

Marine Stewardship Council Surveillance Announcement

Name of Fishery	ISF Iceland capelin fishery	
Surveillance level and type	Surveillance level 4, off site audit – See Appendix 2	
Surveillance number (tick one)	1st Surveillance	X
	2nd Surveillance	
	3rd Surveillance	
	4th Surveillance	
	Other (expedited etc)	
Proposed Team Leader	<p>Dr. Virginia Polonio, a member of SAI Global’s internal fisheries team will lead the Assessment Team. Virginia meets the Fishery Team Leader Qualification and Competency Criteria outlined in FCR Annex PC, she has:</p> <ul style="list-style-type: none"> ▪ A degree in a relevant subject ▪ Well in excess of 5 years’ fisheries experience ▪ Passed MSC’s fishery team leader training within the last 3 years ▪ Extensive experience leading MSC assessments ▪ Has undertaken more than 2 MSC fishery assessment or surveillance site visits as a team member in the last 5 years ▪ Experience in applying different types of interviewing and facilitation techniques. <p>Virginia will be in charge of coordinating the other Assessment Team members, participating in the assessment and be responsible for the completion of the assessment in accordance with Certification procedures.</p> <p>In addition to leading the Assessment Team, Virginia will be the team’s expert on Principle 2 and Traceability. She meets the Principle 2 and Traceability components of the Fishery Team Qualification and Competency Criteria in CR Annex PC; she has</p> <ul style="list-style-type: none"> ▪ 5 years or more experience in research into fisheries impacts on aquatic ecosystems and working with the biology and ecology of diverse finfish species. ▪ Passed MSC’s Traceability training module in the last 3 years <p>Virginia does not have any conflicts of interest in relation to the fishery under assessment; a short biography is provided in Appendix 1.</p>	
Proposed team members	<p>Hans Lassen (Assessor) – Responsibility on Principle 1</p> <p>Hans meets the Fishery Team Member Qualification and Competency Criteria outlined in FCR Annex PC; he has:</p> <ul style="list-style-type: none"> ▪ A degree in a relevant subject ▪ 5 years’ fisheries experience ▪ Passed MSC’s fishery team member training within the last 3 years ▪ 5 years more experience as a practicing fisheries stock assessment, fisheries biology and ecology 	

	<ul style="list-style-type: none"> ▪ Assignments in the country or region in which the fishery under assessment is based in the last 10 years <p>Hans does not have any conflicts of interest in relation to the fishery under assessment; a short biography is provided in Appendix 1.</p> <p>Ásgeir Daniélsson (Assessor) – Responsibility on Principle 3</p> <p>Ásgeir meets the Fishery Team Member Qualification and Competency Criteria outlined in FCR Annex PC; he has:</p> <ul style="list-style-type: none"> ▪ A degree in a relevant subject ▪ 5 years’ fisheries experience ▪ Passed MSC’s fishery team member training within the last 3 years ▪ 5 years more experience as a practicing fishery manager and/or fishery/ policy analyst. ▪ Two assignments in the country or region in which the fishery under assessment is based in the last 10 years ▪ Current knowledge of the country, language and local fishery context <p>Ásgeir does not have any conflicts of interest in relation to the fishery under assessment; a short biography is provided in Appendix 1.</p> <p>The proposed team to conduct this surveillance audit has an expertise equal to the members of the original team. Both Virginia and Ásgeir were part of the original assessment team.</p>
Audit/review time and location	<p>It is planned that the site visit take place from September 10th, 2018 to September 14th, 2018. The site visit will be off site and stakeholder interviews will be carried out remotely by conference call.</p> <p>Stakeholders with an interest in this fishery are invited to make written comment or provide information relevant to the assessment process. Stakeholders are also invited to meet with the Assessment Team remotely. For further details on Stakeholder input into the MSC Assessment Process, see below.</p>
Assessment/ review activities	<p>The objectives of the surveillance audit are:</p> <ol style="list-style-type: none"> 1. To review any changes in the management of the fishery, including regulations, key management or scientific staff or stock evaluation. 2. To review any developments or changes within the fishery which impact traceability and the ability to segregate MSC from non-MSC products. 3. To review any other significant changes in the fishery.

Stakeholder Input into Fishery Surveillance Assessment

MSC certification requires annual surveillance assessments of all certified fisheries. This fishery is now entering the 'annual surveillance' stage of the assessment against the MSC Principles and Criteria for Sustainable Fishing. A key purpose of this stage is to collect information on the fishery and in particular to speak to representatives of the fishery, fishery management and environmental management bodies.

SAI Global wishes to invite organisations or individuals with a direct interest in this fishery to make written comment or provide information relevant to the surveillance assessment process. To assist in contributing relevant information and to ensure that any contributions have maximum impact stakeholders should use the [Stakeholder Input into MSC Fishery assessments](#) template when providing input.

All stakeholder's guidance documents and forms can be access by clicking on the link below:
<https://www.msc.org/documents/get-certified/stakeholders>

We expect to carry out most meetings over the period specified in the "Audit/review time and location" Section of the above Table during which the Assessment Team will be available for direct stakeholder meetings.

If you would like to talk to the assessors, please advise us of your interest by 6th September 2018 giving the following details:

- your name and contact details
- your association with the fishery
- the issues you would like to discuss (in order for us to arrange appropriate representation)

If you not wish to be interviewed you may still submit written information to the Assessment Team by completing the aforementioned [Stakeholder Input into MSC Fishery assessments template](#).

Should you wish to obtain further information on the Marine Stewardship Council, this is available on their web site at <http://www.msc.org>.

