

Global Trust Certification

Canada 3LN redfish

MSC Surveillance Announcement

November 2021

1. Introduction

MSC certification requires regular surveillance audits of all certified fisheries and this Announcement marks the start of a surveillance audit of the above fishery. Global Trust Certification (hereafter Global Trust) is required to submit this announcement for posting on the MSC website at least 30 days before the audit activities are carried out.

The information Global Trust is required to provide when formally announcing annual surveillance activities, including how stakeholders may get involved in the process, is outlined on the following pages.

2. Marine Stewardship Council surveillance announcement

Table 1. Surveillance announcement.

1	Fishery name	
	Canada 3LN redfish	
2	Unit(s) of Assessment (UoA)	
	The applicant Unit(s) of Assessment (UoAs) (i.e., what is to be assessed) are described by the following:	
	Table 2. Unit of Assessment 1.	
	Species:	Latin name: <i>Sebastes fasciatus</i>
		Common name(s): Acadian redfish
	Stock(s):	Acadian redfish stock in NAFO Div. 3LN
	Fishing gear type(s) and, if relevant, vessel type(s):	Bottom trawl
	Geographical area(s):	FAO Fishing Area 21 Northwest Atlantic, NAFO Division 3LN, within and without Canada EEZ
	Client group:	Atlantic Groundfish Council (ACG)
	Other eligible fishers*:	There are no other eligible fishers.
	Table 3. Unit of Assessment 2.	
	Species:	Latin name: <i>Sebastes fasciatus</i>
		Common name(s): Acadian redfish
	Stock(s):	Acadian redfish stock in NAFO Div. 3LN
	Fishing gear type(s) and, if relevant, vessel type(s):	Midwater trawl
	Geographical area(s):	FAO Fishing Area 21 Northwest Atlantic, NAFO Division 3LN, within and without Canada EEZ
	Client group:	Atlantic Groundfish Council (ACG)
	Other eligible fishers*:	There are no other eligible fishers.
	*Other eligible fishers are fishing fleets, or individual fishing operators pursuing the same stock(s), using the same fishing gear and, if relevant, vessel type(s), in the same geographical area(s) as Client Group members but that are outside the Client Group. Other eligible fishers are eligible, upon agreement with the client group, to share the certificate as new client group members.	
3	Date certified	Date of expiry
	23/05/2017	22/11/2022
4	Surveillance level and type	
	<ul style="list-style-type: none"> • Surveillance level 4, off-site surveillance audit. • The surveillance programme for this fishery has changed from that previously indicated in the PCR or a previous surveillance report. An updated surveillance programme has been provided in Appendix 2. 	
5	Surveillance number	
	1 st Surveillance	
	2 nd Surveillance	
	3 rd Surveillance	
	4 th Surveillance	x
	Other (expedited etc)	
6	Proposed team leader	
	Vito Romito, primarily responsible for Principle 2 and Traceability	
	<ul style="list-style-type: none"> • Vito meets Fishery Team Leader Qualification and Competency Criteria outlined in MSC FCP v.2.2, Annex PC, Table PC1. He has: • A degree in a relevant subject. 	

Table 1. Surveillance announcement.

