

## **Global Trust Certification**

# **Indonesia pole-and-line and handline, skipjack and yellowfin tuna of Western and Central Pacific archipelagic waters**

## **MSC Surveillance Announcement**

**4 November 2022**

### **1. Introduction**

MSC certification requires regular surveillance audits of all certified fisheries and this Announcement marks the start of a surveillance audit of the above fishery. Global Trust Certification (hereafter Global Trust) is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information Global Trust is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

## 2. Marine Stewardship Council surveillance announcement

**Table 1.** Surveillance announcement.

1	Fishery name
	Indonesia pole-and-line and handline, skipjack and yellowfin tuna of Western and Central Pacific archipelagic waters
2	Units of Assessment (UoA)
<b>Units of Assessment (UoAs) 1, 2 and 3 (of 8) – skipjack tuna UoAs.</b>	
UoA 1 (of 8)	Description
Species	<i>Katsuwonus pelamis</i>
Common name	Skipjack tuna
Stock	Western and Central Pacific Ocean (WCPO) skipjack tuna
Geographical area	West Pacific Ocean, Indonesia EEZ, North Sulawesi & North Maluku. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 715 and 716.
Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
Harvest method/gear	Pole-and-line
Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
Other eligible fishers	Other Indonesian licenced fishers targeting WCPO skipjack tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
UoA 2 (of 8)	Description
Species	<i>Katsuwonus pelamis</i>
Common name	Skipjack tuna
Stock	Western and Central Pacific Ocean (WCPO) skipjack tuna
Geographical area	West Pacific Ocean, Indonesia EEZ, West Flores. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 713 and 714.
Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
Harvest method/gear	Pole-and-line
Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
Other eligible fishers	Other Indonesian licenced fishers targeting WCPO skipjack tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
UoA 3 (of 8)	Description
Species	<i>Katsuwonus pelamis</i>
Common name	Skipjack tuna
Stock	Western and Central Pacific Ocean (WCPO) skipjack tuna
Geographical area	West Pacific Ocean, Indonesia EEZ, East Flores. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 713 and 714.
Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
Harvest method/gear	Pole-and-line
Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
Other eligible fishers	Other Indonesian licenced fishers targeting WCPO skipjack tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
<b>Units of Assessment (UoAs) 4, 5, and 6 (of 8) – yellowfin tuna pole-and-line UoAs.</b>	
UoA 4 (of 8)	Description
Species	<i>Thunnus albacares</i>
Common name	Yellowfin tuna
Stock	Western and Central Pacific Ocean yellowfin tuna
Geographical area	West Pacific Ocean, Indonesia EEZ, North Sulawesi & North Maluku. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 715 and 716.
Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
Harvest method/gear	Pole-and-line
Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)

**Table 1.** Surveillance announcement.

	Other eligible fishers	Other Indonesian licenced fishers targeting WCPO yellowfin tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
	UoA 5 (of 8)	Description
	Species	<i>Thunnus albacares</i>
	Common name	Yellowfin tuna
	Stock	Western and Central Pacific Ocean yellowfin tuna
	Geographical area	West Pacific Ocean, Indonesia EEZ, West Flores. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 713 and 714.
	Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
	Harvest method/gear	Pole-and-line
	Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
	Other eligible fishers	Other Indonesian licenced fishers targeting WCPO yellowfin tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
	UoA 6 (of 8)	Description
	Species	<i>Thunnus albacares</i>
	Common name	Yellowfin tuna
	Stock	Western and Central Pacific Ocean yellowfin tuna
	Geographical area	West Pacific Ocean, Indonesia EEZ, East Flores. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 713 and 714.
	Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
	Harvest method/gear	Pole-and-line
	Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
	Other eligible fishers	Other Indonesian licenced fishers targeting WCPO yellowfin tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
	<b>Units of Assessment (UoAs) 7 and 8 (of 8) – yellowfin tuna handline UoAs.</b>	
	UoA 7 (of 8)	Description
	Species	<i>Thunnus albacares</i>
	Common name	Yellowfin tuna
	Stock	Western and Central Pacific Ocean yellowfin tuna
	Geographical area	West Pacific Ocean, Indonesia EEZ, North Sulawesi & North Maluku. FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Areas 715 and 716.
	Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
	Harvest method/gear	Handline
	Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
	Other eligible fishers	Other Indonesian licenced fishers targeting WCPO yellowfin tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
	UoA 8 (of 8)	Description
	Species	<i>Thunnus albacares</i>
	Common name	Yellowfin tuna
	Stock	Western and Central Pacific Ocean yellowfin tuna
	Geographical area	West Pacific Ocean, Indonesia EEZ, Banda Sea; FAO Major Fishing Area 71 (Pacific, Western Central). Indonesia Fishery Management Area 714.
	Management system	Regional: WCPFC; Domestic: Indonesia National and Provincial Management
	Harvest method/gear	Handline
	Client group	Asosiasi Perikanan Pole & Line Dan Handline Indonesia (AP2HI)
	Other eligible fishers	Other Indonesian licenced fishers targeting WCPO yellowfin tuna with pole-and-line who could access the certificate through sharing agreement with the client group.
3	Date certified	Date of expiry
	26/01/2021	25/01/2026
4	Surveillance level and type	