Comments/responses should be sent to:

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Submitted by: Niamh Conor

Date: 10th August 2018

Appendix 1: Surveillance Assessment Team

– **Dr. Virginia Polonio, Team leader, responsible for P2 and Traceability**

Virginia has a degree in Environmental Sciences (B.S.c. University of Cádiz). She has a Master degree (M.Sc. University of Cádiz) in Fisheries Management and Aquaculture. She obtained her PhD in Biodiversity and Natural resources at the University of Oviedo and during her PhD she gained experience in the field of research of fisheries and Vulnerable Marine Ecosystems (VMEs). During her PhD, she gained skills in the fields of benthic ecology and management of ecosystems.

She has participated in the Spanish National Basic Plan of Data to collect and evaluate the fishing activities in ICES and CECAF areas where Spanish fleets realize their activities. She carried out feeding habit and age/size studies of *Pagellus Bogaraveo* and others commercial species (hake, anchovy, sharks, mackerel, squid, etc.) to define trophic and predation levels of commercial species in the Gulf of Cadiz and the Strait of Gibraltar.

She has worked on several full assessments such as ISF Capelin, ISF Mackerel, CSHMAC Herring, Cantabrian Sardine, North Atlantic Albacore, Squat lobster, Blue sharks and Swordfish, among others as a Lead Assessor and Team member responsible for P2. She has also participated in Surveillances and pre-assessments acquiring experience in the MSC certification.

She is a full-time employee at SAI Global and she will be Lead assessor and P2 expert in this audit.

– **Hans Lassen, team member and responsible for P1**

He is an independent consultant holds a cand. scient. (M.Sc.) from Copenhagen University (1969) and a HD (B.Sc.) from the Copenhagen Business School (1978). His background is in fish stock assessments, particularly in the application of computers and models.

He joined the Danish Institute of Fisheries and Marine Research (DIFRES) in 1971. 1988-1992 he worked in the Greenland Fisheries Research Institute as Deputy Director and Director and returned to DIFRES in 1992. Between 1998 and 2003 he was in charge of the Fisheries Group in the ICES Secretariat as Fisheries Adviser who serves as secretary to the ICES Advisory Committee on Fishery Management. After 2004 he was head of the ICES Advisory Programme within the ICES Secretariat. He retired from the ICES secretariat in 2010 and has since worked as a private consultant on various projects within his expertise.

He has been a member and Chairman of numerous ICES committees and groups, has within the Northwest Atlantic Fisheries Organization chaired STACFIS and the Scientific Council, been a member of STECF (EC, DG Fish), scientific adviser to Danish delegations to fisheries negotiations and chaired an internal EC expert group to provide input to the EC Multi-annual Guidance Program, within the Nordic Council of Ministers he chaired its Working Group on Fisheries and worked with the FAO/DANIDA project (1982-1998) on teaching fish stock assessment. In 2006 he was awarded the prestigious Swedish prize “Kungsfenan” for contributions to communication between science and the fishing industry. At his retirement from ICES he was awarded a Special Service Award. He is author and co-author of more than 30 peer reviewed papers in prime scientific journal and numerous papers for scientific symposia.

He has been a member of MSC certification assessment teams for West Greenland shrimp and lumpfish, and for Barents Sea Demersal trawl fisheries (Greenland). He has acted as reviewer for several MSC assessment reports including cod, haddock, anchovy, sardine and vendace.

– **Ásgeir Daníelsson Ph.D, team member and responsible for P3**

Ásgeir graduated in 1985 with Ph.D. in Economics from the University of Manchester. Currently holds the position of head of research and forecasting in the Economics department of the Central Bank of Iceland. He has lectured on microeconomics, statistics, macroeconomics and fisheries economics at the University of Iceland and University of Akureyri.

He has over 20 years' experience of macroeconomic analysis of the Icelandic fisheries for the Central Bank of Iceland and previously the National Economic Institute. He has been involved in and advised numerous national and international task forces on the utilization of living marine resources and fisheries management.

From 1993-1994 and 2001-2004 he was a member of a committee, set up by the Icelandic Minister of Fisheries, formulating a long term policy on exploitation of fish stocks. He has worked with the "Nairobi group" set up by the UN's UNEP and UNSD, and was later commissioned by the FAO to provide a guide on the incorporation of environmental factors into national accounting with special regard to fisheries and the living marine environment.

Dr. Daníelsson has written and co-authored several peer-reviewed publications, as well as research reports on the utilization of fish stocks in Icelandic waters, ITQ efficiency and environmental- and economic accounting of fisheries. During the last five years, Dr. Daníelsson has served as Principle 3 expert on several MSC fishery assessments, the first one was completed in 2011.

Appendix 2 : Surveillance frequency

Table 4.1: Surveillance level rationale

Year	Surveillance activity	Number of auditors	Rationale
1	Off-site audit	3 auditors from a remote location	During the initial assessment of the fishery, no condition has been raised. SAI Global proposes to have an off-site audit with 3 auditors from a remote location given the absence of conditions and the high ability to verify information about the fishery remotely.

Table 4.2: Timing of surveillance audit

Year	Anniversary date of certificate	Proposed date of surveillance audit	Rationale
1	18 th April 2018	10 th -14 th September 2018	To synchronise with ISF Iceland mackerel 1 st surveillance audit.

Table 4.3: Fishery Surveillance Program Surveillance Level

	Year 1	Year 2	Year 3	Year 4
Level 4	Off-site surveillance audit	On-site surveillance audit	Off-site surveillance audit	On-site surveillance audit & re-certification site visit