	<ul style="list-style-type: none"> • 3 years' fisheries experience in the fisheries sector related to the tasks under her responsibility. • Passed MSC's fishery team leader training at least every 5 years. • Reviewed any updates to the MSC Fisheries Program Documents at least annually. • Passed new version of the compulsory online training modules when new versions of the MSC certification process are published prior to undertaking assessments against the revised MSC certification process. • Passed the Lead Auditor ISO 19011 course. • Has undertaken 2 MSC fishery assessments or surveillance site visits as a team member in the last 5 years. • Has experience in applying different types of interviewing and facilitation techniques. <p>Vito received a BSc (Honours) in Ecology and a MSc in Tropical Coastal Management from Newcastle University (U.K.), in between which he worked for a year in Tanzania, carrying out comparative biodiversity assessments including pristine and dynamited coral reef ecosystems surveys around the Mafia Island Marine Park. He updated his MSC's Fishery Team Leader training completing the compulsory online training modules for version 2020. He passed the Lead Auditor ISO 19011 course. Vito led numerous MSC assessments in the last 5 years in Europe and North America and has participated in numerous site visits.</p> <p>In addition, Vito meets the Principle 2, Traceability and Current knowledge of the country, language and local fishery content components of the Fishery Team Qualification and Competency Criteria of Table PC3, he has:</p> <ul style="list-style-type: none"> • 3 years' experience in research into, policy analysis for, or management of, fisheries impacts on aquatic ecosystems. • Passed MSC's Traceability module. • Knowledge of a common language spoken by clients and stakeholders (i.e. English). • 2 assignments in the country or region in which the fishery under assessment is based in the last 10 years. <p>Vito has 10 years of expertise in fisheries certification with extensive experience in ecosystems effects of fisheries. To date, Vito has headed and conducted dozens of assessments involving 40+ different species including salmonid, groundfish, pelagic, flatfish, crustacean and cephalopod species in Europe, North and South America, and SE Asia. Vito was involved in numerous MSC assessments in Canada and North America in the last 5 years and is fluent in English which is the common language used by the key stakeholders.</p> <p>Vito does not have any conflicts of interest in relation to the fishery.</p>
7	Proposed team members
	<p>Dr. Ivan Mateo, primarily responsible for Principles 1</p> <p>Ivan meets Fishery Team Member Qualification and Competency Criteria outlined in MSC FCP v.2.2, Annex PC, Table PC2. He has:</p> <ul style="list-style-type: none"> • degree in a relevant subject. • 3 years' management or research experience in a marine conservation biology, fisheries, natural resources or environmental management position. • Passed MSC's fishery team member training within the last 5 years. • Reviewed any updates to the MSC Fisheries Program Documents at least annually.

Table 1. Surveillance announcement.

	<p>Ivan has a Ph.D. in Environmental Sciences with Fisheries specialization from the University of Rhode Island. Ivan has over 20 years' experience in working with natural resources population dynamic modelling. He completed MSC's Fishery Team Leader training for MSC CR v.1.3, FCR v.2.0 and FCP v.2.1 and reviewed the version 2020 of the MSC Fisheries Program Documents.</p> <p>In addition, Ivan meets the Principles 1 and Current knowledge of the country, language and local fishery content components of the Fishery Team Qualification and Competency Criteria of Table PC3, he has:</p> <ul style="list-style-type: none"> • 3 years' or more experience of applying relevant stock assessment techniques being used by the fishery under assessment. • 3 years' or more experience working with the biology and population dynamics of the target or species with similar biology. • Knowledge of a common language spoken by clients and stakeholders. • 2 assignments in the country or region in which the fishery under assessment is based in the last 10 years. <p>Ivan has over 20 years' experience in working with natural resources population dynamic modelling and his specialisation is in fish population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. He has extensive experience working with the biology and population dynamic of rockfish species. Ivan is fluent in English which is the common language spoken by the client and stakeholders. He was involved in numerous MSC fisheries assessments in Canada in the last 10 years.</p> <p>Ivan does not have any conflicts of interest in relation to the fishery.</p>
8	<p>Audit/review time and location</p>
	<p>The off-site surveillance audit will be held on January 17th - 21st 2022 from the auditors' office.</p>
9	<p>Assessment and review activities</p>
	<p>The objectives of this surveillance audit are:</p> <ul style="list-style-type: none"> • To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation. • To evaluate the progress of the fishery against the outstanding Condition of Certification raised during the Main Assessment. • To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products. • To review any other significant changes in the fishery.
10	<p>Stakeholder opportunities</p>
	<p>As part of this surveillance audit, the following stakeholder opportunities are available:</p> <ul style="list-style-type: none"> ▪ Stakeholders may submit written input using the 'MSC Template for Stakeholder Input into Fishery Assessments' which is available here: https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment. ▪ Stakeholders may consult directly with the audit team during the period specified in the 8. Audit/review time and location above. <p>Further information on Stakeholder input opportunities is provided in 3. Stakeholder Input into Fishery Surveillance Audits opportunities below.</p>

3. Stakeholder Input into Fishery Surveillance Audits

During surveillance audits, CABs are required to seek the views of relevant parties. With this in mind, Global Trust wishes to invite organisations or individuals with an interest in this fishery to provide written information relevant to the surveillance audit process and/or consult directly with the audit team.

