

Control Union (UK) Limited

DFC/HEC Western and Central Pacific longline bigeye, yellowfin and albacore tuna fishery

MSC Fishery Announcement

1st June 2022

Control Union (UK) Limited

56 High Street, Lymington, Hampshire, SO41 9AH, United Kingdom

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Role	Signature	Date
Originator:	D. Duggan	23 rd May 2022
Approver:	J.Nebolsina	30 th May 2022



Marine Stewardship Council fishery announcement

Table 1 – Fishery announcement					
1	Fishery name				
	DFC/HEC Western and Central Pacific longline bigeye, yellowfin and albacore tuna fishery				
2	Assessment number				
	Initial assessment				
3	Reduced reassessment (Yes/No)				
	No				
4	Statement that the fishery is within scope				
	CU (UK) confirms that the fishery under assessment is within the scope of the MSC Fisheries Standard (7.4 and 7.5 of the MSC Fisheries Certification Process v2.2):				
	The target species is not an amphibian, reptile, bird or mammal (FCP v2.2. 7.4.2.1);				
	• The fishery does not use poisons or explosives (FCP v2.2 7.4.2.2);				
	• The fishery is not conducted under a controversial unilateral exemption to an international agreement (FCP v2.2 7.4.2.3);				
	• The client or client group does not include an entity that has been successfully prosecuted for a forced or child labour violation in the last 2 years (FCP v2.2. 7.4.2.4);				
	Has the client or client g	roup been successfully prosecuted for shark finning in the last 2 years (FCP v2.2 7.4.2.10);			
	• The fishery has in place a mechanism for resolving disputes, and disputes do not overwhelm the fishery (FCP v2.2 7.4.2.11 and 7.4.2.11iii);				
	The fishery is not an enhanced fishery (MSC FCP v2.2 7.4.2.12); and				
	The fishery is not an intri	The fishery is not an introduced species-based fishery (ISBF) (MSC FCP v2.2 7.4.2.13).			
	CU UK confirms that the client group has submitted the completed 'Certificate Holder Forced and Child Labour Policies, Practices and Measures Template' prior to the start of this assessment.				
5	Unit(s) of Assessment – UoA(s)				
	The UoAs are provisionally and	nounced as:			
	Species and stock	UoA 1: WCPO yellowfin tuna (<i>Thunnus albacares</i>)			
		UoA 2: WCPO bigeye tuna (<i>T. obesus</i>)			
		UoA 3: South Pacific albacore (<i>T. alalunga</i>)			
		UoA 4: North Pacific albacore (<i>T. alalunga</i>)			