**Table 1.** Surveillance announcement.

	Surveillance level 4, off-site surveillance audit	
	The surveillance programme for this fishery has changed from that previously indicated in the PCR. An updated surveillance programme has been provided in Appendix 2.	
5	Surveillance number	
	1 <sup>st</sup> Surveillance	X
	2 <sup>nd</sup> Surveillance	
	3 <sup>rd</sup> Surveillance	
	4 <sup>th</sup> Surveillance	
	Other (expedited etc)	
6	Proposed team leader	
	<p><b>Dr. Géraldine Criquet, primarily responsible for Principle 2 and Traceability.</b></p> <p>Géraldine meets Fishery Team Leader Qualification and Competency Criteria outlined in MSC FCP v.2.2, Annex PC, Table PC1. She has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ 3 years' fisheries experience in the fisheries sector related to the tasks under her responsibility.</li> <li>▪ Passed MSC's fishery team leader training at least every 5 years.</li> <li>▪ Review any updates to the MSC Fisheries Program Documents at least annually.</li> <li>▪ Passed new version of the compulsory online training modules when new versions of the MSC certification process are published prior to undertaking assessments against the revised MSC certification process.</li> <li>▪ Passed the Lead Auditor ISO 19011 course.</li> <li>▪ Had undertaken 2 MSC fishery assessments or surveillance site visits as a team member in the last 5 years.</li> <li>▪ Has experience in applying different types of interviewing and facilitation techniques.</li> </ul> <p>Géraldine has a PhD in Marine Ecology and Biology. She has extensive experience in studying fishing impacts on ecosystem in North Atlantic, Indian Ocean, the Mediterranean and the Caribbean. She updated her MSC's Fishery Team Leader training completing the compulsory online training modules for version 2020. She passed the Lead Auditor ISO 19011 course. Géraldine led numerous MSC assessments and audits in the last 5 years and has participated in numerous site visits.</p> <p>In addition to leading the Audit Team, Géraldine meets the Principle 2 and Traceability components of the Fishery Team Qualification and Competency Criteria of Table PC3, she has:</p> <ul style="list-style-type: none"> <li>▪ 3 years more experience in research into, policy analysis for, or management of, the impact of fisheries on aquatic ecosystems.</li> <li>▪ Passed MSC's Traceability training module every 5 years</li> <li>▪ Review any updates to the traceability and RBF requirements at least annually.</li> <li>▪ Knowledge of a common language spoken by clients and stakeholders.</li> </ul> <p>She has extensive experience in studying fishing impacts on ecosystem in North Atlantic, Indian Ocean, the Mediterranean and the Caribbean. She passed twice MSC's traceability training in the last 7 years. Géraldine is fluent in English which is a language spoken by the client.</p> <p>Géraldine does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1. She will be on-site.</p>	
7	Proposed team members	
	<p><b>Dr. Jo Gascoigne, primarily responsible for Principles 1 and 3</b></p> <p>Jo meets Fishery Team Member Qualification and Competency Criteria outlined in MSC FCP v.2.2, Annex PC, Table PC2. He has:</p> <ul style="list-style-type: none"> <li>▪ A degree in a relevant subject.</li> <li>▪ Passed MSC's fishery team member training within the last 5 years.</li> <li>▪ Reviewed any updates to the MSC Fisheries Program Documents at least annually.</li> <li>▪ Passed new versions of the compulsory online training modules where relevant.</li> </ul> <p>Jo holds a PhD. In queen conch biology, population dynamics and fisheries from the Virginia Institute of Marine Science (VIMS), College of William and Mary, USA. She successfully passed the MSC Fishery Team Member online training.</p>	