Prior to participating, stakeholders should familiarise themselves with the MSC process using the resources provided on the MSC's website: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

Global Trust would like to encourage stakeholders not to withhold information, including their concerns and knowledge about the fishery in question. With this being said, information that they cannot be shared with all stakeholders cannot be referenced in an assessment or used in determining assessment outcomes unless it relates to; a) financial transactions about certification, b) the financial affairs of individual companies or information that may lead to this information being made public, or c) information that is the subject of relevant national privacy or data protection legislation in the client's country.

3.1 Submitting written information

Please note that Global Trust can only accept written stakeholder input as public record if it is submitted using the 'MSC Template for Stakeholder Input into Fishery Assessments' which can be found on the MSC website here: <https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment>.

When submitting information, stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

In order for your written submissions to be considered as part of this audit, you must:

1. Use the MSC Template for Stakeholder Input into Fishery Assessments.
2. Submit it by **17:00 UTC on Friday, 14 January 2022**.
3. Send it to Global Trust Client Services: ClientServicesie@nsf.org

3.2 Consulting directly with the audit team

As part of this audit, the audit team will be available for direct consultations with stakeholders during the period specified in the "Audit/review time and location" Section of Table 1 above.

If you would like to consult directly with the audit team during this period, please advise us of your interest in doing so by:

1. Contact us at Global Trust Client Services: ClientServicesie@nsf.org.
2. **17:00 UTC on Friday, 14 January 2022**.
3. Provide at least the following details when doing so:
 - Your name and contact details.
 - Your association with the fishery.
 - The issues you would like to discuss (in order for us to arrange appropriate representation).

Appendix 1: Summary of Audit Team's CVs

Summary of Audit Team's CVs

Surveillance Audit Team Leader: Vito Romito (Team leader and assessor with primary responsibility for Principle 2: Environmental impact of fishing and Traceability)

Vito has 10 years of expertise in fisheries certification. He's an ISO14001 Certified Lead Auditor and MSC FCR v.2.0 and FCP v.2.2 approved Fisheries Team Leader for Global Trust Certification (an NSF International Company) with extensive experience in ecosystems effects of fisheries. Vito received a BSc (Honours) in Ecology and a MSc in Tropical Coastal Management from Newcastle University (U.K.), in between which he worked for a year in Tanzania, carrying out comparative biodiversity assessments including pristine and dynamited coral reef ecosystems surveys around the Mafia Island Marine Park. For five years he worked at Global Trust Certification/ later SAI Global as Lead Assessor for all the fishery assessments in Alaska, Iceland and Louisiana. Vito has also carried out several IFFO forage fisheries assessments in Chile, Peru, Europe and other various pre-assessments in Atlantic and Pacific Canada. To date, Vito has headed and conducted dozens of assessments involving 40+ different species including salmonid, groundfish, pelagic, flatfish, crustacean and cephalopod species in Europe, North and South America, and SE Asia. For three years, as a senior fisheries consultant and then manager with RS Standards Ltd., he was involved in the development and testing of a Data Deficient Fisheries framework and v.2.0 fisheries standard for the ASMI Alaska RFM Scheme, and IFFO RS Improver/FIP projects related to Southeast Asia multispecies bottom trawl fisheries. Vito re-joined the Global Trust Fisheries Team in 2018 and has since been involved in marine and freshwater fisheries assessments in Canada, New England, Alaska and Louisiana, Iceland, the Baltic Sea and the Mediterranean Sea.