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Geographical range of the fishery Fishing Gear Management System/s		FAO area 81, 61, 71 and 77		
		WCPO high seas		
		Pelagic longline Pelagic longline		
		WCPFC, flag state (Republic of Korea) management		
Client group		Dongwon Fisheries Co. Ltd and Hansung Enterprise Co.		
Other Eligible Fishers		None		
Certificate sharing statement				
N/A				
Name of proposed team leader				
Name	Deirdi	re Duggan		
Areas of responsibility	Team Leader, Traceability			
Competency criteria (Annex PC)	Deirdre joined CU UK in March 2022 as Fisheries Assessment Manager. Before joining CU UK, Deirdre worked with LRQA, most recently as Fisheries Manager. With LRQA, Deirdre was responsible for the implementation of the MSC assessments as well as establishing LRQA activities related to the RFVS and Marin Trust. Prior to working on the CAB side of certifications, Deirdre gained experience on the client side while working with an Indonesian NGO (MDPI), whose focus was improvements and recognition for small-scale Indonesian fisheries (primarily handline yellowfin but also mud crab, pole and line and mini purse seine fisheries). As Program Director for MDPI, she led activities related to FIP implementation, traceability developments, Fair Trade certification and MSC certification of small-scale fisheries as well as being responsible for ensuring communication and collaboration with a variety of stakeholders. Deirdre was also a consultant to IPNLF, developing a prototype policy scorecard for handline and pole and line fisheries. Deirdre was on the Steering Committee for the Asia-Pacific FIP Community of Practice 2019 workshop, on the Fair Trade Fisheries Advisory Council as well as the MSC Fish For Good council in Indonesia. Deirdre obtained a PhD from Queen's University Belfast in 2014 (Fisheries Management), an MSC from Plymouth University in 2010 (Applied Marine Science) and a BSC from NUI Galway in 2008 (Marine Science). Deirdre has completed the required MSC Fishery Team Leader modules for the new v2.2 Fisheries Certification Process, including the Traceability and the RBF modules, as well as ISO 19011 training. Therefore, Deirdre meets the competency requirements in Table PC1 for Team Leaders and Sections 6 and 7 in Table PC3.			
On-site or off-site	Off-sit			
CV		ailable on request		
Conflict of interest in relation to this fishery	No co	No conflict of interest has been identified for this fishery		
Name(s) of proposed team members				
Name	Kevin	McLoughlin		
Areas of responsibility	Princip			
	Fishing Gear Management System Client group Other Eligible Fishers Certificate sharing stat N/A Name of proposed teal Name Areas of responsibility Competency criteria (Annex PC) On-site or off-site CV Conflict of interest in relation to this fishery Name(s) of proposed teal Name Areas of	Fishing Gear Management System/s Client group Other Eligible Fishers Certificate sharing statement N/A Name of proposed team leade Name Deirdi Areas of Team responsibility Competency criteria (Annex PC) Competency criteria (Annex PC) Deirdi responsibility Competency criteria (Annex PC) Fisheri fisheri tracea fisheri varieti scorec for the Adviso Deirdi MSC f in 200 modu RBF m There Sectio On-site or off-site CV Conflict of interest in relation to this fishery Name(s) of proposed team me		



Competency criteria (Annex PC)

Kevin McLoughlin is a specialist fisheries consultant based in Australia with more than 30 years' experience across a wide range of international and domestic fisheries science issues, with close links to government policy. He represented the Australian Government on many committees and groups such as fishery assessment groups, providing advice on a diverse range of fisheries and species (including tuna, shark, various finfish, scallop and prawn). Work in assessment groups involved assessment of target species, development of bycatch action plans and ecological risk assessments. Mr McLoughlin was responsible for the production of annual status reports for Australian government-managed fisheries for a number of years. Between 2005 and 2007, Mr. McLoughlin was Australia's delegate on scientific issues at the Indian Ocean Tuna Commission and was Chair of the IOTC Working Party on Bycatch for several years. Mr McLoughlin was also a delegate at meetings of the Commission for the Conservation of Southern Bluefin Tuna.

Mr McLoughlin has worked predominantly on Principle 1 aspects of MSC assessments but has also undertaken Principle 2 and 3 work, as well as peer review and surveillance audits for several fisheries. Kevin was a team member for the full assessment of the Fiji albacore longline fishery, the New Zealand Albacore Fishery, the New Zealand Skipjack Fishery, the Parties to the Nauru Agreement Western and Central Pacific Skipjack and Yellowfin unassociated purse seine fishery, the Tri Marine Western and Central Pacific Skipjack and Yellowfin Tuna Fishery, and Australia's blue grenadier fishery. He was also a member of teams assessing Australia's Northern Prawn Fishery, Western Australia's Exmouth Gulf and Shark Bay prawn trawl fisheries, and South Australia's Spencer Gulf prawn trawl fishery. He was a peer reviewer for the New Zealand albacore troll fishery and for the North and South Pacific American Albacore Fishing Association fisheries and has undertaken surveillance audits for a number of fisheries.

Kevin has passed the MSC online training for FCP v2.2 and has also completed the Risk-Based Framework module.

Therefore, Kevin meets the competency requirements of Table PC2, Section 1, 2 and 7 of Table PC3.

