility for P1, P2 and Traceability.**

Ivan has over 20 years' experience working with natural resources population dynamic modelling. His specialization is in fish and crustacean population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. Ivan received a Ph.D. in Environmental Sciences with Fisheries specialization from the University of Rhode Island. He has studied population dynamics of economically important species as well as candidate species for endangered species listing from many different regions of the world such as the Caribbean, the Northeast US Coast, Gulf of California and Alaska. He has done research with NMFS Northeast Fisheries Science Centres' Ecosystem Based Fishery Management on bio-energetic modeling for Atlantic cod. He also has been working as environmental consultant in the Caribbean doing fieldwork and looking at the effects of industrialization on essential fish habitats and for the Environmental Defense Fund developing population dynamics models for data poor stocks in the Gulf of California. Recently Ivan worked as National Research Council postdoc research associate at the NOAA National Marine Fisheries Services Ted Stevens Marine Research Institute on population dynamic modelling of Alaska sablefish. Dr. Ivan Mateo was involved in numerous MSC assessments in the Northwest Atlantic as a Principle 1 and 2 assessor.

## Appendix 2: Surveillance frequency

As noted previously, the surveillance programme for this fishery has changed from that previously indicated in the PCR or a previous surveillance report.

As the surveillance programme for this fishery has changed from that previously indicated in the PCR or a previous surveillance report, the following is presented below:

1. A rationale for any reduction from the default surveillance level following FCP v2.1 7.28.4–7.28.7.
2. A rationale for any deviations from carrying out the surveillance audit before or after the anniversary date of certification.
3. A completed revised fishery surveillance programme.

**Table 2. Surveillance level rationale.**

Year	Surveillance activity	Number of auditors	Rationale
4	Off-site audit	2 auditors, the lead auditor on-site with remote support from the auditor.	The initial surveillance level was level 6 ( <i>Default Surveillance</i> ). However, SAI Global reduced this surveillance level to level 5 and the 4 <sup>th</sup> audit is conducted as an off-site audit. From client action plan and the outcome of the previous surveillance audit, SAI Global deduced that information needed to verify progress towards outstanding conditions 2.2.1, 2.2.2 and 2.2.3 can be provided remotely in year 4. SAI Global proposes to have an off-site audit with the team leader on-site with remote support from the team member, to ensure that all information is collected and because the information can be provided remotely.

**Table 3. Timing of surveillance audit.**

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
4	30 <sup>th</sup> April 2020	22 <sup>nd</sup> April 2020	The surveillance audit is undertaken within 30 days prior to the anniversary date of the certificate.

**Table 4. Fishery surveillance programme.**

Surveillance level	Year 1	Year 2	Year 3	Year 4
Level 5	On-site surveillance audit	On-site surveillance audit	On-site surveillance audit	Off-site surveillance audit & re-certification site visit

## 4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.0'. While amendments have been made to formatting in order to comply with SAI Global's corporate identity, SAI Global has ensured that content and structure follow that of the original template.

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**Table 5. Template version control.**

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1

A controlled document list of MSC programme documents is available on the [MSC website \(\[www.msc.org\]\(http://www.msc.org\)\)](http://www.msc.org).

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