**Table 1.** Surveillance announcement.

	<p>In addition, Jo meets the Principles 1 &amp; 3 and Current knowledge of the country, language and local fishery content components of the Fishery Team Qualification and Competency Criteria of Table PC3, she has:</p> <ul style="list-style-type: none"> <li>▪ 3 years' or more experience of applying relevant stock assessment techniques being used by the fishery under assessment.</li> <li>▪ 3 years' or more experience working with the biology and population dynamics of the target or species with similar biology.</li> <li>▪ Knowledge of a common language spoken by clients and stakeholders.</li> <li>▪ 2 assignments in the country or region in which the fishery under assessment is based.</li> </ul> <p>Jo has extensive experience in the use of a wide range of stock assessment and related techniques and issues including for tuna species. She is an expert on fisheries science and management, with over 15 years' experience as a consultant. Jo has experience in international management of tuna fisheries in the Pacific and has previously been an Assessor on a number of MSC tuna assessments in the region including on the initial assessment of the Indonesia pole-and-line and handline, skipjack and yellowfin tuna of the Western and Central Pacific archipelagic waters.</p> <p>English is Jo's mother tongue which is a language spoken by the client and stakeholders.</p> <p>Jo does not have any conflicts of interest in relation to the fishery; and a short biography is provided in Appendix 1. She will be off-site.</p>
8	<b>Audit/review time and location</b>
	The off-site visit will take place on 5-7 December 2022 from the assessor's office.
9	<b>Assessment and review activities</b>
	<p>The objectives of this surveillance audit are:</p> <ol style="list-style-type: none"> <li>1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation.</li> <li>2. To evaluate the progress of the fishery against Conditions of Certification raised during the Main Assessment.</li> <li>3. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products.</li> <li>4. To review any other significant changes in the fishery.</li> </ol>
10	<b>Stakeholder opportunities</b>
	<p>As part of this surveillance audit, the following stakeholder opportunities are available:</p> <ul style="list-style-type: none"> <li>▪ Stakeholders may submit written input using the 'MSC Template for Stakeholder Input into Fishery Assessments' which is available here: <a href="https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment">https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment</a>.</li> <li>▪ Stakeholders may consult directly with the audit team during the period specified in the <a href="#">8. Audit/review time and location</a> above.</li> </ul> <p>Further information on Stakeholder input opportunities is provided in <a href="#">3. Stakeholder Input into Fishery Surveillance Audits</a> opportunities below.</p>

### 3. Stakeholder Input into Fishery Surveillance Audits

During surveillance audits, CABs are required to seek the views of relevant parties. With this in mind, Global Trust wishes to invite organisations or individuals with an interest in this fishery to provide written information relevant to the surveillance audit process and/or consult directly with the audit team.

Prior to participating, stakeholders should familiarise themselves with the MSC process using the resources provided on the MSC's website: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

Global Trust would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. With this being said, information that they cannot be shared with all stakeholders cannot be referenced in an assessment or used in determining assessment outcomes unless it relates to; a) financial transactions about certification, b) the financial affairs of individual companies or information that may lead to this information being made public, or c) information that is the subject of relevant national privacy or data protection legislation in the client's country.

#### 3.1 Submitting written information

Please note that Global Trust can only accept written stakeholder input as public record if it is submitted using the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

When submitting information, stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

In order for your written submissions to be considered as part of this audit, you must:

1. Use the MSC Template for Stakeholder Input into Fishery Assessments.
2. Submit it by **17:00 UTC on Monday, 05 December 2022**.
3. Send it to Global Trust Client Services: [ClientServicesie@nsf.org](mailto:ClientServicesie@nsf.org)

#### 3.2 Consulting directly with the audit team

As part of this audit, the audit team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of Table 1 above.

If you would like to consult directly with the audit team during this period, please advise us of your interest in doing so by:

1. Contact us at Global Trust Client Services: [ClientServicesie@nsf.org](mailto:ClientServicesie@nsf.org).
2. **17:00 UTC on Friday, 02 December 2022**.
3. Provide at least the following details when doing so:
  - Your name and contact details.
  - Your association with the fishery.
  - The issues you would like to discuss (in order for us to arrange appropriate representation).