On-site or off-site

Off-site

CV

CV available on request

Conflict of interest in relation to this fishery

No conflict of interest has been identified for this fishery

Name	Dr. Tim Emery	
Areas of responsibility	Principle 2	
Competency criteria (Annex PC)	Tim Emery has a Masters in Fisheries Policy from the University of Wollongong and a PhD in Fisheries Science from the University of Tasmania in Australia. He has over ten year's experience working in both domestic and international fisheries management, policy and science. During his PhD he investigated some of the untested assumptions behind individual transferable quota (ITQ) management and commercial fisher behaviour using experimental economics and discrete-choice modelling. Prior to commencing his PhD, Tim worked as a fisheries management officer for the Australian Fisheries Management Authority (AFMA). At AFMA, Tim gained a detailed understanding of fisheries governance and management frameworks through developing and implementing fisheries regulations and providing advice to stakeholders. Tim is currently employed as fisheries scientist, where he is responsible for undertaking ecological risk assessments, analysing electronic monitoring and logbook data, developing bycatch assessment reports and providing scientific advice to policy-makers. Tim has authored and co-authored over 20 peer-reviewed scientific publications on aspects of fisheries management, policy and science since commencing his PhD.	



	Tim has successfully completed the MSC online training on the FCP v2.2, the Risk Based Framework and Traceability modules and meets the MSC Team Member competency requirements for Principle 2. He has worked on several MSC pre-assessments and full assessments to date, including the Walker Seafood's Australian eastern tuna and billfish tuna fishery and the Korean Pan Pacific yellowfin, bigeye and albacore longline fishery. He is also a peer reviewer for the MSC Peer Review College and has reviewed several MSC full assessments.	
On-site or off-site	Off-site	
cv	CV available on request	
Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery	

Name	Peter Watt
Areas of responsibility	Principle 3
Competency criteria (Annex PC)	Peter Watt has over 20 years' fisheries management and development work experience with national governments, regional organisations and private consultancy companies in Samoa, Papua New Guinea, Solomon Islands, Palau, Tokelau, Tonga, New Caledonia, Vanuatu, Kiribati, Federated States of Micronesia, Commonwealth of the Mariana Islands, Marshall Islands, Fiji, New Zealand, Canada, and United States. Peter has authored or co-authored over 30 publications in his field and worked on more than 50 projects and assignments in technical research, marine management and development, technical training and project administration. He developed and established community-based fisheries management arrangements for the Coastal Fisheries Development and Management Project in Papua New Guinea, establishing over twenty fisheries management plans and developing legislation to empower communities to manage their fisheries resources. Prior to this he was the Commercial Fisheries Advisor in Samoa for four years, providing management advice and expertise for the development and management of the tuna longline and other fisheries. This included working with the government and stakeholders to develop and implement a tuna management plan, with related legislation and policies. Other experience also includes rapid resource assessments in the Philippines, Papua New Guinea and Samoa, and conducting stock assessments for the tuna longline fishery and outer reef slope assessments for the deep water snapper fishery. Peter has completed the MSC online training on FCP v2.2, meeting the competency requirements in Table PC2, as well as the Principle 3 competency criteria in Table PC3: Fishery management and operations. He also meets the Table PC3.5 Current knowledge of the country, language and local fishery context (the local language spoken in Pohnpei is English).
On-site or off-site	Off-site
cv	CV available on request
Conflict of interest in relation to this fishery	No conflict of interest has been identified for this fishery

9 Stakeholder opportunities

Stakeholders are encouraged to provide comments on the Announcement Comment Draft Report (ACDR) published on the MSC website for this fishery. Written submissions must be made through the "MSC Template for Stakeholder Input into Fishery Assessments v4.0" available for download here: https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment. Please note that CU (UK) will accept stakeholder input only if submitted using the aforementioned template, or if raised at the site visit.



All stakeholders will be available to meet remotely (according to the variation request accepted by the MSC on 21st October 2021) (FCP v2.2 7.12.2.e.i)) with team members during the site visit commencing the 1st August 2022.