Vito has no Conflict of Interest in relation to this fishery.

Surveillance Audit Team Member: Dr. Ivan Mateo, Primary Responsibility for P1.

Ivan has over 20 years' experience working with natural resources population dynamic modelling. His specialization is in fish and crustacean population dynamics, stock assessment, evaluation of management strategies for exploited populations, bioenergetics, ecosystem-based assessment, and ecological statistical analysis. Ivan received a Ph.D. in Environmental Sciences with Fisheries specialization from the University of Rhode Island. He has studied population dynamics of economically important species as well as candidate species for endangered species listing from many different regions of the world such as the Caribbean, the Northeast US Coast, Gulf of California and Alaska. He has done research with NMFS Northeast Fisheries Science Centres' Ecosystem Based Fishery Management on bio-energetic modeling for Atlantic cod. He also has been working as environmental consultant in the Caribbean doing fieldwork and looking at the effects of industrialization on essential fish habitats and for the Environmental Defense Fund developing population dynamics models for data poor stocks in the Gulf of California. Recently Ivan worked as National Research Council postdoc research associate at the NOAA National Marine Fisheries Services Ted Stevens Marine Research Institute on population dynamic modelling of Alaska sablefish. Ivan is a SAI Global in-house assessor and has been involved in numerous MSC assessments in the Northwest Atlantic as a Principle 1 assessor. Ivan had led the 1st and 2nd surveillance audits of the Canada 3LN redfish.

Appendix 2: Surveillance frequency

The surveillance programme for this fishery has changed from that previously indicated in the PCR or a previous surveillance report (i.e. 3rd Surveillance).

As the surveillance programme for this fishery has changed, the following is presented below:

1. A rationale for any reduction from the default surveillance level following FCP v2.2 7.28.4–7.28.7.
2. A rationale for any deviations from carrying out the surveillance audit before or after the anniversary date of certification.
3. A completed revised fishery surveillance programme.

Table 4. Surveillance level rationale.

Year	Surveillance activity	Number of auditors	Rationale
4	Off-site audit	2 auditors from a remote location (auditors' office)	<p>As per the surveillance programme indicated in the PCR (and 3rd Surveillance audit), the 4th surveillance audit was scheduled to be conducted on site. However, given the covid-19 pandemic, Global Trust (GT) has an international travel ban policy in place. Therefore, this audit will be conducted off-site and the surveillance level has been changed from Level 5 to Level 4.</p> <p>Electronic forms of communication are widely and readily available. Document relating to fisheries advice, research and management are available online or can be obtained electronically. Information on the fishery is transparent and generally available online. Information can easily be verified by checking online sources or through direct contact with relevant officials.</p> <p>Therefore, GT's ability to remotely verify information, including to evaluate the progress against the outstanding condition, is determined to be High.</p>

Table 5. Timing of surveillance audit.

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
4	23rd November 2021	January 17 th -21 st 2022	Availability of the key stakeholders and the audit team.

Table 6. Fishery surveillance programme.

Surveillance level	Year 1	Year 2	Year 3	Year 4
Level 4	On-site surveillance audit	On-site surveillance audit	Off-site surveillance audit	Off-site surveillance audit

4. Template information and copyright

This document was drafted using the 'MSC Surveillance Announcement Template v2.1'. While amendments have been made to formatting in order to comply with Global Trust Certification's corporate identity, Global Trust has ensured that content and structure follow that of the original template.

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Table 7. Template version control.

Version	Date of publication	Description of amendment
1.0	8 October 2014	Date of issue.
1.1	12 June 2015	Appendix 2 with surveillance frequency Table added.
2.0	17 December 2018	Release alongside Fisheries Certification Process v2.1.
2.01	28 March 2019	Minor document change for usability.
2.1	25 March 2020	Release alongside Fisheries Certification Process v2.2.

A controlled document list of MSC programme documents is available on the [MSC website \(www.msc.org\)](http://www.msc.org).

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