## Appendix 1: Summary of Audit Team's CVs

### **Surveillance Audit Team Leader: Géraldine Criquet Primary Responsibility for P2 and Traceability.**

Dr. Géraldine Criquet is an MSC approved lead auditor and Fisheries Team Leader for Global Trust Certification. She is an experienced fishery scientist in both Finfish and Shellfish fisheries, and ecosystems considerations. Géraldine holds a PhD in Marine Ecology (École Pratique des Hautes Études, France) which focused on coral reef fisheries management, Marine Protected Areas, fish biology and ecology and ecosystem impacts. She worked 2 years for the Institut de Recherche pour le Développement (IRD) at Reunion Island for studying fish growth and fish stock connectivity in the Indian Ocean using otolith analysis. She served as Consultant for FAO on a Mediterranean Fisheries Program (COPEMED) and developed and implemented a monitoring program of catches and fishing effort in Mediterranean marine reserves.

Géraldine is an ISO approved lead auditor and has been involved in numerous MSC assessments in Europe, North America and Asia including for tuna fisheries.

### **Surveillance Audit Team Member: Jo Gascoigne, Primary Responsibility for P1 and P3.**

Dr Gascoigne is a former research lecturer in marine biology at Bangor University, Wales. She is an expert on fisheries science and management, with over 15 years' experience as a consultant, working mainly on MSC pre-assessments and full assessments, as well as FIP scoping, planning and implementation. Jo has been involved as expert and lead auditor in a significant number of full MSC assessments and pre-assessments covering a range of demersal and pelagic fisheries in the Northeast Atlantic, Mediterranean, Indian Ocean, Southern Ocean and Pacific. Jo has experience in international management of tuna fisheries in the Pacific and has previously been an Assessor on a number of MSC tuna assessments in the region including on the initial assessment of the Indonesia pole-and-line and handline, skipjack and yellowfin tuna of the Western and Central Pacific archipelagic waters.



## Appendix 2: Surveillance frequency

As noted previously, the surveillance programme for this fishery has changed from that previously indicated in the PCR.

As the surveillance programme for this fishery has changed from that previously indicated in the PCR, the following is presented below. Note that the Surveillance Level of 4 previously indicated in the PCR has not changed.

1. A rationale for any deviations from carrying out the surveillance audit before or after the anniversary date of certification.
2. A completed revised fishery surveillance programme.

**Table 2.** Surveillance level rationale.

Year	Surveillance activity	Number of auditors	Rationale
1	Off-site audit	2 auditors off-site	<p>The surveillance program set in the PCR includes the 1<sup>st</sup> surveillance audit on-site and the 2<sup>nd</sup> surveillance audit off-site. However, GTC decided to change the surveillance program to conduct the 1<sup>st</sup> surveillance audit off-site, the 2<sup>nd</sup> surveillance audit would be conducted on-site.</p> <p>Based on the evaluation of verification of information criteria done in the PCR, information needed to verify progress towards conditions can be available to be provided remotely.</p>

**Table 3.** Timing of surveillance audit.

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
1	26 January 2022	5-7 December 2022	<p>The fishery was certified on 26 January 2021, so the 1<sup>st</sup> surveillance audit should have been undertaken no later than 26 July 2022. However, this did not take place for the reasons provided below:</p> <ul style="list-style-type: none"> <li>• In June 2022, Global Trust Certification had to carry out a review of a potential conflict of interest of the Principle 3 assessor initially proposed which delayed the surveillance announcement.</li> <li>• Since the Independent Adjudicator's decision regarding the objection on the PNA scope extension, GTC is involved in extensive in harmonisation discussions and meetings with the CABs group which further delayed the surveillance announcement.</li> </ul>



**Table 4.** Fishery surveillance programme.

Surveillance level	Year 1	Year 2	Year 3	Year 4
Level 4	Off-site surveillance audit	On-site surveillance audit	Off-site surveillance audit	On-site surveillance audit & re-certification site visit

## 4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.1'. While amendments have been made to formatting in order to comply with Global Trust Certification's corporate identity, Global Trust has ensured that content and structure follow that of the original template.

The Marine Stewardship Council's 'MSC Surveillance Announcement Template v2.1' and its content is copyright of "Marine Stewardship Council" - © "Marine Stewardship Council" 2020. All rights reserved.

**Table 5. Template version control.**

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue.
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added.
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1.
2.01	28 March 2019	Minor document change for usability.
2.1	25 March 2020	Release alongside Fisheries Certification Process v2.2.

A controlled document list of MSC programme documents is available on the [MSC website \(www.msc.org\)](http://www.msc.org).

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