10 Assessment tree to be used

The default tree is applied, with the RBF

11 Estimated timeline

Assessment stage	Date	Stakeholder Consultation Period
Fishery announcement	1 st June 2022	Stakeholders will have a sixty (60) day period to comment
Site visit (s)	Week commencing the 1 st August 2022	All stakeholders are invited to the site visit
Peer Reviewer(s) Announcement	Late August 2022	Stakeholders will have a ten (10) day period to comment
Public Comment Draft Report (PCDR) published	Late November 2022	Stakeholder will have a thirty (30) day period to comment
Final Report (FR) & Draft Determination Published	January 2023	Stakeholders will have a fifteen (15) working day period to lodge an objection to Final Report and Draft Determination
Public Certification Report (PCR) published	February 2023	

12 Site visit

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All stakeholders will be available to meet remotely with team members during the site visit. The site visit will commence the week 1st August 2022. The site visit is planned to be conducted remotely. The MSC instated a derogation to ensure that site visits planned between the 29th March 2022 and 28th March 2023, can be held remotely following certain guidelines (i.e. pending VR approval for initial assessments). A VR has been accepted by the MSC for this fishery to allow the initial site visit to be conducted remotely.

A key purpose of the site visit is to collect information and to speak to stakeholders with an interest in the fishery. For those parts of the assessment involving the MSC's RBF see – http://www.msc.org/aboutus/standards/methodologies/fam/msc-risk-based-framework. Please note we will be using a stakeholder-driven, qualitative analysis during the site visit. To achieve a robust outcome from this consultative approach, we rely heavily on participation of a broad range of stakeholders with a balance of knowledge of the fishery. We encourage any stakeholders with experience or knowledge of the fishery to participate in these meetings (FCP v2.2 7.12.3 and Annex PF2.3.2).

13 Assessment tree modifications

RBF is being used in this assessment

Announcement Comment Draft Report stakeholder input deadline

Deadline for stakeholder comment: at 31/07/2022 17:00 UTC

Stakeholders are encouraged to provide comments on the Announcement Comment Draft Report (ACDR) published on the MSC website for this fishery. Written submissions must be made through the "MSC Template for Stakeholder Input into Fishery Assessments v4.0" available for download here: https://www.msc.org/what-you-can-do/engage-with-a-fishery-assessment.

Submitted by: Julia Nebolsina



Date: 01/06/2022

Control Union (UK) Limited (CU UK) would like to welcome anyone who would like to participate in the site visit. All interested stakeholders are encouraged to contact CU UK by email (stakeholderfishuk@controlunion.com), telephone or post at the below number and address:

Control Union (UK) Limited, 56 High Street, Lymington, SO41 9AH, United Kingdom

Tel: +44 (0)1590 613007 Fax: +44 (0)1590 671573

Comments must be made using the Template for Stakeholder Input into MSC Assessments.

Should any stakeholder wish to meet the team in person, this can be arranged. Remote meetings can also be arranged via telephone conferencing or Skype, or written submissions made to the above-mentioned email addresses.

Please note that stakeholders must provide objective evidence and references in support of any claims or any claimed errors of fact.

Comments may remain unattributed. Furthermore, information that cannot be shared with any other stakeholder will not be referenced in the assessment and cannot be used in determining the outcome of the fishery's assessment nor used as a basis for an objection.

Stakeholders may raise issues with the team in confidence for the team to consider at the site visit, but any confidential information cannot be used in scoring unless in compliance with confidentiality requirements.

Information can be kept confidential if it is restricted to financial transactions about certification, the financial affairs of individual companies or information that may lead to this information being known, or information that is the subject of relevant national privacy or data protection legislation in the assessed fishery's country.



Appendix 1: Summaries of CVs of team leader and team members

Deirdre Duggan (Team Leader, Traceability)

Deirdre joined CU UK in March 2022 as Fisheries Assessment Manager. Before joining CU UK, Deirdre worked with LRQA, most recently as Fisheries Manager. With LRQA, Deirdre was responsible for the implementation of the MSC assessments as well as establishing LRQA activities related to the RFVS and Marin Trust. Prior to working on the CAB side of certifications, Deirdre gained experience on the client side while working with an Indonesian NGO (MDPI), whose focus was improvements and recognition for small-scale Indonesian fisheries (primarily handline yellowfin but also mud crab, pole and line and mini purse seine fisheries). As Program Director for MDPI, she led activities related to FIP implementation, traceability developments, Fair Trade certification and MSC certification of small-scale fisheries as well as being responsible for ensuring communication and collaboration with a variety of stakeholders. Deirdre was also a consultant to IPNLF, developing a prototype policy scorecard for handline and pole and line fisheries. Deirdre was on the Steering Committee for the Asia-Pacific FIP Community of Practice 2019 workshop, on the Fair Trade Fisheries Advisory Council as well as the MSC Fish For Good council in Indonesia.

Deirdre obtained a PhD from Queen's University Belfast in 2014 (Fisheries Management), an MSC from Plymouth University in 2010 (Applied Marine Science) and a BSC from NUI Galway in 2008 (Marine Science). Deirdre has completed the required MSC Fishery Team Leader modules for the new v2.2 Fisheries Certification Process, including the Traceability and the RBF modules, as well as ISO 19011 training.

Kevin McLoughlin (Principle 1)

Kevin McLoughlin is a specialist fisheries consultant based in Australia with more than 30 years' experience across a wide range of international and domestic fisheries science issues, with close links to government policy. He represented the Australian Government on many committees and groups such as fishery assessment groups, providing advice on a diverse range of fisheries and species (including tuna, shark, various finfish, scallop and prawn). Work in assessment groups involved assessment of target species, development of bycatch action plans and ecological risk assessments. Mr McLoughlin was responsible for the production of annual status reports for Australian government-managed fisheries for a number of years. Between 2005 and 2007, Mr. McLoughlin was Australia's delegate on scientific issues at the Indian Ocean Tuna Commission and was Chair of the IOTC Working Party on Bycatch for several years. Mr McLoughlin was also a delegate at meetings of the Commission for the Conservation of Southern Bluefin Tuna.

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Kevin has passed the MSC online training for FCP v2.2 and has also completed the Risk-Based Framework module.

Dr. Tim Emery (Principle 2)

Tim Emery has a Masters in Fisheries Policy from the University of Wollongong and a PhD in Fisheries Science from the University of Tasmania in Australia. He has over ten year's experience working in both domestic and international fisheries management, policy and science. During his PhD he investigated some of the untested assumptions behind individual transferable quota (ITQ) management and commercial fisher behaviour using experimental economics and discrete-choice modelling. Prior to commencing his PhD, Tim worked as a fisheries management officer for the Australian Fisheries Management Authority (AFMA). At AFMA, Tim gained a detailed understanding of fisheries governance and management frameworks through developing and implementing fisheries regulations and providing advice to stakeholders. Tim is currently employed as fisheries scientist, where he is responsible for undertaking



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Peter Watt (Principle 3)

Peter Watt has over 20 years' fisheries management and development work experience with national governments, regional organisations and private consultancy companies in Samoa, Papua New Guinea, Solomon Islands, Palau, Tokelau, Tonga, New Caledonia, Vanuatu, Kiribati, Federated States of Micronesia, Commonwealth of the Mariana Islands, Marshall Islands, Fiji, New Zealand, Canada, and United States.

Peter has authored or co-authored over 30 publications in his field and worked on more than 50 projects and assignments in technical research, marine management and development, technical training and project administration. He developed and established community-based fisheries management arrangements for the Coastal Fisheries Development and Management Project in Papua New Guinea, establishing over twenty fisheries management plans and developing legislation to empower communities to manage their fisheries resources. Prior to this he was the Commercial Fisheries Advisor in Samoa for four years, providing management advice and expertise for the development and management of the tuna longline and other fisheries. This included working with the government and stakeholders to develop and implement a tuna management plan, with related legislation and policies. Other experience also includes rapid resource assessments in the Philippines, Papua New Guinea and Samoa, and conducting stock assessments for the tuna longline fishery and outer reef slope assessments for the deep water snapper fishery.

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